

1 **An analysis of the 2023 summer and fall marine heat waves on the**  
2 **Newfoundland and Labrador Shelf: ~~the impact of stratification,~~**  
3 **~~winds, and advection~~**

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11 **Abstract**

12 In this study, we investigated a series of moderate to severe surface marine heat waves (MHWs) impacting the Newfoundland  
13 and Labrador Shelf during the summer and fall of 2023. Using a combination of ocean model reanalysis data, in situ data  
14 collected under the Atlantic Zone Monitoring Program (AZMP), and atmospheric reanalysis data, we explored several factors  
15 that contributed to the intensity of these MHWs. We concluded that first, due to an unusually cold spring and abnormally fresh  
16 conditions advected from upstream, the water column was highly stratified. Second, atmospheric conditions were calm,  
17 anomalously warm, and wind speeds were unusually low for prolonged periods in the summer. The combination of increased  
18 stratification and lower wind speeds caused a reduction in vertical mixing, limiting the exchange of warm surface waters with  
19 colder waters below and amplifying the retention of heat near the surface. However, by the late fall, the signature of the surface  
20 heat wave had vanished when the cooler subsurface waters were mixed vertically due to increased winds, storms, and surface  
21 cooling. During the most intense MHW in July 2023, we found that this event was confined to the surface as demonstrated by  
22 temperature anomalies along several standard transects which showed a thin layer of warm anomalies in the upper 10 m and  
23 cold anomalies below. Consequently, the vertical extent and distribution of MHWs are important considerations when  
24 exploring ecosystem impacts because not all elements of the ecosystem are equally sensitive to surface conditions. Finally,  
25 these results suggest that ocean model nowcast and reanalysis products can complement observational methods for studying  
26 MHWs in near-real time over large geographic areas and at multiple depths.

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## 1 Introduction

In the summer of 2023, the North Atlantic Ocean experienced a series of significant marine heat waves (MHWs) sparking media attention and public interest in the associated record-setting high ocean temperatures. These MHWs were first detected in the Northeast Atlantic in June and, later, in the Northwest Atlantic in July (Copernicus, 2023). Ocean warming and MHWs can have significant impacts on the marine ecosystem (e.g., LeGrix et al., 2021; Geoffroy et al., 2023; Smith et al., 2023), air-sea exchange (e.g. Edwing et al., 2024) and weather (e.g., Frölicher et al., 2018). Globally, MHWs are occurring more frequently and with greater duration (Oliver et al, 2018; IPCC, 2019; IPCC, 2023). As such, it is critical to develop a more complete understanding of their drivers (Oliver et al., 2021) which will lead to improved real-time monitoring efforts and forecasting capabilities (e.g., McAdam et al., 2023).

Studies of MHWs in the Northwest Atlantic have documented the role of air-sea fluxes and oceanic processes like advection in the onset and decay of MHWs. Schlegel et al. (2021) ~~used~~applied statistical methods to a combination of remotely-sensed sea surface temperature data and atmospheric and oceanic reanalyses to link latent heat flux and mixed layer depth as drivers of MHWs over the Northwest Atlantic continental shelf. They show that the onset of many surface MHWs in this area is linked with a positive air-sea heat flux anomaly into the ocean, most often driven by latent heat flux and shortwave radiation, but that the decay is more ~~likely~~often associated with oceanic processes like advection and mixing. Other studies have correlated MHWs with large-scale atmospheric conditions and spatial variability in heat flux anomalies. For example, Perez et al. (2021) link the 2015/16 MHW in the Northwest Atlantic to the position of the jet stream modifying the spatial distribution of heat fluxes, a finding confirmed by Sims et al. (2022), who further correlate sea surface temperature (SST) and sea surface salinity anomalies near the shelf break in a subregion (48–70° W, 40–48° N) with the North Atlantic Oscillation (NAO). These studies indicate that a combination of oceanic and atmospheric processes drove the 2015/16 MHW. Other studies link abrupt sea ice melt and strong stratification with intensified surface MHWs in the Arctic (see e.g. Barkhordarian et al., 2024; Richaud et al., 2024) and recent work by Sun et al. (2024) identifies a strong relationship between mixed layer depth shoaling, restratification, and MHW occurrence globally.

In this study, we describe a series of MHWs that occurred on the Newfoundland and Labrador (NL) Shelf during the summer and fall of 2023. The NL Shelf is a region of economic, environmental, and cultural importance as it supports numerous

commercial, recreational, and Indigenous fisheries (Templeman, 2010). The oceanographic conditions are characteristic of Arctic and subarctic environments, and are influenced by the Labrador Current, which transports relatively cold and fresh water equatorward along the continental shelf (e.g., Lazier and Wright, 1993; Fratantoni and Pickart, 2007). The region undergoes interannual variability cycling through warm and cold phases associated with changes in air temperature, sea ice conditions, and climate indices such as the NAO (Petrie, 2007; Urrego-Blanco and Sheng, 2012; Han et al., 2019; Cyr and Galbraith, 2021). These warm and cold phases are linked to marine ecosystem characteristics such as the timing of the spring phytoplankton bloom, and primary and secondary productivity (Cyr et al., 2024a), as well as the productivity of higher trophic levels (Cyr et al., 2024b). Variability in the offshore transport of the Labrador Current (e.g., Jutras et al., 2023) is also linked with ecosystem characteristics such as marine bivalve growth as suggested by Poitevin et al. (2019). Seasonal ice cover in the region has important implications for stratification, and in turn, primary productivity (e.g., Wu et al., 2007).

We conduct our analysis over several geographic subregions of the NL Shelf with distinct ecosystem characteristics, as described in Section 2.2 and explore the influence of several meteorological and oceanographic phenomena such as winds, air-sea heat fluxes, stratification, and advection on this series of MHWs. Studying the factors driving MHWs on the NL Shelf will support understanding in how these events may impact the local marine ecosystem.

## 2 Methods

### 2.1 Datasets

A number of datasets were used in this study. MHWs were characterised using the sea surface temperature (SST) from product ref. no. 1 (Table 1) which is a 1/12 degree global ocean reanalysis (herein GLORYS12V1) covering December 31, 1992 to December 25, 2023. Daily mean temperature and salinity fields were also used to describe oceanographic conditions such as stratification, depth-averaged temperature, and freshwater density. Sea ice concentration was also analysed in 2023 to characterise the monthly maximum sea ice extent, defined as regions where the concentration is greater than 0.15. Following recommendations by McDougall et al. (2021), we interpreted the reanalysis GLORYS12V1 prognostic temperature and salinity variables to be conservative temperature and preformed salinity – a salinity variable not affected by biogeochemistry – scaled by a factor of  $u_{ps} = \frac{35.16504}{35} \text{ g kg}^{-1} = \frac{35.16504}{35} \text{ g kg}^{-1}$ . Finally, the mixed layer depth, provided as a GLORYS12V1 output and defined as the depth where the density increase compared to density at 10 m depth corresponds to a temperature decrease of 0.2°C in local surface conditions, was also considered.

The reanalysis GLORYS12V1 dataset was complemented by temperature and salinity profiles from product ref. no. 2 (CASTS; Table 1) which is composed of historical profiles in Atlantic Canada and the Eastern Arctic dating back to 1912, but here limited to the period 1993-2023. Many of the CASTS profiles used in this study were collected under the Atlantic Zone

Monitoring Program (AZMP; Therriault et al., 1998) which routinely monitors core stations and transects at annual and seasonal frequencies. Two AZMP transects (Seal Island and Flemish Cap) and one high-frequency [sampling](#) station (Station 27) were considered in this study (Fig. 1). To facilitate comparison with ~~the reanalysis~~ [GLORYS12V1](#), the CASTS potential temperature and practical salinity variables were converted to conservative temperature and preformed salinity using the Python implementation of the Gibbs-Seawater (GSW) Oceanographic Toolbox (McDougall and Barker, 2011).

Finally, ~~10-m-metre~~ wind speeds were taken from product ref. no. 3 which is a global atmospheric reanalysis (ERA5; Table 1). Daily mean wind speeds ~~from 2023~~ were smoothed using an 11-day rolling mean in order to isolate synoptic-scale events by removing high-frequency variability. [Additionally, the role of air-sea interaction was examined using the following ERA5 variables: net surface short-wave radiation \( \$Q\_{SWR}\$ \), net surface long-wave radiation \( \$Q\_{LWR}\$ \), surface latent heat flux \( \$Q\_{LH}\$ \), and surface sensible heat flux \( \$Q\_{SH}\$ \).](#) Following Denexa et al. (2024), the sum of these four components was used to determine the net surface heat flux ( $Q$ ) and  $Q_{SWR}$  was taken as the surface value. All heat flux and radiation variables are positive downwards and represent a daily average. The ERA5 daily averaged 2-metre air temperature was also analysed. [Climatologies for all ERA5 variables were calculated in the same way as the MHW climatologies. Bathymetry data for plotting is taken from product ref. no.](#) The 11-day window was chosen to align with the MHW calculations and is consistent with synoptic timescales of days to weeks.

106 [4 \(ETOPO 2022; Table 1\).](#)

107

Product Ref. No.	Product ID & type	Data Access	Documentation
1	GLOBAL_MULTIYEAR_PHY_001_030 (GLORYS12V1), numerical models	EU Copernicus Marine Service Product (2023)	Product User Manual (PUM): Dré villon et al., 2023a Quality Information Document (QUID): Dré villon et al., 2023b Journal article: Lellouche et al., 2021
2	CASTS, observed temperature and salinity profiles	Federated Research Data Repository, <a href="https://doi.org/10.20383/102.0739">https://doi.org/10.20383/102.0739</a>	Coyne et al., 2023
3	ERA5, atmospheric reanalyses	Copernicus Climate Change Service (2023)	Product reference: Hersbach et al., 2023 Journal article: Hersbach et al., 2020
<a href="#">4</a>	<a href="#">ETOPO 2022, gridded bathymetry</a>	<a href="https://doi.org/10.25921/fd45-gt74">https://doi.org/10.25921/fd45-gt74</a>	Product reference: <a href="#">NOAA National Centers for Environmental Information, 2022</a>

108 **Table 1: ~~Product-reference-table~~Overview of the data products used in this study.**

109 **2.2 Marine heat wave definitions**

110 Following Hobday et al. (2016), we defined a MHW as a period of 5 days or longer during which the daily averaged SST  
111 exceeds the climatological 90th percentile ( $T_{90}$ ) for the given time of year. The World Meteorological Organization  
112 recommends, when possible, to use a 30-year time series (1991-2020) to calculate climatologies (World Meteorological  
113 Organization, 2017). In this study, because ~~product-ref-~~[GLORYS12V1](#) ~~no-~~ starts in 1993, the climatology was calculated for  
114 each day of the year over 1993 to 2022. [See the Supplementary Materials for the temperature and freshwater density trends over this period \(Fig. S1\) and a discussion on the sensitivity of the results to the climatological period.](#) The climatological  
115 mean and 90th and 10th percentiles were determined using an 11-day window (see Hobday et al. (2016) for details) and the  
116 percentiles [and climatological mean](#) were smoothed using a 31-day rolling ~~mean~~[average](#).

118

119 Spatially, the analysis was performed over 1) every grid cell in ~~the reanalysis~~[GLORYS12V1](#) from 65° W to 39° W and 41° N  
120 to 62° N and 2) the spatially averaged SST in regions relevant to the NL Shelf ecosystem. Shown in Fig. 1 (a), these regions

are the Labrador Shelf (LS), the Northeast Newfoundland Shelf (NNS), the Grand Banks (GB), and Flemish Cap (FC). Each represents an area of distinct primary productivity and a well-defined food web system (Open Government, 2014; Pepin et al., 2014). A fifth region covering the entire NL Shelf (Fig. 1 (bc)) was also included.

Finally, using  $\Delta$  as the difference between  $T_{90}$  and the climatological mean, we followed Hobday et al. (2018) to define four heat wave categories when temperature  $T$  exceeds  $T_{90}$  as follows: moderate ( $T_{90} \leq T < T_{90} + \Delta$ ), strong ( $T_{90} + \Delta \leq T < T_{90} + 2\Delta$ ), severe ( $T_{90} + 2\Delta \leq T < T_{90} + 3\Delta$ ), and extreme ( $T \geq T_{90} + 3\Delta$ ) where  $T$  is the temperature, and  $\Delta$  is the difference between  $T_{90}$  and the climatological mean. Some additional MHW metrics, including the start and end dates ( $t_s$  and  $t_e$ ), duration, or number of MHW days ( $D$ ), and mean, maximum, and cumulative intensities ( $i_{mean}$ ,  $i_{max}$ ,  $i_{cum}$ , respectively), suggested by Hobday et al. (2016) are reported in Table 2. The mean intensity is the mean of the temperature anomaly, the maximum intensity is the maximum of the temperature anomaly, and the cumulative intensity is the integrated daily temperature anomaly over the MHW period.

### 2.3 Stratification, depth-averaged temperature, and freshwater density

The 2023 daily time series and climatologies (1993-2022) of three additional metrics (stratification, depth-averaged temperature, and freshwater density) were calculated. The metric climatologies were determined using the same methodology as applied to the SST climatologies. First, the stratification was assessed by the vertical maximum of calculating the squared-buoyancy frequency ( $N^2$ ) over the entire water column using the GSW Oceanographic Toolbox (McDougall and Barker, 2011) and then, its vertical maximum,  $N_{max}^2$ , was used as a measure of stratification. A large value indicates strong stratification which can limit the vertical exchange of heat and salt content. This quantity was analysed as a spatial average over each region and at the grid cell closest to Station 27 where comparisons with observed data were made. In order to compare modelled and observed profiles of  $N^2(z)$  at Station 27, the observed temperature and salinity fields were first interpolated to GLORYS12V1 depth levels, then  $N^2(z)$  was calculated, and then its vertical maximum was determined.

Second, the depth-averaged temperature and freshwater density were used to examine the daily time evolution of temperature and freshwater content in the uppermost 20 metres spatially averaged over the NL Shelf region (see Supplementary Material Fig. S5 for additional depth bins). The depth-averaged temperature is defined as

$$T_{z_1-z_2} = \frac{\int_{z_1}^{z_2} T dz}{\int_{z_1}^{z_2} dz},$$

where  $T$  is the temperature,  $z_1$  and  $z_2$  are the depth levels over which the integral is calculated. The freshwater density is

$$FWD_{z_1-z_2} = \frac{\int_{z_1}^{z_2} \frac{\rho(T,S,p) - \rho(T_{ref},S_{ref},p)}{\rho(T_{ref},S_{ref},p)} dz}{\int_{z_1}^{z_2} dz},$$

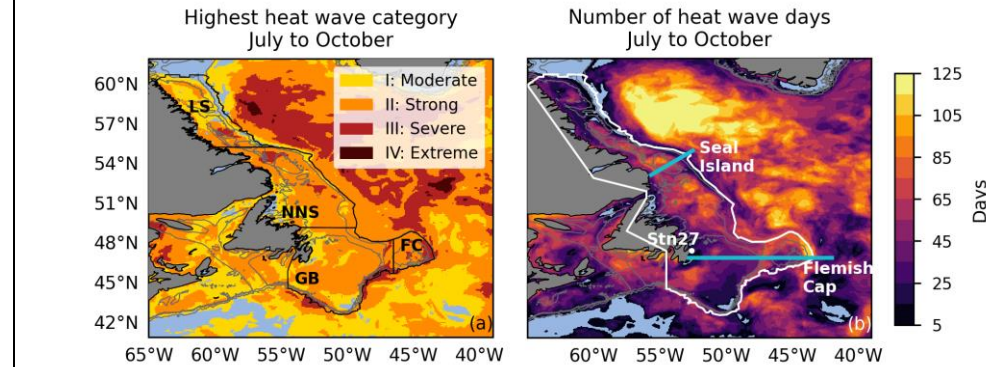
150 where  $\rho(T, S, p)$  is the in situ density calculated with the GSW Oceanographic Toolbox,  $S$  is the salinity,  $p$  is the  
 151 pressure,  $\rho(T, 0, p)$  is the density of seawater with zero salinity, and  $S_{ref}$  is a reference salinity of 35 g kg<sup>-1</sup>. Quantities  
 152 that were spatially averaged over a region or across a transect are denoted by an overbar symbol. For example, the spatially  
 153 averaged sea surface temperature over a region is defined by

154 
$$\overline{SST} = \frac{\int_{area} SST dA}{\int_{area} dA}.$$

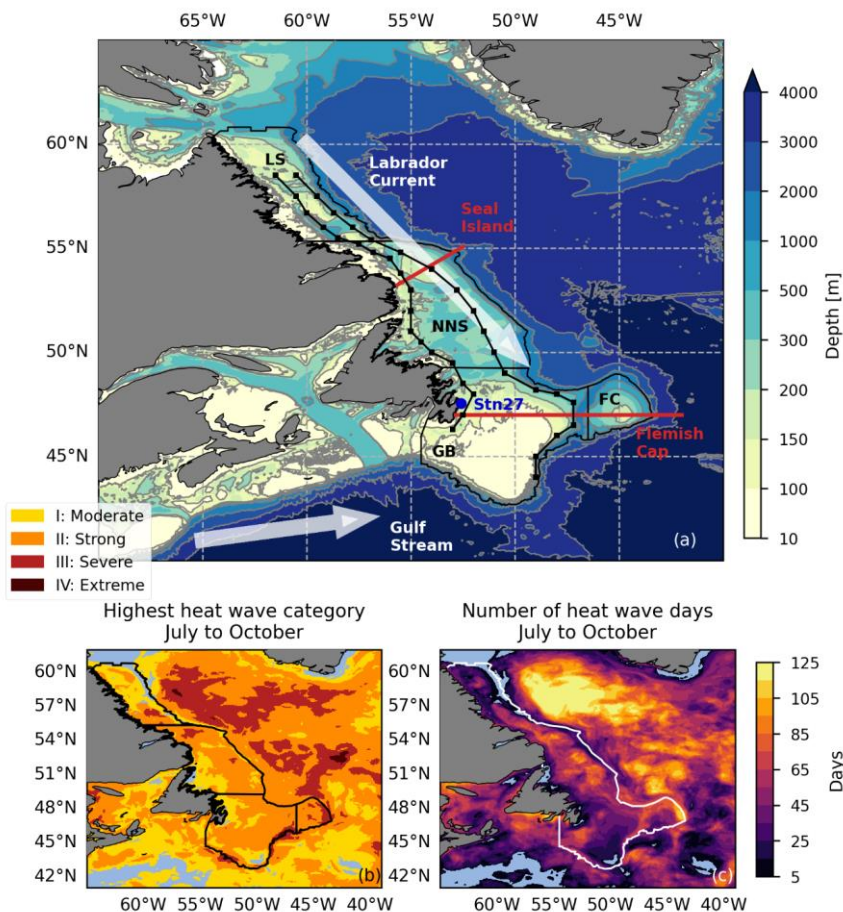
### 155 3 Results

156 From July through October, MHWs were detected over most of the Northwest Atlantic (Fig. 1). Over the NL Shelf, MHW  
 157 categories mainly ranged from moderate to severe with spatial variability in the intensity and duration. No MHWs were present  
 158 continuously throughout the entire July to October period, but rather a series of MHWs transpired in each region (Table 2).  
 159 Each MHW period was associated with higher-than-typical stratification, in many cases exceeding the 90th percentile. The  
 160 most intense and longest duration MHW began in July in FC. Each of the other subregions experienced their strongest MHW  
 161 (in terms of maximum and mean intensity) around the same time, also commencing in July. A large portion of the southern  
 162 GB received relatively short duration and low intensity MHWs while both LS and NNS contained localised areas of higher  
 163 intensity MHWs (e.g., up to severe) that were approximately ~~collocated~~collocated with areas of greater total MHW days in the  
 164 July through October period (Fig. 1).

165



166



**Figure 1:** (a) Spatial map of highest heat wave categories in July through October 2023 calculated from the ocean reanalysis ETOPO 2022 (product ref. no. 1, 4) in the study region. The thin black lines represent the regions over which MHW statistics are calculated: Labrador Shelf (LS), Northeast Newfoundland Shelf (NNS), Grand Banks (GB), and Flemish Cap (FC). (b) Standard AZMP transects Seal Island and Flemish Cap are represented by the red lines. The dark blue dot is the location of Station 27 (Stn27). Light colored arrows represent schematics of the Labrador Current and Gulf Stream. Black line segments with dots represent the Outer and Inner Shelf transects. (b) Spatial map of highest heat wave categories in July through October 2023 calculated from GLORYS12V1 (product ref. no. 1). Subregion polygons are shown for reference in black. (c) Total number of heat wave days July through October 2023 (maximum 122 days), also calculated from the GLORYS12V1 product. The white line represents the polygon used to define the entire NL Shelf. Standard AZMP transects Seal Island and Flemish Cap are represented by the blue lines. The white dot is the location of Station 27 (Stn27). On both plots, grey lines are the 200-m, 500-m, and 1500-m



178 ~~bathymetry contours. The polygons defining the subregions~~The region definitions are derived from Ecosystem Production Units  
179 (Pepin et al., 2014) and contain information licensed under the Open Government Canada Licence - Canada.

180  
181 When the MHW metrics were determined by spatially averaging over the entire NL Shelf, the result was three MHW periods  
182 (Table 2). These three periods approximately coincide with the MHW periods identified in the regional analysis. However, the  
183 late August MHW in FC and NNS is not captured in the larger spatial average. Nevertheless, we used MHW metrics over the  
184 entire NL Shelf region to identify local oceanographic and meteorological conditions that contributed to the evolution of this  
185 series of MHWs.

186

Region	$t_s$ Start date	$t_e$ End date	$D$ (days) MH Wdays	$i_{max}$ (°C)	$i_{mean}$ (°C)	$i_{cum}$ (°C days)	$\overline{N^2}$ $\overline{N^2_{max}}$ (10 <sup>-4</sup> s <sup>-2</sup> )	$\overline{N^2_{etm}}$ $\overline{N^2_{max clim}}$ (10 <sup>-4</sup> s <sup>-2</sup> )	$\overline{N^2_{90th}}$ $\overline{N^2_{max 90th}}$ (10 <sup>-4</sup> s <sup>-2</sup> )
Labrador Shelf (LS)	2023-07-16 2023-10-07	2023-07-27 2023-10-23	11 16	2.03 1.98 1.05 01	1.74 77 0.94 88	206.46 233.362 14.50 224.47	12.94 5.550	10.3510 4.2330	12.770 6.000
Northeast Newfoundland Shelf (NNS)	2023-07-16 2023-08-22 2023-09-14 2023-10-0809	2023-08-10 2023-09-01 2023-09-19 2023-10-30	25 10 5 2221	3.13 23 2.08 32 1.60 1.63 1.68	2.42 53 2.18 6 1.52 51 1.28 26	1513.34 186.08 38.12 564.961 579.88 209.94 37.68 553.66	14.48 13.54 10.25 5.61	8.95 9.0956 9.85 7.1734 4.1721	10.770 11.52 9.06 5.84
Grand Banks (GB)	2023-07-15 2023-09-07	2023-08-06 2023-09-24	22 17	4.01 3.99 2.94 02	2.84 92 2.14 22	1373.86 618.021 411.51 641.43	12.97 12.17	7.546 9.6760	9.07 10.94
Flemish Cap (FC)	2023-07-08 2023-08-27 2023-09-05	2023-08-08 2023-09-01 2023-09-24	31 5 19	5.52 5 1.98 2.11 3.48 59	3.69 71 1.75 89 2.69 78	3542.3 43.72 971.813 566.89 47.28 1002.69	9.83 9.440 10.51	4.88 7.4742 7.9180	6.46 9.11 9.35
Entire NL Shelf	2023-07-14 2023-09-06 2023-10-10	2023-08-08 2023-09-23 2023-10-24	25 17 14	2.72 1.74 1.76 1.27 24	2.05 1.98 37 1.33 1.02 01	1235.43 385.09 200.841 281.54 396.52 198.37	11.75 7.78 5.35	10.2711 6.243 4.1722	12.65 8.93 5.87

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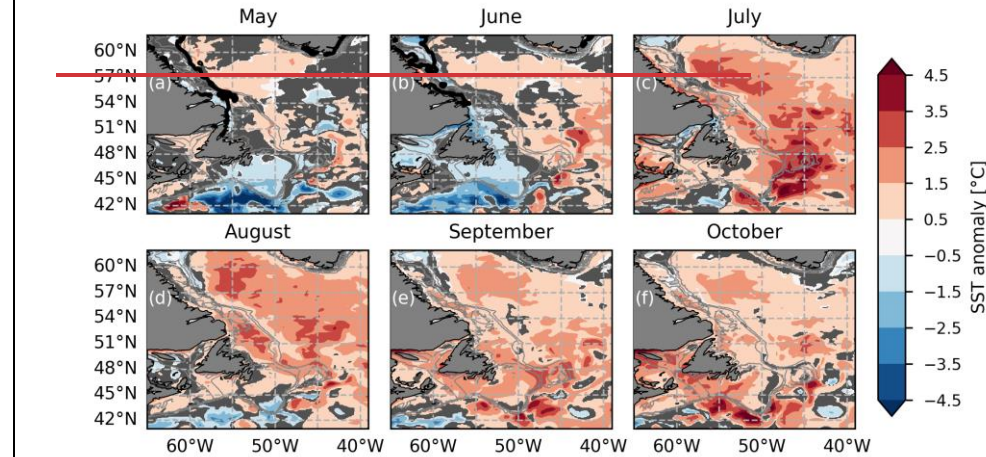
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Table 2: MHW metrics and stratification for each region and the entire NL Shelf calculated from the ocean reanalysis GLORYS12V1 (product ref. no. 1). For MHW metrics,  $t_s$  and  $t_e$  are the start and end dates of each heat wave,  $D$  is the duration or number of MHW days,  $i_{max}$ ,  $i_{mean}$ , and  $i_{cum}$  are the maximum, mean, and cumulative intensities derived from the spatially averaged sea surface temperature anomaly during each heat wave period. For stratification,  $\overline{N^2}$ ,  $\overline{N^2_{etm}}$ ,  $\overline{N^2_{90th}}$ ,  $\overline{N^2_{max}}$ ,  $\overline{N^2_{max clim}}$ , and  $\overline{N^2_{max 90th}}$  are the spatially averaged quantities from 2023, the 1993-2022 climatological mean, and the 1993-2022 90th percentile, respectively. The angled brackets,  $\langle \rangle$ , denote a time average over the MHW period.

195 An intriguing feature of this series of MHWs was that it was pre-conditioned by an unusually cold spring (Fig. 2 (a)-(b)). In  
 196 mid-June, spatially averaged SST anomalies over the entire shelf were as low as  $-0.56^{\circ}\text{C}$ . In some areas, such as the  
 197 southwestern extent of GB and coastal regions of southern NNS, monthly averaged SST anomalies in June were below  $-1.50$   
 198  $^{\circ}\text{C}$  (Fig. 2 (b)). In contrast, anomalies in July were positive over nearly the entire NL Shelf and the highest anomalies occurred  
 199 in the FC region. High positive anomalies continued over most of the NL Shelf in August, but the highest anomalies ~~shifted~~  
 200 ~~to~~ were found in the NNS region. In September, high anomalies returned to the FC area and were concentrated in areas with  
 201 steep bathymetric gradients that are strongly influenced by the Labrador Current, suggesting a possible advective source of  
 202 warm water from upstream. Finally, October saw a reduction in the strength of the anomalies, but SSTs were still warmer than  
 203 usual across the entire NL Shelf.

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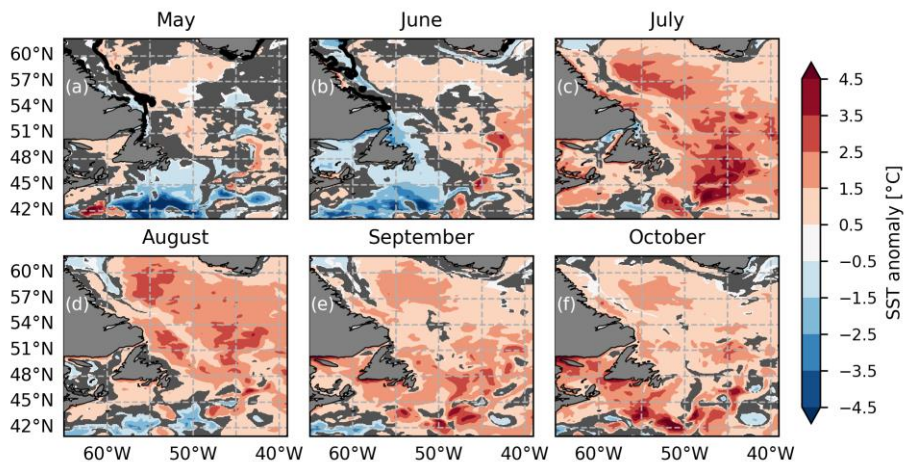
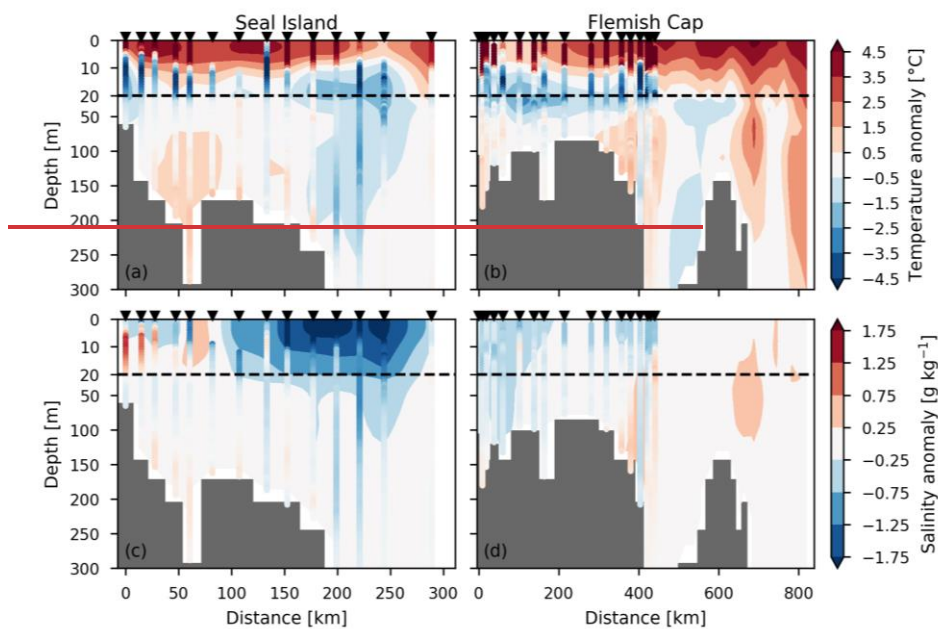


Figure 2: Sea surface temperature anomaly from the ocean reanalysis GLORYS12V1 (product ref. no. 1) averaged over (a) May, (b) June, (c) July, (d) August, (e) September, and (f) October 2023. A reference period of 1993-2022 is used to calculate climatology. Thin grey lines are the 200 m, 500 m, and 1500 m bathymetry contours. The thick black contours in (a) and (b) indicate the monthly maximum sea ice extent from the ocean reanalysis GLORYS12V1 in 2023. The ocean reanalysis GLORYS12V1 had no sea ice in this area from July through October. The grey shading represents regions where the absolute value of the anomaly is less than 0.5 times the interannual standard deviation of the monthly mean sea surface temperature.

In addition to unusually cold spring SSTs, (Fig. 2 (a)-(b)), subsurface temperatures from about 10-50 m in July were below normal across the Seal Island and Flemish Cap transects in both GLORYS12V1 (product ref. no. 1) and AZMP (product ref. no. 2) profiles (Fig. 3 (a)-(b)). Both transects displayed a very warm surface layer reaching to approximately 10 m in depth, in contrast to the signal at depth. Additionally, the Seal Island transect showed anomalously fresh conditions in the upper 20 m across most of the transect in July (Fig. 3 (c)), particularly over the shelf break. Along the Flemish Cap transect, fresh signals were not as strong as at Seal Island at this time, but salinity anomalies between  $-0.25 \text{ g kg}^{-1}$  and  $-0.75 \text{ g kg}^{-1}$  were apparent near the coast.



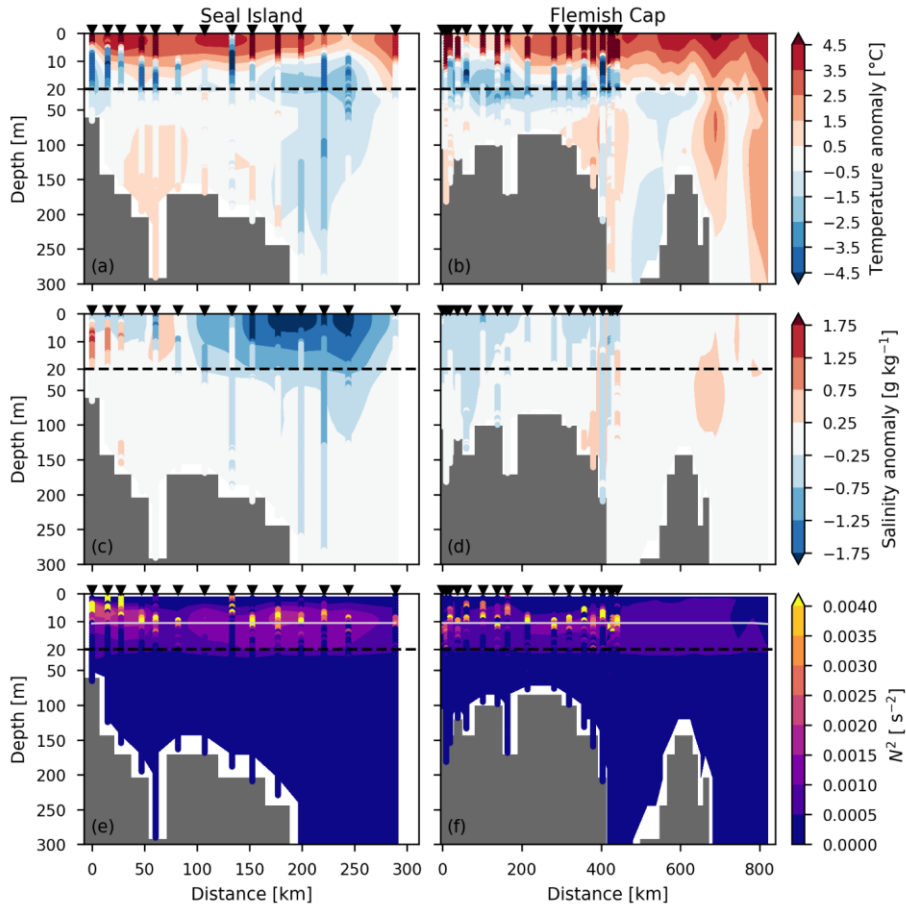
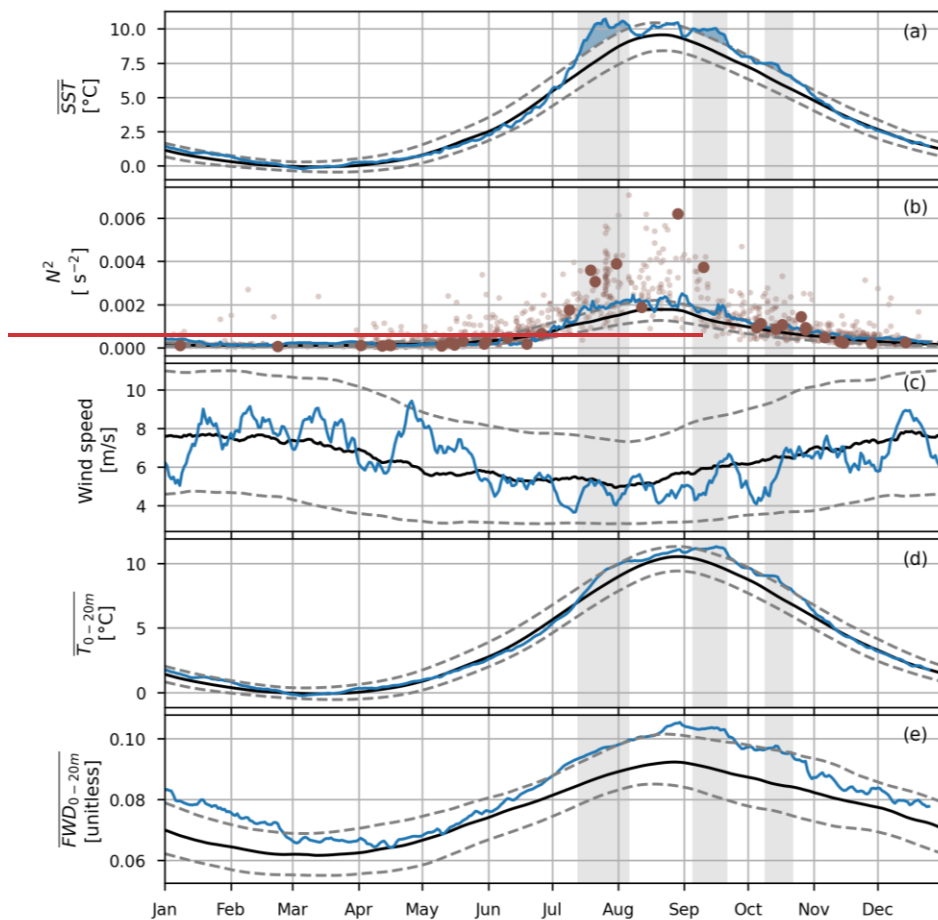


Figure 3: Temperature vertical cross section of temperature anomalies (top) and salinity anomalies (middle), and squared buoyancy frequency (bottom) anomalies along the Seal Island (left) and Flemish Cap (right) transects shown for AZMP July 2023 occupation dates. For Seal Island, the AZMP occupation occurred on July 25. For Flemish Cap, the stations inshore of 200 km were sampled on July 20 and the remaining others were sampled on July 30. Ocean reanalysis anomalies GLORYS12V1 data (product ref. no. 4) matched to the AZMP sampling dates are shown in shaded contours, and AZMP anomalies data (product ref. no. 2) are shown in the coloured circles which appear as lines extending from top to bottom. In the bottom panels, the solid gray line represents the GLORYS12V1 mixed layer depth. For Flemish Cap, GLORYS12V1 data at locations offshore of approximately 400 km, which were not sampled by AZMP in July 2023, are taken as the mean of July 20 and July 30. A reference period of 1993-2022 is used to calculate climatologies for both the reanalysis GLORYS12V1 and AZMP. For AZMP, all July and August occupations in the reference period were used to construct the climatology. The black triangles represent the positions of the AZMP stations sampled in July 2023. Note the difference in vertical scale above and below 20 m (black dashed line).

The anomaly structures of vertical profiles for both temperature and salinity suggest high stratification during the AZMP occupations in July. ~~Indeed~~The squared-buoyancy frequency and mixed layer depth during those occupations, shown in Fig. 3 (e) and (f), indicate stratified conditions in the upper 20 m of the water column. Furthermore, high stratification was apparent at Station 27 in both the ~~reanalysis~~GLORYS12V1 and AZMP data throughout nearly the entire summer and early fall (Fig. 4 (b)). High stratification is partially explained by the anomalously cold spring which resulted in a colder than typical subsurface layer and, in turn, strong vertical temperature gradients when surface warming commenced as a result of solar heating. ~~(see Fig. S6, S7).~~ Furthermore, the 0-20 m freshwater density in Fig. 4 ~~(ed)~~ reveals fresher than typical near-surface conditions from July through October. This fresh anomaly was concentrated in the upper 20 m (see Fig. ~~A4S5~~), further explaining the higher than usual stratification. The source of the fresh anomaly is not yet clear but it is present in both the ~~reanalysis~~GLORYS12V1 and observations (Fig. 3 (c) and (d)).

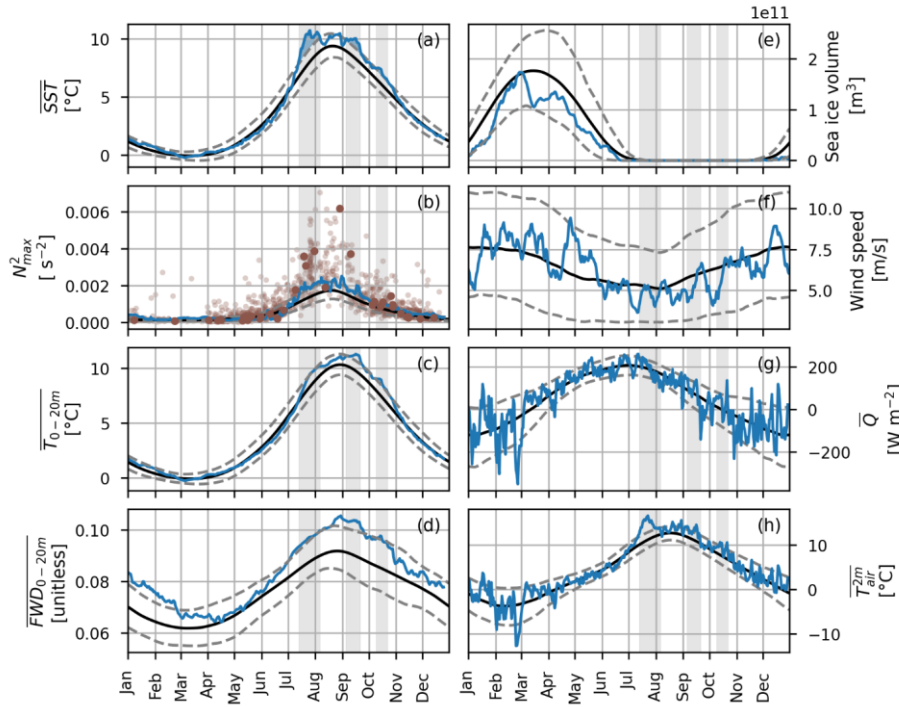
Another factor that impacts stratification is the degree of vertical mixing introduced by wind forcing at the ocean surface. The 10 ~~m-metre~~ wind speeds from ERA5 ~~(product ref. no. 3)~~ at Station 27 shown in Fig. 4 ~~(ef)~~ demonstrate that periods of below average wind speeds in the summer and fall (e.g., early July, mid-August to early September, and late September to early October) preceded the three heat wave periods identified in Fig. 4. Furthermore, a return to average wind speeds preceded the end of each heat wave period with the exception of a wind event in mid-July. ~~Granted, this~~This mid-July event corresponded with a reduction in the Station 27 stratification and was followed by a slight dip in the spatially averaged SST as the cold subsurface layer was mixed with the warm surface. Although the periods of average wind speeds were linked with a pause in heat wave conditions, it is likely that these wind events were not strong enough to significantly erode the strongly stratified conditions introduced by a cold spring and fresh early summer. In turn, cold subsurface conditions (from about 20-50 m; not shown), high stratification, and retention of heat near the surface persisted throughout most of the summer and fall.



Additionally, heat transfer between the ocean and atmosphere is an important element to consider (see Fig. 4 (g) and (h), and Fig. S2). During the July MHW, the 2-metre air temperature from ERA5 was extremely high: at times, it was greater than the annual maximum of the climatological 90<sup>th</sup> percentile (Fig. 4 (h)). Furthermore, the net surface heat flux was anomalously high during the first few days of the July MHW event but approached anomalously low values as the event reached its end. Similarly, the September and October MHWs exhibited higher than average air temperature and surface heat flux, although not every period in 2023 with these conditions resulted in a MHW (e.g., mid to late January, mid-May, December).



265



266

267 **Figure 4:** (a)–Time series of ocean reanalysis plots for 2023 in blue, the 1993–2022 climatology in black, and the 1993–2022 10th and  
 268 90th percentiles in grey dashed lines. Variables from GLORYS12V1 (product ref. no. 1) are (a) sea surface temperature averaged  
 269 over the NL Shelf for 2023 (blue), the 1993–2022 climatology (black), and the 1993–2022 10th and 90th percentile (grey dashed). Heat  
 270 wave periods are indicated by the grey shading. (b) As in (a) but for the maximum squared buoyancy frequency at Station 27. (c)  
 271 depth-averaged temperature from 0–20m averaged over the NL Shelf. (d) freshwater density from 0–20m averaged over the NL  
 272 Shelf, and (e) sea ice volume over the NL Shelf. ERA5 (product ref. no. 3) variables include (f) 10-metre wind speed at Station 27, (g) net daily-average surface heat flux averaged over the NL Shelf (where positive  
 273 indicates a downward flux), and (h) 2-metre air temperature averaged over the NL Shelf. Maximum squared buoyancy frequency  
 274 data at Station 27 from AZMP (product ref. no. 2) during are shown in b) for 2023 while in large dark brown dots and for 1993–2022  
 275 in small light brown dots represent all observations (product ref. no. 2) in the 1993–2022.

277

278 Finally, the role of advection is illustrated by examining the evolution of surface temperature and freshwater density anomalies  
 279 as well as the vertical maximum of the squared buoyancy frequency along the shelf (Fig. 5). First, advection is evident where  
 280 periods of positive freshwater density anomaly and high stratification that are seen in May through June in the upstream parts  
 281 of the transects (approximately 0 km to 200 km) gradually propagate downstream. These anomalously fresh conditions arrived

at Seal Island by the time of the mid-July MHW, increasing the stratification to above typical conditions (see Fig. S4 for climatological stratification). Throughout the shelf, there is typically a link between periods of increased freshwater density and increased stratification (see Fig. S3 and S4), suggesting advected and/or local fresh water input plays an important role in establishing stratification in this region. Advection may also impact sea surface temperatures through the transport of warm water masses. However, during the July MHW, advection of warm anomalies is not apparent in Fig. 5. Rather, this event was nearly simultaneous and wide-spread across the entire shelf. Advection of warm water may have been a contributor for the September through October MHW downstream of Seal Island, although, a more detailed analysis is warranted in the future.

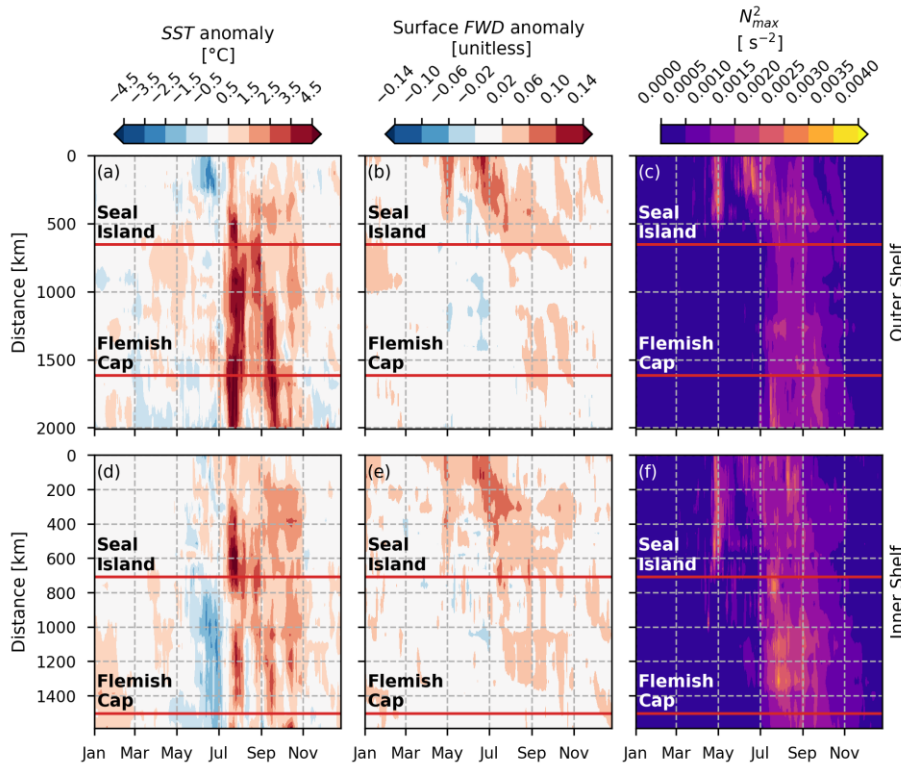


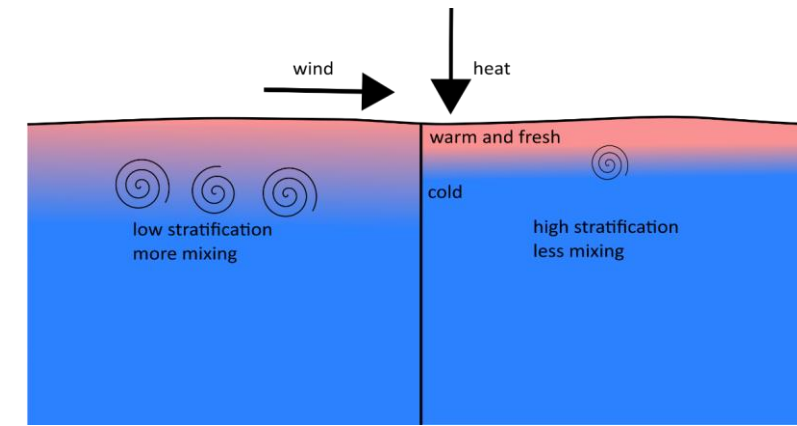
Figure 5: Time series of GLORYS12V1 (product ref. no 1) sea surface temperature anomaly (left), surface freshwater density anomaly (middle), and vertical maximum of the squared-buoyancy frequency (right) along the Outer Shelf (top) and Inner Shelf (bottom) transects for year 2023. See Fig. 1 (a) for Outer Shelf and Inner Shelf transect definitions. Distance is measured along each transect starting from the most upstream station. The red horizontal lines represent the along-shelf locations of the Seal Island

295 (upper) and Flemish Cap (lower) transects. A reference period. (e) As in (a) but for the ERA5 10 metre wind speed (product ref. of  
296 1993-2022 is used to calculate the climatology used to determine the anomalies, no. 3) at Station 27. (d) As in (a) but for the ocean  
297 reanalysis (product ref. no. 1) depth-averaged temperature from 0-20 m spatially averaged over the NL Shelf. (e) As in (d) but for  
298 freshwater density from 0-20m.  
299

## 300 4 Discussion and conclusions

### 301 4.1 Factors contributing to the 2023 MHWs

302 A combination of factors illustrated in Fig. 56 resulted in the series of MHWs detected on the NL Shelf in 2023. ~~First~~As an  
303 example, stratification increased as the surface layer warmed in July, preconditioned by unusually cold water temperatures in  
304 the spring. In addition, conditions were fresher than typical in the upper 20 m (e.g. Fig. 3 (c), Fig. 44 (d), Fig. 5 (b) and (e),  
305 Fig. A1S5 (c)-(d)). Although the source of these fresh conditions was not analysed in this work, other studies suggest that  
306 increased Arctic sea ice melt and freshwater release from the Beaufort Gyre are responsible for recent freshening trends in the  
307 North Atlantic (Wang et al., 2024; Yashayaev, 2024). In other regions, Barkhordarian et al. (2024) and Richaud et al. (2024)  
308 link abrupt sea ice melt and strong stratification with intensified surface MHWs in the Arctic (see e.g. Barkhordarian et al.,  
309 2024; Richaud et al., 2024). In 2023, sea ice conditions on the Labrador Shelf were above normal in June leading to late last  
310 occurrence on the southern Labrador Shelf (Cyr et al., 2024c, Galbraith et al., 2024). ~~Finally~~Additionally, periods of low winds  
311 during the summer maintained ~~that~~the high stratification by limiting vertical mixing. As a result, heat was retained near the  
312 surface resulting in a series of MHWs throughout the summer and fall. This series was interrupted by occasional wind events  
313 which excited vertical mixing and reduced SSTs. Recent work by Sun et al. (2024) indicate a strong correlation between  
314 changes in the oceanic mixed layer depth and the occurrence of MHWs globally, highlighting an important connection between  
315 mixed layer restratification and surface MHWs.  
316



**Figure 6: Schematic diagram describing the role of increased stratification on surface MHWs. On the left, lower stratification leads to more mixing. On the right, higher stratification leads to less mixing. Both scenarios receive the same heat flux and wind forcing at the surface. The case with higher stratification results in higher SSTs because the heat is confined to the surface due to less mixing.**

The role of air-sea interactions and heat transfer between the atmosphere and the ocean are also important. During the July MHW, the 2-metre air temperature was extremely high, exceeding the annual maximum of the 1993-2022 climatological 90<sup>th</sup> percentile for nearly half of the duration of the event. The 2-metre air temperature was also higher than normal during the other MHWs in 2023. Previous work by Schlegel et al. (2021) indicates that latent heat flux is an important driver during the onset of MHWs. Indeed, during the beginning of each MHW on the NL Shelf in 2023, the net surface heat flux was higher than typical (Fig. 4 (g)) driven by positive anomalies in the surface latent heat flux and the surface long-wave radiation (Fig. S2). Yet, not all periods of anomalously positive net surface heat flux resulted in a MHW, suggesting a combination of oceanic and atmospheric processes were at play in these surface MHWs.

The role of advection should also be considered. The Labrador Current is responsible for transporting water properties southward along the NL Shelf. For example, the anomalously fresh conditions detected at Seal Island in July (Fig. 3 (c)) were transported south carrying with them properties such as high stratification. Indeed, Fig. 2 (d)-(f) shows and Fig. 5 (a)-(b) show signs that warm anomalies were potentially associated with transport from NNS in August to the outer (inner) edges of GB (FC) in September. A bifurcation of the Labrador Current exists near the northern boundary of GB, possibly directing some of the conditions associated with warm anomalies along the coast of GB in October. However, the October onset of MHWs in LS and NNS and the abrupt initiation of the July MHW across the entire shelf cannot be explained by transport and is. These events are more likely linked with local meteorological and oceanographic conditions. A more thorough investigation quantifying the magnitude of these factors is considered for future work.



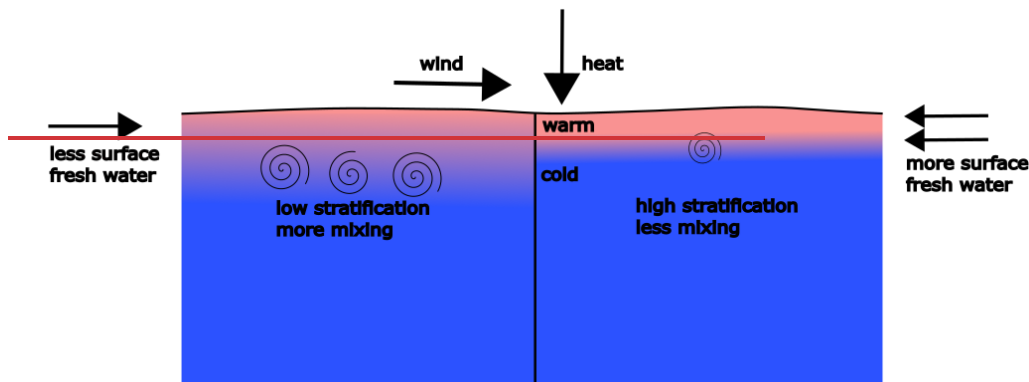


Figure 5: Schematic diagram describing the role of increased stratification on surface MHWs. On the left, less surface fresh water leads to lower stratification and more mixing. On the right, more surface fresh water leads to higher stratification and less mixing.

A more thorough investigation quantifying the magnitude of these factors and relationships with large-scale atmospheric conditions is considered for future work in the NL Shelf region. For instance, a heat budget analysis in the mixed layer (see Oliver et al., 2021 as an example) could quantify the role of various elements such as air-sea interaction, transport, vertical mixing, etc., in establishing MHW conditions. Furthermore, processes such as mesoscale eddies (e.g., Sun et al., 2024) and changes in coastal and shelf-break upwelling (e.g., Reyes-Mendoza et al., 2022) are likely to influence surface temperatures in the NL Shelf region. Higher resolution modelling experiments could also be used to explore and quantify controls on MHW conditions, particularly when examining shelf-scale processes that are not resolved by or well-constrained by global reanalysis products.

Finally, impacts of MHWs on the NL Shelf ecosystem is an important area for future work. One area of interest is the vertical distribution of MHWs (e.g., Fig. S5). Both scenarios receive the same heat flux and wind forcing at the surface. The case with more surface fresh water results in higher SSTs because the heat is confined to the surface due to high stratification.

#### 4.2 Sensitivity to climatological period

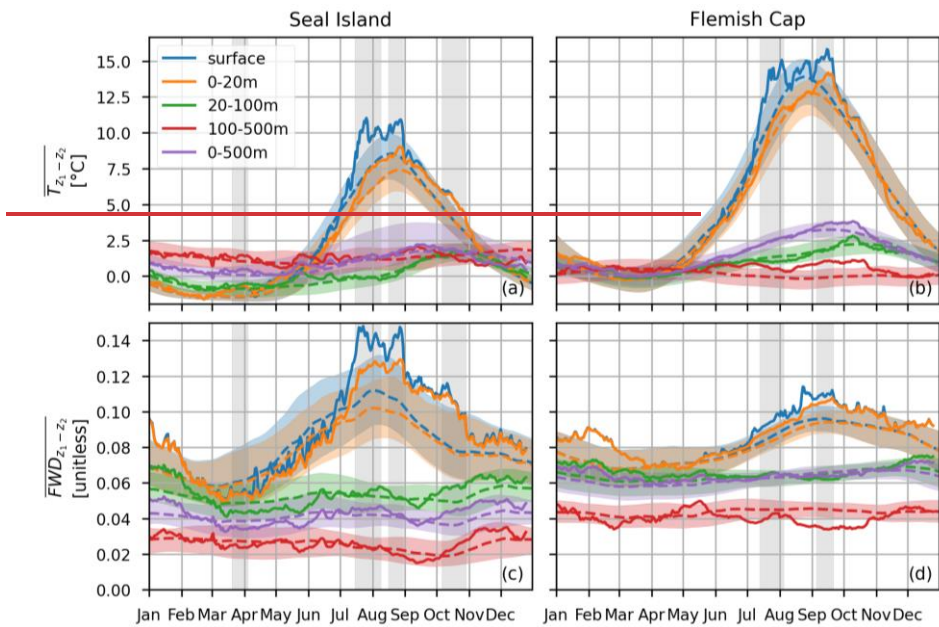
We explored the sensitivity of the MHW metrics to the climatological period by considering climatologies over 1993–2019 and 1996–2022. These two periods were selected to control for the relatively warm conditions from 2020–2022 and cold conditions from 1993–1995 (Galbraith et al., 2024). We found that, relative to the 1993–2022 climatology, the duration of a regional MHW event could be modified by up to four days. More typically, the duration was modified by a day or two. In

addition, the maximum intensity was no more than 0.19 °C different when compared to the original 1993–2022 climatological period. The largest impact occurred during the October MHW over the entire NL Shelf which was shortened by seven days when using the 1996–2022 period and lengthened by six days when using the 1993–2019 period. Furthermore, when using the 1993–2019 climatology, the temperature increase in late August shown in Fig. 4 (a) achieved the MHW definition on the NL Shelf and the FC and GB regions both achieved MHWs in October. Finally, the two 5-day long MHWs in FC and NNS were not long enough to meet the MHW definition when using the 1996–2022 climatology. Overall, our interpretations of the factors contributing to MHWs were not impacted by modifying the climatological period.

#### 4.3 Connection to the ecosystem

The timing of the spring bloom on the NL Shelf is linked with oceanographic conditions such as stratification and temperature, with earlier blooms occurring during warmer climate conditions and associated with earlier onset of stratification (Wu et al., 2007; Zhao et al., 2013; Cyr et al., 2024a). Despite the unusually cold SST during the spring (Fig. 2 (a) and (b)), the timing of the spring bloom was near-normal in GB and NNS in 2023 (Galbraith et al., 2024). Interestingly, spring bloom intensity in NNS was higher than normal and the fall bloom occurred earlier and was more intense than usual, potentially promoted by warmer surface waters in August or high nutrient inventories. Furthermore, the strong stratification throughout the summer and fall (Fig. 4 (b), Table 2) might also have contributed to the later timing of the fall bloom in GB and FC by limiting the transport of nutrients to surface waters. Overall, the impact of the 2023 MHWs on primary productivity in this region is not yet clear and deserves further exploration.

Besides impacts on primary productivity, MHWs can potentially influence higher trophic levels and marine habitats on the NL Shelf. For example, Dempson et al. (2016) noted warm climate conditions are linked with earlier river return times for Atlantic salmon migrating in this region. Yet, despite the warm SST in the summer and fall of 2023, the unusually cold spring could also impact salmon migration pathways and return timing. Ocean climate can also influence the spawning timing of capelin, a key pelagic species on the NL Shelf, with warmer than normal conditions associated with earlier spawning times (Murphy et al., 2021). Overall, on the NL Shelf, SSTs have shown considerable warming trends in recent years, with the last 3 years establishing new warm records during the ice-free period, respectively in 2021, 2023, and 2022 in increasing order (Galbraith et al., 2024). Surface MHWs were also detected on the NL Shelf in 2020–2022 indicating a need for more research into how these events impact the ecosystem. One area of interest is the vertical distribution of MHWs because not all elements of the marine ecosystem are impacted by high sea surface temperatures. Furthermore, regional differences in MHW intensity, frequency, and duration are important elements when considering ecosystem impacts. Tools such as ocean model reanalyses, analyses, and forecasts can aid in near real-time monitoring by linking surface MHWs with vertical characteristics such as stratification and by exploring spatial structures in remote areas that are difficult to study directly with observations. These results suggest that ocean model nowcast and reanalysis products can complement observational methods for studying MHWs in near-real time over large geographic areas and at multiple depths.



397  
398 **Figure A1:** Depth-averaged temperature (top) and freshwater density (bottom) from the ocean reanalysis (product ref. ~~no. 1~~)  
399 averaged across the Seal Island (left) and Flemish Cap transects (right). Results are shown out to the 500 m isobath for the surface  
400 (blue), 0–20m (orange), 20–100m (green), 100–500m (red), and 0–500m (purple). The solid line is the 2023 time series, the dashed line  
401 is the 1993–2022 climatology, and the shaded areas represent the 10th and 90th percentiles from the 1993–2022 period. Grey shaded  
402 rectangles represent heat-wave periods based on SST along each transect.

403 **Data and code availability**

404 The data used in this study are available as described in Table 1. The code used in this study can be accessed via a GitLab  
405 repository upon request via email to the corresponding author.

406 **Author contribution**

407 NS conducted the analysis, produced the visualisations, and prepared the initial manuscript draft. NS, HJA, and JC organised  
408 and curated data. All authors contributed to conceptualization of the study, discussions on methodology and results, and editing  
409 and reviewing the manuscript.



410 **Competing interests**

411 The authors declare that they have no conflict of interest.

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414 from the Copernicus Climate Change Service (2023). The results for ERA5 contain modified Copernicus Climate Change  
415 Service information 1993-2023. Neither the European Commission nor ECMWF is responsible for any use that may be made  
416 of the Copernicus information or data it contains. We greatly appreciate input and discussions with ~~Dr.~~ David Bélanger  
417 regarding 2023 nutrient inventories and primary productivity and thoughtful comments from ~~Dr.~~ Pierre Pepin. This work is a  
418 contribution to the science mission of the Atlantic Zone Monitoring Program.

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