# **Distributed Environments for Ocean Forecasting: the role of Cloud Computing**

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- 10 Abstract. Cloud computing offers an opportunity to innovate traditional methods for provisioning of scalable and measurable computed resources as needed by operational forecasting systems. It offers solutions for more flexible and adaptable computing architecture, for developing and running models, for managing and disseminating data to finally deploy services and applications. The review discussed on the key characteristic of cloud computing related on on-demand self-service, network access, resource pooling, elasticity and measured services. Additionally, it provides an overview of existing service models
- 15 and deployments methods (e.g., private cloud, public cloud, community cloud, and hybrid cloud). A series of examples from the weather and ocean community is also briefly outlined, demonstrating how specific tasks can be mapped on specific cloud patterns and which methods are needed to be implemented depending on the specific adopted service model.

### **1** Introduction

Cloud computing presents an opportunity to rethink traditional approaches used in operational oceanography (Vance et al,

- 20 2016), since it can enable a more flexible and adaptable computing architecture for observations and predictions, offering new ways for scientists to observe and predict the state of the ocean and, consequently, to build innovative downstream services for end-users and policy makers. Operational Ocean Forecasting Systems (OOFS) are sustained by a solid backbone composed by satellite and marine observation networks for Earth observations (i.e., data) and state-of-the-art numerical models (i.e., tools) that delivers products according to agreed standards (i.e., ocean predictions, indicators, etc.): the workflow is well
- 25 represented by the ocean value chain, as described in Bahurel et al. (2010) and Alvarez-Fanjul et al. (2022). OOFS massively use high performance computing (HPC) to process data and run tools, whose results are shared and validated according to agreed data standards and methodologies, that can result in a remarkable computational cost, not always affordable for research institutes and organizations. Additionally, wWhen building services, it is also important to guarantee lower-latency, cost-efficiency and scalability, together with reliability and efficiency. In such framework, cloud computing can represent an
- 30 opportunity for expanding the capabilities of forecasting centres in managing, producing, processing and sharing ocean data. Cloud technology has been dramatically evolved in the last decades: private sector has extensively used cloud computing for enabling scalability and security, leveraging it for Artificial Intelligence (AI) and Machine Learning (ML)AI/ML framework,

Internact of Things (IoT) integration and HPC to optimize and innovate operations. It plays also a crucial role in enhancing data interoperability and FAIR (findable, accessible, interoperable and reusable, Wilkinson et al., 2016) principles, through

35 standardization of formats, APIs and access protocols, ensuring that datasets can be easily shared, accessed, and reused by researchers globally.

Considering OOFS, the computational and programming models offered by cloud computing can largely support real time data processing, scalable model runs, data sharing and elastic operations, facilitating the integration of AI/ML techniques (Heimbach et al., 2024) and the development of applications for Blue Economy and society (Veitch et al., 2024) in operational

- 40 frameworks. More in detail, cloud computing can provide a powerful and collaborative platform for the development and running of operational models, for management and dissemination of data, for building and deploying services to downstream business and applications, and finally for analyses and visualization of oceanographic products, enabling researchers to tackle larger and more complex problems without the burden of building and maintaining computing and storage infrastructures. However, challenges such as data transfer latency, security and potential vendor lock-in must be addressed, including the high-
- 45 costs for running complex modelling systems. This paper explores today capabilities in cloud computing technology with an outlook on benefit and challenges in adopting this paradigm in OOFS. The reminder of this paper is organized as follows: Section 2 presents cloud computing foundational key concepts, highlighting some existing initiatives from the private sectors; Section 3 discusses on opportunities and challenges for ocean prediction in adopting cloud technologies, presenting existing international initiatives worldwide as
- 50 examples. Section 4 concludes this paper.

## 2 Key concepts of Cloud Computing

## 2.1 A brief history of Cloud Computing

Cloud computing is a specialized form of distributed computing that introduces utilization models for remotely provisioning scalable and measured computing resources (e.g., networks, servers, storage, applications, and services) (Mahmood et al., 2013), offering organizations different benefits for their business services and applications: scalability, cost savings, flexibility and agility, reliability and availability, collaboration and accessibility, innovation and experimentation, and sustainability. The term originated as a metaphor for the Internet which is, in essence, a network of networks providing remote access to a set of decentralized IT resources. In the early 1960s, J. McCarthy introduced the concept of computing as Utility: The idea of computing in the cloud traces back to 1961 when the computer scientist John McCarthy publicly proposed: "If computers of

60 the kind I have advocated become the computers of the future, then computing may someday be organized as a public utility just as the telephone system is a public utility.... The computer utility could become the basis of a new and important industry". <u>This idea opened to the concept of having services on the Internet so users could benefit of them for their applications. In the same period, J. C. R. Licklider envisioned a world where interconnected systems of computers could communicate and inter-</u> operate: that was the milestone of the modern cloud computing. In the late 1990s, R. Chellappa introduced for the first time

- 65 the term "cloud computing" as a new computing paradigm (Chellappa, 1997), "where the boundaries of computing will be determined by economic rationale rather than technical limits alone", dealing with concepts such as expandable and allocatable resources that can ensure cost-efficiency, scalability, and business value. In the same period, Compaq Computer Corporation adopted the concept of "cloud" in its business plan, as term for evolving the technological capacity of the company itself in offering new scalable and expandable services to customers over the Internet. The last 2 decades have been characterized by
- 70 <u>a rapid expansion of Cloud Computing: w</u>While the general public has been leveraging forms of Internet-based computer utilities since the mid-1990s as form of search engines, e-mail services, social media platforms, etc., it wasn't until 2006 that the term cloud computing emerged, when Amazon launched its Simple Storage Service (Amazon S3) followed by the Elastic Compute Cloud (Amazon EC2) service, enabling organizations to lease computing capacity and storage to run their business applications. <u>iIn 2008, Google launched the Google App Engine, a cloud computing platform used as a service for developing</u>
- 75 and hosting web applications; then, in 2010 Microsoft launched Azure as a cloud computing platform and service provider that provides scalable, on-demand resources to customers to build applications globally; in 2012, Google launched the Google <u>Compute Engine which enables users to launch virtual machines (VM) on demand</u>. To understand the framework over which cloud computing is built, it is fundamental to refer to standards and best practices

The currently most widely accepted definition of cloud computing is provided by the North American National Institute for

80 Standard and Technology (NIST) (Mell and Grance, 2011): "<u>c</u>=loud computing is a model for enabling ubiquitous, convenient, on-demand network access to a shared pool of configurable computing resources that can be rapidly provisioned and released with minimal management effort or service provider interaction".

NIST further elaborates on cloud computing providing a Cloud Computing Reference Architecture based on five Eessential Ceharacteristics, three Service Mmodels, and four Deeployment Mmodels.

### 85 2.2 An outlook to NIST definitions

Cloud computing **Eessential Ceharacteristics** defined by NIST can be considered as reference guidelines for both providers and clients to ensure scalable, cost-effective and accessible resources to fit specific needs. are reported in Table 1 shows a summary of the Essential Characteristics' definitions as provided in Mell and Grance (2011), offering the client and provider's

<sup>90</sup> perspectives with some examples that show how cloud solutions ensure scalability, flexibility and efficiency- Cloud computing service models define the types of services that are provided by cloud service providers: Table 2 illustrates the NIST definitions with the corresponding use cases.

<b>Characteristics</b>	<b>Primary Focus</b>	<b>Client Perspective</b>	Cloud Provider	Example
Characteristics	<u>I I IIII y I Ocus</u>	Chent I erspective	Perspective	Example
On Demond Solf	II	I I and and an an an and		A develop on love of an
On-Demand Self-	Users can provision	Users can request and	Automatically	A developer launches
Service	computing resources	configure resources	provide resources in	<u>a virtual machine on a</u>
	(e.g., storage, VMs)	like virtual machines,	response to user	cloud platform using a
	automatically, without	storage, or	requests without	dashboard or API in
	requiring human	applications when	manual intervention.	minutes, without
	interaction with the	needed, directly from		needing to contact
	service provider.	<u>a web interface or</u>		support.
		<u>API.</u>		
Broad Network	Cloud resources are	Users can access	Ensure cloud services	<u>A user edits a</u>
Access	available over a	cloud services from a	can be accessed	document stored in
	network and	range of devices (e.g.,	consistently and	the cloud from a
	accessible through	mobiles, PCs, etc.)	securely from	laptop at home, and
	standard mechanisms	through standard	different client	then continues editing
	from various devices	protocols like	devices.	from a smartphone
		HTTP/HTTPS and		while commuting.
		APIs.		
Resource Pooling	Cloud providers pool	Users don't know the	Dynamically allocate	Multiple customers
<u>Resource rooming</u>	resources to serve	exact physical	physical and virtual	use the same set of
	multiple users	location of the	resources across many	servers and storage,
				but their workloads
	(tenants) dynamically,	resources they are	customers to	
	with no fixed	using, but they get	maximize efficiency	are isolated through
	assignment to any one	what they need as	and utilization.	virtualization
	user.	required.		technologies for
				security.
Rapid Elasticity	Cloud resources can	Users can	Automatically add or	An e-commerce
	be quickly scaled up	automatically scale	remove resources in	website automatically
	or down to meet	their resources up or	response to changing	<u>scales up its</u>
	demand, often		demand, ensuring that	computing resources

# Table 1: NIST Cloud Computing Essential Characteristics: client/provider perspectives and examples-

	appearing limitless to	down based on their	the user has sufficient	during a flash sale,
	the user.	needs, without delays.	capacity.	then scales down
				when the traffic
				subsides.
Measured Service	Cloud systems	Users only pay for the	Track resource	A company receives a
	automatically control	amount of resources	consumption at	monthly bill detailing
	and optimize resource	(e.g., storage, CPU,	various levels (e.g.,	how much computing
	usage by tracking it	bandwidth) they	storage, CPU usage)	power and storage
	and charging based on	actually use, with	and optimize based on	they used, ensuring
	actual consumption.	detailed reporting.	real-time monitoring.	that they are billed
				accurately based on
				consumption.

Essential Characteristic	Description
<del>On-demand self-</del> service	A consumer can unilaterally provision computing capabilities, such as server time and network storage, as needed automatically without requiring human interaction with each service provider
Broad network access	Capabilities are available over the network and accessed through standard mechanisms that promote use by heterogeneous thin or thick client platforms (Thin client relies mainly on network connection and cloud services to do any processing (Chromebooks are a sort of thin client). Thick clients can function independently - e.g. a traditional PC -).
<del>Resource</del> <del>pooling</del>	The provider's computing resources are pooled to serve multiple consumers using a multi-tenant model with different physical and virtual resources dynamically assigned and reassigned according to consumer demand. Multi tenancy means that multiple users share the same resources of a cloud provider (e.g. one physical server is used to provide multiple virtual machines belonging to different users). A multi-tenant model guarantees that resources can be shared among multiple users and instances of each tenant are isolated and secured from the other tenants.

Rapid elasticity	Capabilities can be elastically provisioned and released, in some cases automatically, to scale rapidly outward and inward commensurate with demand.
<del>Measured</del> <del>service</del>	Cloud systems automatically control and optimize resource use by leveraging a metering capability

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NIST specifies three possible cloud **Services Models**: Infrastructure as a Service (IaaS), Platform as a Service (PaaS) and Software as a Service (SaaS). They define the foundational cloud services' characteristics clients need, to ensure adequate levels of management, flexibility and control. Table 2 presents Service Models' definitions as provided in Mell and Grance (2011), discussing examples where they are used.

# 100 Table 2: NIST Cloud Computing Service Models

Service Model	Primary Focus (from Mell	<u>Client Perspective</u>	<u>Provider Perspective</u>	Use Cases
	and Grance, 2011)			
	<b>Description</b>			
Infrastructure	The capability provided to	Renting and	Provisioning of	Suitable for
as a Service	the consumer is to	managing computing	computing resources	organizations that want
(IaaS)	provision processing,	resources in a	in a virtualized	full control over their
	storage, networks, and	virtualized	infrastructure.	infrastructure resources
	other fundamental	infrastructure.		(virtual machines,
	computing resources where			networks, storage) that
	the consumer is able tocan			want their flexibility in
	deploy and run arbitrary			customizing software
	software <u>.</u>			stack and applications.
				including data
				processing and -backup.
				Examples: Amazon EC2,
				Microsoft Azure, etc.
Platform as a	The capability provided to	Easing applications	Provisioning and	Suitable for developers
Service (PaaS)	the consumer is to deploy	deployment without	management of the	and organizations that
	onto the cloud	taking care of the	<u>platform.</u>	want to develop, deploy
	infrastructure consumer-	infrastructure and		and maintain
	created or acquired	middleware.		applications without the
	applications created using			burden of managing the

	programming languages,	Dependency on		underlying infrastructure
	libraries, services, and tools	provider's platform.		(virtual machines,
	supported by the provider.			network and storage),
				that is provisioned and
				deployed by the
				providers. Examples:
				Google App Engine,
				Microsoft Azure App
				Services, etc.
Software as a	The capability provided to	Using directly	Provisioning and	It enables organizations
Service (SaaS)	the consumer is to use the	software applications	management of the	to focus on their core
	provider's applications	via Internet (e.g., web	software applications,	business activities while
	running on a cloud	browser or using a	including customer	relying on the expertise
	infrastructure.	client), decreasing	support.	and infrastructure
		costs related to		provided by the SaaS
		licences.		provider. Examples:
				Google Drive, Dropbox,
				Microsoft 365

Beside the NIST definitions, similar to PaaS another service model is the Serverless model (or Function as a Service - FaaS), that is the capability provided to the user to abstract infrastructure concerns away from applications, where developers can

105 implement application functionality as invokable functions/services whilst providers automatically provision, deploy, and scale these services based on a range of criteria, including efficiency, cost, load balancing, etc. Examples of Serverless/FaaS services are AWS Lambda<sup>1</sup> and Fargate<sup>2</sup>, Microsoft Azure Functions<sup>3</sup>, Google Cloud Functions<sup>4</sup>, Scaleway Serverless Functions<sup>5</sup>.

Cloud computing deployment models can be based on different approaches, offering organizations options for workload placement, application development, and resource allocation to optimize their cloud strategy based on their needs, cost

<sup>&</sup>lt;sup>1</sup> <u>https://aws.amazon.com/lambda</u>

<sup>&</sup>lt;sup>2</sup> <u>https://aws.amazon.com/fargate</u>

<sup>&</sup>lt;sup>3</sup> <u>https://azure.microsoft.com/en-us/products/functions</u>

<sup>&</sup>lt;sup>4</sup> <u>https://cloud.google.com/functions</u>

<sup>&</sup>lt;sup>5</sup> <u>https://www.scaleway.com/en/serverless-functions</u>

considerations, performance requirements, compliance regulations and desired level of control. The four cloud computing Ddeployment Mmodels identified by NIST are reported in Table 3 with a description and some examples.-

Deployment Model	Description	Examples
Private Cloud	Deployment of cloud infrastructure and services exclusively for a single organization or entity. In a private cloud, the computing resources, such as servers, storage, networking, and virtualization technologies, are dedicated to and managed by the organization itself. The infrastructure can be hosted on-premises within the organization's own data centers or in a dedicated off-site facility.	Open source software solutions such as CloudStack <sup>6</sup> , OpenNebula <sup>7</sup> , Openstack <sup>8</sup> , allow organizations to build their own private cloud computing solutions.
Public Cloud	Use of cloud services provided by third-party vendors over the internet. The infrastructure and resources in the public cloud are shared among multiple customers and the cloud service provider is responsible for managing and maintaining the underlying hardware, software, and infrastructure. Users can access and utilize the services on a pay- as-you-go basis, typically through a subscription or usage-based pricing model.	Examples of Public <u>C</u> eloud providers are Alibaba <sup>9</sup> , Amazon Web Services <sup>10</sup> , Google Cloud Platform <sup>11</sup> , Hetzner <sup>12</sup> , Microsoft Azure <sup>13</sup> , Scaleway <sup>14</sup> .

# Table 3: NIST Cloud Computing Deployment Models.

- <sup>7</sup> <u>https://opennebula.io</u> <sup>8</sup> <u>https://www.openstack.org</u> <sup>9</sup> <u>https://www.alibabacloud.com</u>
- <sup>10</sup> https://aws.amazon.com
- <sup>11</sup> <u>https://cloud.google.com</u> <sup>12</sup> <u>https://www.hetzner.com/cloud</u> <sup>13</sup> <u>https://azure.microsoft.com</u>
- <sup>14</sup> https://www.scaleway.com/en

<sup>&</sup>lt;sup>6</sup> https://cloudstack.apache.org

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Community Cloud	Cloud infrastructure and resources are shared among organizations with common interests, such as industry-specific regulations, security requirements, or collaborative projects. In a community cloud, the infrastructure is designed and managed for the specific needs of the community members, and it allows organizations within the community to share costs, resources, and expertise while maintaining a higher level of control and customization compared to public cloud services.	EGI <sup>15</sup> is a federation of different European Data Centers providing a cloud infrastructure for research communities. The European Open Science Cloud (EOSC <sup>16</sup> ) is an environment for hosting and processing research data to support EU science, built on top of EGI cloud infrastructure. The European Weather Cloud <sup>17</sup> will deliver data access and cloud-based processing capabilities for the European Meteorological Infrastructure (EMI) and their users. <u>The D4Science<sup>18</sup> e-infrastructure (Assante et al.,</u> 2019) is the core of the Blue-Cloud <sup>19</sup> Virtual Research Environments (VREs): it implements proven solutions for connecting to external services and orchestrates distributed services, which will be instrumental for <u>smart connections to other e-infrastructures in Blue- Cloud, including EUDAT and DIAS (WekEO).</u>
Hybrid Cloud	It cCombines both public and private cloud environments to create a unified computing infrastructure, allowing organizations to host some applications or data in a private cloud (i.e. greater control, security and compliance), while utilizing public cloud services for other applications or workloads (i.e. scalability, cost- effectiveness and flexibility for workload burst/on-demand peaks). The hybrid approach provides the ability to address specific requirements, such as regulatory compliance or data sovereignty, by keeping sensitive data within	Netflix <sup>20</sup> uses a hybrid cloud storage solution in order to store and move assets across Amazon AWS S3 and multiple on-premises storage systems.

<sup>&</sup>lt;sup>15</sup> <u>https://www.egi.eu</u>
<sup>16</sup> <u>https://eosc.eu</u>
<sup>17</sup> <u>https://www.europeanweather.cloud</u>
<sup>18</sup> <u>https://www.d4science.org/</u>
<sup>19</sup> <u>https://www.blue-cloud.org/e-infrastructures/d4science</u>
<sup>20</sup> <u>https://aws.amazon.com/solutions/case-studies/netflix-storage-reinvent22</u>

a private infrastructure while utilizing the public cloud for less sensitive workloads.

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Beside the cloud deployment models identified by NIST, there are few other approaches that are worth mentioning that provide further capabilities to the organizations that decide to embrace cloud technology.

**Multi-cloud computing** refers to the strategy of using multiple cloud service providers, allowing organizations leveraging the services of two or more public/<u>private</u> cloud providers <u>or a combination public-private</u>, combining their offerings to build and

- 120 manage their applications and infrastructure. This approach allows businesses to take advantage of the strengths and capabilities of different cloud providers, such as cost-effectiveness, performance, geographic coverage, or specialized services. It also offers increased flexibility, redundancy, and mitigates the risk of vendor lock-in (Hong et al., 2019). Multi-cloud solutions, that can be based on open source technologies such as Kubernetes, offer the possibility to ease migration of applications, improving portability since they support containerization and microservices. Major challenges include the
- 125 complexity in the management of the infrastructure, issues with integration and interoperability and security. The Eedgecomputing paradigm enables data analysing, storage and offloading computations near the edge devices (such as Internet of Things – IoT – devices, sensors, mobile devices, etc.) to improve response time and save bandwidth (Pushpa and Kalyani, 2020). This approach aims at minimizing the data volume to process in the cloud, reducing network costs and bandwidth utilization and increasing reliability and scalability. Major challenges include the complexity in the management of the edge
- 130 devices, security potentially affected by devices' vulnerability and synchronization of communications between edge devices and cloud infrastructure.

**Distributed cloud-edge computing**, one of the main innovation streams for cloud computing, combines elements of cloud computing with edge computing, extending the capabilities of the traditional centralized cloud infrastructure by distributing cloud services closer to the edge of the network, where data is generated and consumed, rather than relying solely on centralized

data <u>centerscentres</u>. By moving cloud services closer to where data is generated, latency is reduced, and real-time or time-sensitive applications can benefit from faster response times and improved performance. This is especially crucial for applications requiring immediate data processing and low latency. Recently, public cloud providers started to offer pre-configured appliances (e.g. AWS Outpost, Azure Stack) that brings the power of the public cloud to the private and edge cloud, and have defined collaborations with telcos (e.g. AWS and Vodafone, Google and ATT) to create 5G edge services.
Furthermore, the main open source cloud management platforms provide extensions (OpenNebula ONEedge, OpenStack StarlingX, Kubernetes KubeEdge) for enhancing private clouds with capabilities for automated provisioning of compute, storage and networking resources and/or orchestrate virtualized and containerized application on the edge. <u>Major challenges include ensuring data security across the distributed locations, for a safe communication between cloud and edge, and resource management and network reliability.</u>

145 Based on NIST's definitions as discussed before, Table 4 summarizes how the five Essential Characteristics apply across the four Deployment Models (Public, Private, Hybrid, and Community Cloud) to support the selection of the right cloud model with respect to efficiency in costs and performances, security and management. Table 4: Mapping Essential Characteristics on type of cloud Deployment Models.

Essential	Deployment Model				
<u>Characteristic</u>					
	<b>Private Cloud</b>	<b>Public Cloud</b>	Community Cloud	Hybrid Cloud	
On-Demand Self-	Managed internally,	Users provision	Self-service for	Self-service across	
Service	self-service for	services via public	community members,	both public and	
	internal teams	provider's API or	often through secure	private clouds, with	
		<u>portal</u>	portals	potential for complex	
				management	
Broad Network	Limited to internal	Accessible over the	Restricted to	Accessible over both	
Access	users or authorized	<u>public internet via</u>	community members	public and private	
	external users (VPN,	standard protocols	with specific access	networks, often with	
	private network)	<u>(e.g., HTTP)</u>		encrypted or	
				dedicated connections	
Resource Pooling	Resources are pooled	Resources are pooled	Resources are pooled	Resources are pooled	
	internally for	and shared across	among members of a	across private and	
	organizational needs	multiple tenants	specific community	public clouds, with	
				dynamic allocation	
				based on workload	
Rapid Elasticity	Elasticity may be	High elasticity with	Elasticity exists but is	Public cloud provides	
	constrained by	near-unlimited	constrained by the	high elasticity, with	
	internal resources	scalability based on	community's shared	private cloud handling	
		demand	resources	more stable,	
				predictable workloads	
Measured Service	Internal measurement	Public provider	Resource usage is	Both private and	
	and chargeback to	measures and bills	tracked across	public clouds measure	
	<u>departments</u>	based on usage (e.g.,	community members	usage, with different	
		compute hours,	for cost-sharing	billing models	
		storage)		(internal and public)	

<u>C</u>As of today, cloud computing has been established as a new paradigm for the development and delivery of business and enterprise applications. Cloud-native applications — i.e., that are buildingbuilt, runningrun, and maintaining-maintained using tools, apps based on techniques and technologies for cloud computing – provide abstraction from underlying infrastructure and use agile and DevOps methods thanks to the flexibility and agile infrastructure provided by cloud computing

- technologiesenhanced scalability, flexibility and reliability, which are strongest in Public and Hybrid cloud models.- Cloud-native application development is driven by new software models, such as microservices and serverless, and is made possible through technologies such as Linux-containers (i.e., Docker<sup>21</sup>) and container orchestration tools (i.e., like-Kubernetes), that are becoming the de facto leading standards for packaging, deployment, scaling and management of enterprise and business applications on cloud computing infrastructures.
- 160 Following the rise of Linux container<u>containerization</u>-use in enterprise environments, the adoption of container technologies has gained momentum in technical and scientific computing, i.e., including high-performance computing (HPC). Containers can address many HPC problems (Mancini and Aloisio, 2015): however, security and performance overhead represent some current limits in using containerization in HPC environment (Chung et al., 2016; Abraham et al., 2020), but unfortunately the mainstream container engine such as Docker was not able to make its mark in HPC centers due to some technical challenges.
- 165 Several container platforms have been created to address the needs of the HPC community such as Shifter (Jacobsen and Canon, 2015), Singularity (Kurtzer et al., 2017) (now Apptainer), Charliecloud (Priedhorsky and Randles, 2017) and Sarus (Benedicic et al., 2019). Recently, Podman <sup>22</sup>/<sub>2</sub> has been analyzed to investigate its suitability in the context of HPC (Gantikow et al., 2020), showing some promise in bringing a standard-based, multi-architecture enabled container engine to HPC.

#### 2-3 Cloud Computing in Operational Ocean ForecastingCloud Technology Landscape in Oceanography

- 170 Technological advancements in cloud computing and its foundational characteristics, services and models can provide enormous advantages for operational oceanography across the ocean architectures (Alvarez Fanjul et al., 2024). Cloud computing presents an opportunity to rethink traditional approaches used in Operational Ocean Forecasting (Vance et al, 2016), since it can enable a more flexible and adaptable computing architecture for observations and predictions, offering new ways for scientists to observe and predict the ocean's state. Cloud computing can provide a powerful and agile platform for
- 175 development and running operational models, for management and dissemination of data, for building and deploy services to downstream business and applications, and finally for analyses and visualization of oceanographic products, enabling researchers to tackle larger and more complex problems without burden of building and maintaining computing and storage infrastructures.

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<sup>&</sup>lt;sup>21</sup> https://www.docker.com/

<sup>&</sup>lt;sup>22</sup> https://podman.io/

Observational data can be acquired and processed in real-time, giving the opportunity to exploit new devices that can collect complementary observations to the traditional ones from observing networks to use for monitoring and model validation.

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- Numerical models can be run on on-premises infrastructure with public and private cloud resources depending on the model execution complexity:
- On private infrastructure, the forecaster can run nominal operational system for the delivery of the predictions as well as simplified model configurations for finetuning the numerical setup supporting the R&D activities.
  - On public infrastructure, the forecaster can run backup version of the operational system to supply unexpected demands or, depending on the costs, even the nominal one.
- 190 High-performance resources to run operational models can be leased as needed without the necessity of creating and supporting infrastructure, enabling the possibility to collaborate and use the same resources from geographically diverse locations.
  - Pre-processing and post-processing can be supported by fully managed software applications, such as cloud-based AI/ML models for anomaly detection in observations and model-based results.
- Visualization and data delivery can be performed on cloud infrastructure for allowing intermediate and end-users to access sensitive information (indicators, maps, bulletins, etc.) from any device in a secure way.

For example, high-performance resources to run operational models can be leased as needed without the necessity of creating and supporting infrastructure, enabling the possibility to collaborate and use the same resources from geographically diverse locations. Large scale datasets related to forecast and observational oceanographic products can be stored in cloud-native storages (e.g., S3 Object Storage) and accessed from any location with public connectivity, enabling data proximate

200 storages (e.g., S3 Object Storage) and accessed from any location with public connectivity, enabling data proximate computations (Ramamurthy, 2018) for analysis of large datasets using remote resources (close to data) rather than downloading vast amounts of data locally and needing a local infrastructure in support.

Vance et al. (2019) analyze<u>analysed</u> uses of the cloud for management and analysis of observational data and model results. <u>They</u>, describe<u>d</u> the workflows for running models and streaming observational data, based on the cloud patterns for <u>e-</u>

205 <u>ScienceAtmospherie and Ocean Research as identified by</u> Butler and Merati (2016). <u>Taking as reference Vance et al. (2019)</u> for the cloud patterns and Alvarez-Fanjul et al. (2024) for the ocean architectural components, <u>The different cloud patterns are</u> reported in <u>Table 5</u>Table 4 shows a simplified pattern analysis (Geyer-Schulz and Hahsler, 2001) for <u>-</u>the ocean value chain components, motivating the added value of adopting cloud-based solutions to improve operational forecasting workflows.-

Cloud Pattern	Intent	<u>OOFS</u>	<b><u>Cloud-based</u></b>	<b>Motivation</b>
		Architecture	<u>solution</u>	
		<u>Component</u>		
Cloud-Based Management	Explores integrating the	Upstream data	Hybrid/Public	You are a data manager
of Scientific Data – Getting	use of cloud-based data and	<u>(U)</u>	<u>cloud - PaaS or</u>	that needs to provide
Data From the Cloud	how scientists can access		SaaS (data	<u>upstream data to</u>
	large volumes of diverse,		access as a	forecasters for running a
	current and authoritative		service)	Core module (MOD,
	data, addresses the			DAS, ENS, COUP) or
	problem of locating and			Validation module
	using large amounts of			<u>(VAL).</u>
	scientific data.			
Cloud-Based Management	Explores storing and	Upstream data	Private cloud -	You are a data manager
of Scientific Data – Storing	managing data in the cloud.	<u>(U)</u>	PaaS	that needs a) to store
Data in the Cloud	Addresses the problem of			collected observations in
	ever increasingever-			a private cloud and/or b)
	increasing data quantities			to store model forecasts
	with decreasing budgets			in native format for
	for data management.			further elaboration/use.
	Explores the ways			Data are stored in a
	scientific projects can meet			database and accessible
	data access and			through API (including
	dissemination			GIS-based).
	requirements			
Computing Infrastructure	Explores the ways in which	Core modules	Private/Hybrid	You are a numerical
for Scientific Research in	cloud computing, in the	(MOD, DAS,	<u>cloud - PaaS or</u>	modeler that need to
Ocean Science	<del>form of PaaS or IaaS</del>	ENS, COUP)	<u>IaaS</u>	develop an ocean model
	couldcomputing could be			application for research
	used as part of a research			of for training purposes.
	<del>program and for</del>			The project has a limited
	teachingproject and			budget for resources, and

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	<u>capabilities on demand.</u>			(e.g., working as backup in case the nominal unit
	larger computational			<u>unexpected</u> situation
	It addresses the need for			demand under
Forecasting Systems	pipeline of ocean forecasts.			forecasting system on
Operational Ocean	used in the production	ENS, COUP)		operational ocean
of Model Application for	cloud computing could be	(MOD, DAS,	PaaS or IaaS	centre that need to run its
Computing Infrastructure	Explores the ways in which	Core modules	Hybrid cloud -	You are a forecasting
				storage).
				<u>CPU/GPU, networking,</u>
				(e.g., computing
				needs of your simulation
				environment to suit the
				need to tailor your
	forecasting services.			<u>HPC environment. You</u>
	tools can be used to run numerical models used in	ENS, COUP)		<u>a model application (that</u> can include AI/ML) in
simulation in the Cloud	cloud-based platforms and	(MOD, DAS, DAS, DAS, COUD)	<u>IaaS</u>	modeler that need to run
Running HPC numerical	Explores ways in which	<u>Core modules</u>	<u>Private cloud -</u>	You are a numerical
	constrained budgets.	C 11	D: 1 1	<b>X7</b> ' 1
	especially under			project's requirements.
	computational capabilities,			scale depending on the
	need for larger			and/or software that can
	training. It addresses the			you need to use platform

	question of ways scientific			based service for pre-
	projects can standardize			gualification of ocean
	analysis tools among			products. You might
	geographically distributed			need to analyse big
	researchers.			volume of data.
Visualization in the Cloud	Explores creating	Downstream	Private/Public	You are a data engineer
	visualizations using cloud-	Services (DS)	<u>cloud - SaaS</u>	that needs to create a
	based tools and making the		<u>(data analysis</u>	series of visualizations
	visualizations available via		as a service)	for the end-users and
	the cloud. Addresses the			policy makers. You
	need to visualize larger			might need to process big
	amounts of data.			volume of data that
				require secure resources
				and access via web.
Results Dissemination in	Explores ways in which	Downstream	Hybrid/Private	You are a
Real	cloud-based platforms and	Services (DS)	<u>cloud - SaaS</u>	communication expert
Time/Storytelling/Outreach	tools can be used to reach			that need to use cloud-
	new audiences. Addresses			based repository for
	the need to make research			visualization and/or use
	results rapidly available			cloud-based chats and
	and relevant to a wide			blogging networks.
	variety of audiences			
	scientific and non-			
	scientific.			
Running HPC numerical	Explores ways in which			
simulation in the Cloud	cloud based platforms and			
	tools can be used to run			
	numerical models used in			
	forecasting services.			

Most of the challenges generically introduced in Section 2 can be still pertinent when adopting cloud computing solutions for OOFS:

- 215 Data Security: processing oceanographic data might generate sensible information that requires to be properly managed. In addition, downstream services might require use of data from governamental governmental or research institutes that need to be preserved and possibly not shared.
  - Costs: while cloud computing can reduce upfront infrastructure costs, it can become expensive for continuous, longterm use or for HPC tasks that require significant computational power.
- Latency and Bandwidth Limitations: ingesting or assessing large volume of ocean data on centralized cloud data centres might affect OOFS system's performances due to poor network connection.
  - Dependence on Cloud Providers (Vendor Lock-In): deployment of OOFS on specific cloud providers might lead to
     vendor lock-in, complicating migration to another cloud provider due to proprietary technologies, APIs, or data
     format.
- Regulatory and Compliance Issues: cloud providers must comply with various regulatory frameworks, and using a
  public cloud for OOFS might complicate compliance with data protection laws or environmental regulations or even
  with licences.
  - Limited Control over Hardware: cloud users don't have direct control over the underlying hardware, which may be a disadvantage when HPC resources need fine-tuned optimization to run OOFS.
- 230

In the following, some US and EU programmes, initiatives and projects- are reported as examples on how cloud computing technologies and patterns have been used to provide services to the oceanographic and scientific community in general.

# <u>3</u>2.1 NOAA Open Data Dissemination & Big Data Program

NOAA's Open Data Dissemination (NODD<sup>23</sup>) Program is designed to facilitate public use of key environmental datasets by
providing copies of NOAA's information in the Cloud, allowing users to do analyses of data and extract information without having to transfer and store these massive datasets themselves. NODD started out as the Big Data Project in April 2015 (and then later became Big Data Program); NODD currently works with three IaaS providers (Amazon Web Services (AWS), Google Cloud Platform, and Microsoft Azure) to broaden access to NOAA's data resources. These partnerships are designed to not only facilitate full and open data access at no net cost to the taxpayer, buttaxpayer but also foster innovation by bringing
together the tools necessary to make NOAA's data more readily accessible. There are is over 220+ NOAA datasets on the Cloud

Service Providers (CSPs) platforms. The datasets are organized by the NOAA organization who generated the original dataset (<u>https://www.noaa.gov/nodd/datasets</u>).

<sup>&</sup>lt;sup>23</sup> <u>https://www.noaa.gov/nodd</u>

### 32.2 Copernicus Service and Data and Information Access Services

Copernicus (https://www.copernicus.eu) is the Earth Observation component of the EU Space programme, looking at the

- 245 Eearth and its environment to benefit all European citizens. Copernicus is generating on a yearly basis petabyte of data and information that draw from satellite Earth Observation and in-situ (non-space) data. The up-to-date information provided by the core services (Atmosphere<sup>24</sup>, Climate Change<sup>25</sup>, Marine<sup>26</sup>, Land<sup>27</sup>, Security <sup>28</sup> and Emergency<sup>29</sup>) are free and openly accessible to users. As the data archives grow, it becomes more convenient and efficient not to download the data anymore but to analyze them where they are originally stored.
- 250 To facilitate and standardize access to data, the European Commission has funded the deployment of five cloud-based platforms (CreoDIAS<sup>30</sup>, Mundi<sup>31</sup>, Onda<sup>32</sup>, Sobloo, Wekeo<sup>33</sup>), known as DIAS <sup>34</sup>— Data and Information Access Services - that provide centralized access to Copernicus data and information, as well as to processing tools. The DIAS provides users with a large choice of options to benefit from the data generated by Copernicus: to search, visualize and further process the Copernicus data and information through a fully maintained software environment while still having the possibility to download the data
- 255 to their own computing infrastructure. All DIAS platforms provide access to Copernicus Sentinel data, as well as to the information products from the six operational services of Copernicus, together with cloud-based tools (open source and/or on a pay-per-use basis). Thanks to a single access point for the entire Copernicus data and information, DIAS allows the users to develop and host their own applications in the cloud, while removing the need to download bulky files from several access points and process them locally.

### 260 <u>3</u>**2**.3 Blue-Cloud

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The European Open Science Cloud (EOSC) provides a virtual environment with open and seamless access to services for storage, management, analysis and re-use of research data, across borders and disciplines. Blue-Cloud aims at developing -a marine -thematic -EOSC -to -explore -and -demonstrate -the potential -of -cloud--based open -science for better -understanding and -managing the many aspects of ocean sustainability (https://blue-cloud.org/news/blue-clouds-position-paper-eosc). The Blue-Cloud platform, federating European Bblue data management infrastructures (SeaDataNet<sup>35</sup>, EurOBIS<sup>36</sup>, Euro-Argo

<sup>27</sup> https://land.copernicus.eu/en

<sup>&</sup>lt;sup>24</sup> https://atmosphere.copernicus.eu/

<sup>&</sup>lt;sup>25</sup> https://climate.copernicus.eu/

<sup>&</sup>lt;sup>26</sup> https://marine.copernicus.eu/

<sup>&</sup>lt;sup>28</sup> https://www.copernicus.eu/en/copernicus-services/security

<sup>&</sup>lt;sup>29</sup> https://emergency.copernicus.eu/

<sup>&</sup>lt;sup>30</sup> https://creodias.eu/

<sup>&</sup>lt;sup>31</sup> https://mundiwebservices.com/

<sup>32</sup> https://www.onda-dias.eu/cms/

<sup>&</sup>lt;sup>33</sup> https://www.wekeo.eu/

<sup>&</sup>lt;sup>34</sup> https://www.copernicus.eu/en/access-data/dias

<sup>&</sup>lt;sup>35</sup> https://www.seadatanet.org/

<sup>&</sup>lt;sup>36</sup> https://www.eurobis.org/

ERIC<sup>37</sup>, Argo GDAC (Wong et al., 2020), EMODnet<sup>38</sup>, ELIXIR-ENA<sup>39</sup>, EuroBioImaging<sup>40</sup>, -<u>CMEMSCopernicus Marine</u>, <del>C3SCopernicus Climate Change</del>, -and- ICOS-Marine<sup>41</sup>) and horizontal- e-infrastructures (EUDAT<sup>42</sup>, -DIAS, -D4Science), provides FAIR access to multidisciplinary data, analytical tools and computing and storage facilities that support research. Blue Cloud provides Services through pilot Demonstrators for oceans, seas and fresh-water bodies for ecosystems research,

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- 0 conservation, forecasting and innovation in the Blue Economy, and accelerates cross-discipline science, making innovative use of seamless access to -multidisciplinary data, algorithms, and computing resources.

### **4** Conclusions

Cloud computing has been demonstrated to be a key driver in the digital evolution of the private sectors, offering a baseline

- 275 for expanding and scaling applications and services by enhancing scalability, cost-efficiency and data processing. Service models offer different layers for pushing technological evolution, where infrastructure/platform/software can be assimilated to services that can be deployed in different cloud models, depending on the specific needs of the users in keeping resources public or private or hybrid. By leveraging on-demand computing power, big data analytics, and global data accessibility and sharing, cloud computing improves business efficiency, scientific research, and innovation, benefiting society and business.
- 280 Taking these concepts as granted, cloud computing can be seen as an opportunity for operational oceanography, for enhancing ocean prediction and monitoring by exploiting its collaborative framework to support Blue Economy, sustainable ocean management and climate change mitigation actions. The simplified pattern analysis has revealed how OOFS architecture components can be implemented in cloud environment without the burden of maintaining complex infrastructure: common tasks like processing and analysings of large datasets can be optimized in cloud-native storages, using software that can be
- 285 integrated by AI/ML techniques for anomaly detection, or by means of specific APIs for data searching and retrieving. Cloudbased visualization and data delivery can ensure security especially for critical information that can impact decision-making, driving better-informed policies and responses in marine and coastal management.

Despite these advantages, several challenges remain, some of them partially solved with the implementation of existing deployments models (hybrid cloud, for instance): interoperability, that is one of the pillars for cloud-based environments,
 requires definition of data standards and adoption of best practices. Security in data access/sharing as well as costs associated with running of forecasting systems can raise constraints for vendor lock-in and long-term sustainability.

Promoting a collaborative framework among existing and new centers could be seen as one promising approach for fostering innovation, collaboration and more efficient ocean prediction and monitoring: by leveraging shared cloud-based resources,

<sup>37</sup> https://www.euro-argo.eu/

<sup>&</sup>lt;sup>38</sup> https://emodnet.ec.europa.eu/en

<sup>&</sup>lt;sup>39</sup> https://elixir-europe.org/services/biodiversity

<sup>40</sup> https://www.eurobioimaging.eu/

<sup>&</sup>lt;sup>41</sup> https://www.icos-cp.eu/observations/ocean/otc

<sup>&</sup>lt;sup>42</sup> https://www.eudat.eu/

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#### 405 Competing interests

The contact author has declared that none of the authors has any competing interests.

### Data and/or code availability

This can also be included at a later stage, so no problem to define it for the first submission.

### **Authors contribution**

410 This can also be included at a later stage, so no problem to define it for the first submission. SC contributed to the conceptualization, writing and validation. GP contributed to the writing and validation.

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