Assessing the technical aspects of ocean-alkalinity-enhancement approaches

Matthew D. Eisaman1,2, Sonja Geilert3, Phil Renforth4, Laura Bastianini4, James Campbell4, Andrew W. Dale5, Spyros Foteinis4, Patricia Grasse5,6, Olivia Hawrot4, Carolin R. Löscher7, Greg H. Rau8,9, and Jakob Rønning7

1Department of Earth and Planetary Sciences, Yale University, New Haven, CT, USA
2Yale Center for Natural Carbon Capture, Yale University, New Haven, CT, USA
3Department of Earth Sciences, Utrecht University, Utrecht, the Netherlands
4Research Centre for Carbon Solutions, Heriot-Watt University, Edinburgh, EH14 4AS, United Kingdom
5GEOMAR Helmholtz Centre for Ocean Research Kiel, 24148 Kiel, Germany
6German Centre for Integrative Biodiversity Research (iDiv) Halle–Jena–Leipzig, 04103 Leipzig, Germany
7Danish Institute for Advanced Study (DIAS), Nordce, University of Southern Denmark, Odense, Denmark
8Planetary Technologies, Inc., Dartmouth, NS, Canada
9Institute of Marine Sciences, University of California, Santa Cruz, CA, USA

Correspondence: Matthew D. Eisaman (matthew.eisaman@yale.edu), Sonja Geilert (s.geilert@uu.nl), and Phil Renforth (p.renforth@hw.ac.uk)

Received: 5 June 2023 – Discussion started: 8 June 2023
Revised: 9 October 2023 – Accepted: 11 October 2023 – Published:

Abstract. Ocean alkalinity enhancement (OAE) is an emerging strategy that aims to mitigate climate change by increasing the alkalinity of seawater. This approach involves increasing the alkalinity of the ocean to enhance its capacity to absorb and store carbon dioxide (CO2) from the atmosphere. This chapter presents an overview of the technical aspects associated with the full range of OAE methods being pursued and discusses implications for undertaking research on these approaches. Various methods have been developed to implement OAE, including the direct injection of alkaline liquid into the surface ocean; dispersal of alkaline particles from ships, platforms, or pipes; the addition of minerals to coastal environments; and the electrochemical removal of acid from seawater. Each method has its advantages and challenges, such as scalability, cost effectiveness, and potential environmental impacts. The choice of technique may depend on factors such as regional oceanographic conditions, alkalinity source availability, and engineering feasibility. This chapter considers electrochemical methods, the accelerated weathering of limestone, ocean liming, the creation of hydrated carbonates, and the addition of minerals to coastal environments. In each case, the technical aspects of the technologies are considered, and implications for best-practice research are drawn. The environmental and social impacts of OAE will likely depend on the specific technology and the local context in which it is deployed. Therefore, it is essential that the technical feasibility of OAE is undertaken in parallel with, and informed by, wider impact assessments. While OAE shows promise as a potential climate change mitigation strategy, it is essential to acknowledge its limitations and uncertainties. Further research and development are needed to understand the long-term effects, optimize techniques, and address potential unintended consequences. OAE should be viewed as complementary to extensive emission reductions, and its feasibility may be improved if it is operated using energy and supply chains with minimal CO2 emissions.
Introduction

1.1 Overview of ocean-alkalinity-enhancement approaches

The oceans could be artificially alkalized by the addition of alkali (sodium, Na, or potassium, K) and alkaline (magnesium, Mg, or calcium, Ca) silicates, carbonates, oxides, and hydroxides, often as solids, but also in dissolved, aqueous form. The suite of technologies that aim to achieve this is referred to as ocean alkalinity enhancement (OAE). Figure 1 provides a comparative overview of some of the most widely considered OAE approaches.

Approximately 0.25 Gt yr⁻¹ of carbon is removed from the atmosphere due to weathering of silicate rocks on land (Hartmann et al., 2009). The products of this natural process (e.g., Na⁺, Mg²⁺, Ca²⁺, HCO₃⁻, CO₃⁻, H₂SiO₄) are transferred via rivers and groundwaters to the ocean, where they can durably reside for thousands of years (Renforth and Campbell, 2021). This natural process can be enhanced by first mining and grinding the Mg- and Ca-rich silicate rocks such as basalt and peridotite into fine powders and then adding them to the ocean (Rigopoulos et al., 2018; Köhler et al., 2013; Fakhraee et al., 2023). However, for full dissolution of silicate minerals at the ocean surface, very small particles are needed (<10 µm), and as such, the grinding energy to achieve this can be prohibitive (Hangx and Spiers, 2009; Streffer et al., 2018). Instead, larger grains (<100 µm) can be applied to coastal zones (Montserrat et al., 2017), where waves and tides have been suggested to help break down the particles and accelerate dissolution in seawater in close contact with the atmosphere in a process known as coastal enhanced weathering (CEW) (Sects. 6 and 7). Although these larger particles dissolve more slowly, they have a lower initial environmental footprint and could be integrated into coastal management schemes such as beach nourishment (Foteinis et al., 2023).

Carbonate rocks, such as limestone (CaCO₃) and dolomite (CaMg(CO₃)₂), are sometimes proposed as an alternative to silicate rocks for OAE due to their faster dissolution in water. However, the surface ocean waters are supersaturated with respect to calcite and aragonite almost everywhere (Orr et al., 2005), implying that limestone is unlikely to dissolve. One solution is to allow the CaCO₃ to sink to deeper water, where it is undersaturated (Harvey, 2008), but the significant delay in making contact with the atmosphere and technical challenges limit this approach. Another solution is to convert the limestone to a more soluble form (i.e., calcium bicarbonate: Ca(HCO₃)₂(aq)) by first dissolving it in a reactor with a high partial pressure of CO₂ (pCO₂) (Rau and Caldeira, 1999). This approach is termed accelerated weathering of limestone (AWL) (Sect. 3). Potential improvements to AWL include systems such as buffered AWL, whereby alkaline minerals (e.g., hydrated lime, Ca(OH)₂) are added to buffer the unreacted CO₂ before being discharged to seawater (Caserini et al., 2021a). Calcium bicarbonate solutions for OAE may also be produced electrochemically (Rau, 2008). For these approaches to be meaningful for CDR (carbon dioxide removal), the concentrated CO₂ used in the process must come from the atmosphere (or the surface ocean), via direct air capture (DAC), or from biomass combustion or metabolism.

Alternatively, limestone could be used to create more reactive materials such as lime (CaO) or Ca(OH)₂, which dissolve rapidly in the ocean surface — a process referred to as ocean liming (OL) (Kheshgi, 1995) (Sect. 4). Other fast-dissolving solids have been suggested as liming agents, including brucite (Mg(OH)₂) (Renforth and Kruger, 2013) and sodium carbonate (“soda ash”, Na₂CO₃) (Kheshgi, 1995). However, CaO could be mass-produced by the mining, grinding, and then calcining of limestone, potentially using the pre-existing spare capacity of the cement industry (Renforth et al., 2013). The CO₂ produced in the calcination step can be stored geologically or even utilized and the CaO, or most likely the Ca(OH)₂, transported and spread to the oceans. Nevertheless, open questions remain, particularly around the effect of localized pH increase on the marine ecosystems in the wake of the delivery vessels (Caserini et al., 2021b; He and Tyka, 2023) or pipes, while the potential runaway CaCO₃ precipitation could lower the CO₂ sequestration efficiency of the approach (Moras et al., 2022).

Alternative pathways are being explored to cost-effectively hydrate minerals and use them as reactive alkaline feedstocks (Sect. 5). Ikaite is one example of a hydrated calcium carbonate mineral which is not supersaturated in the ocean, making it potentially viable for OAE (Renforth et al., 2022). In general, hydration of carbonates has the potential to be less energy-intensive than calcination of limestone while offering comparable alkalinity enhancement to lime and slaked lime.

Aqueous salt solutions such as seawater and brines (e.g., desalination wastes and geological fluids) could potentially provide an abundant source of alkalinity through their electrochemical processing to produce aqueous NaOH(aq) or other hydroxides, which can be used for near-instant OAE and CO₂ drawdown (Sect. 2). There are two main methods of electrochemically generating alkalinity from aqueous salt solutions: electrolysis and electrodialysis. Electrolysis (Willauer et al., 2014) produces high-concentration (approximately 26 wt %) NaOH(aq), along with significant quantities of H₂(g) and Cl₂(g), which must be used within existing energy or product markets or safely stored through reaction with silicate rocks. Electrodialysis (Eisaman et al., 2012) produces lower-concentration NaOH(aq) (approximately 4 wt %) along with HCl(aq) and negligible amounts of H₂(g) and O₂(g) that are vented. Electrodialysis has a lower theoretical voltage drop than electrolysis per mole of alkalinity generated because it relies on enhancing water dissociation and the subsequent separation of H⁺ and OH⁻ ions across ion exchange membranes, while electrolysis employs the splitting of water at an electrode surface (Kumar et al., 2021b).
Figure 1. Categorization of different OAE approaches by alkalinity source, processing method, alkalinity type, and dispersal location. Each pathway color represents a unique approach. Dispersal location options are determined by alkalinity type. Liquid alkalinity will likely be dispersed on the coast due to its relatively low value of alkalinity per unit volume and mass, whereas solid alkalinity could be dispersed nearshore, offshore, or directly on the seafloor (in shallow water, e.g., <100 m depth).

2021). That said, electrodialysis produces lower concentrations of NaOH(aq) than electrolysis. In electrolysis H₂(g) can be burned for energy or utilized, but the Cl₂(g) can be difficult to dispose of and is a potential environmental hazard.

In electrodialysis, a use or neutralization pathway must be found for the dilute HCl(aq), for example, by neutralization upon contact with abundant sources of mineral alkalinity.

Even though OAE approaches have the potential to remove atmospheric CO₂ at the scale of gigatones per year, they are also responsible for carbon and other emissions during their life cycle. For example, nitrogen-containing explosives (Tovex) that are typically used for mining can impact eutrophication (Foteinis et al., 2022), whereas nickel (Ni) release from olivine dissolution could contribute to aquatic toxicity (Foteinis et al., 2023), although low solubility or coprecipitation with secondary minerals may limit the impact (Guo et al., 2022). Therefore, for sustainable and scalable OAE the life cycle environmental impacts of each approach should be considered and accounted for via life cycle assessment (LCA), rather than simply relying on carbon balances alone. By doing so, not only is the net carbon dioxide equivalent (CO₂ eq.) removal quantified, avoided emissions and tradeoffs with other environmental impacts are also identified. For consistent and meaningful LCAs for OAE, standardized guidelines are required, since the relevant ISO standards (ISO 14040 and 14044) only provide generic guidance that is not technology- or sector-specific. For this reason, the LCA should be specially tailored to OAE applications. Previous work on LCA best practices for similar sectors, such as those for direct air capture and storage (DACS) (Cooney, 2022), and for the wider CDR sector (Terlouw et al., 2021) can serve as a useful starting point.

1.2 OAE technology readiness level

Technology readiness levels (TRLs), developed by NASA in the 1970s, are an estimate of technological maturity. TRLs are based on a scale from 1 to 9, with 9 being the most mature technology (Heder, 2017). Research institutes tend to focus on TRLs 1 to 4, while the private sector focuses on TRLs 7 to 9. Several OAE approaches lie between TRLs 4 to 7, sometimes called the “Valley of Death”, where neither research institutes nor the private sector prioritizes investment.

The TRL of OAE approaches is summarized in Fig. 2. A feasibility case study for an AWL system attached to a coastal power plant in Taiwan (Chou et al., 2015) and most recently a pilot-scale AWL reactor for flue gas separation...
(Kirchner et al., 2020b) suggests TRL 5–6. Electrochemical brine splitting has a similar TRL of around 6, with several start-up companies in the process of deploying pilot demonstrations. CEW has a lower TRL of between 4 and 5 and is currently undergoing (at the time of submission) field trials in Southampton, New York, to prove its efficacy. There are still significant challenges to scaling up the approach, particularly surrounding monitoring, reporting, and verification (e.g., Burt et al., 2023; see Ho et al., 2023, in this report) and potential ecosystem effects (Bach et al., 2019), as well as logistical challenges around mining, grinding, and transporting enough alkaline material from land to distribute in the marine environment, which would require massive infrastructure and long supply chains (Renforth et al., 2013). Previously, OL was assigned a TRL of 3 to 4 (McLaren, 2012) but can now be considered to have advanced to a TRL of 4 to 5 after recent (May 2022) field trials in Florida (Voosen, 2022). Finally, the production and application of hydrated carbonate minerals such as ikaite has a TRL of 1 (Renforth et al., 2022).

Overall, while OAE may have great potential for CDR, there are still many unanswered questions about the long-term ecological impacts and the feasibility of implementing these techniques on a large scale. As such, further research and development is needed to increase the TRLs of these approaches and to determine which, if any, other approaches should be scaled up. The ocean is a heterogeneous system, and field tests are required across a variety of oceanic conditions, e.g., temperatures, upwelling velocities, seawater chemistries, and biological profiles. A research program designed to accelerate technology development and demonstration of pilot-scale facilities will also need to assess any potential ecological impacts and governance issues.

2 Electrochemical production of alkalinity for OAE

2.1 Technical summary – chloride brines

Aqueous brine (for example, NaCl\(_{(aq)}\)) represents an abundant source from which aqueous alkalinity (for example NaOH\(_{(aq)}\)) can be generated using electrochemistry. In these approaches, the alkalinity is in the form of hydroxide ions ultimately derived from the water in the brine stream, with the dissolved brine ions (for example Na\(^+\) and Cl\(^-\)) providing the conductivity and charge balance needed for the process.

The two primary electrochemical processes used to generate alkalinity from brine are electrolysis (O’Brien et al., 2005, pp. 31–34) and electrodialysis (Strathmann, 2011, pp. 163–167), as shown in Fig. 3. Electrolysis generates higher-concentration alkalinity than electrodialysis but requires a higher electrical potential per mole of alkalinity to do so.

This is because electrolysis uses more energy-intensive water splitting at electrodes to generate the alkalinity, while electrodialysis uses enhanced water dissociation at the junction of the bipolar membranes, combined with ion-selective separation. While the primary by-products from electrolytic alkalinity generation are Cl\(_2\) and H\(_2\) gases, the primary by-product from electrodialytic alkalinity generation is aqueous acid, for example HCl\(_{(aq)}\).

The electrolytic generation of alkalinity from an NaCl solution (see Fig. 4) is essentially the well-known chlor-alkali process (O’Brien et al., 2005, pp. 31–34), where aqueous brine (approximately 26 wt %) and NaOH (approximately 28 wt %) are converted into less concentrated brine (approximately 24 wt %), more concentrated NaOH (approximately 30 wt %), hydrogen gas (H\(_2\)), and chlorine gas (Cl\(_2\)) (Kumar et al., 2021). The development of efficient and durable oxygen-selective electrodes is critical to making seawater electrolysis more feasible (La Plante et al., 2023).

The electrodialytic generation of alkalinity from an NaCl brine typically uses three-chamber bipolar membrane electrodialysis (BPMED) (Strathmann, 2011, pp. 163–167; Fig. 5 herein). In this process, aqueous brine (approximately 3.5 wt %–5 wt %), HCl (approximately 2 wt %), and NaOH (approximately 2 wt %) are converted into less concentrated brine (approximately 2 wt %–3.5 wt %); more concentrated HCl (approximately 3 wt %–4 wt %), and more concentrated NaOH (approximately 3 wt %–4 wt %). Hydrogen gas (H\(_2\)) and oxygen gas (O\(_2\)) are created at the end electrodes, but in contrast to electrolysis, because there are typically 50–200 membrane triplets between each pair of electrodes, the rate of H\(_2\) and O\(_2\) gas generation relative to the rate of NaOH production is negligible, reduced by a factor of the inverse number of cell triplets relative to electrolysis. In practice the H\(_2\) and O\(_2\) gases generated during electrodialysis are combined and vented. The HCl\(_{(aq)}\) generated in this process is used on land in processes that result in the neutralization of the acid, for example in the neutralization of alkaline waste ponds found at sand and gravel operations. Scaling to gigatonnes of CO\(_2\) per year will require larger-scale uses of the acid such as the pretreatment of silicate rocks to enhance the kinetics and capacity of CO\(_2\) mineralization (Guy and Schott, 1989; Pollyea and Rimstidt, 2017).

Once the alkalinity is generated, it must be dispersed into the ocean. An advantage of aqueous hydroxides such as NaOH\(_{(aq)}\) is that the rate at which the alkalinity source is added to the ocean is equal to the rate at which alkalinity is actually delivered to the ocean. In contrast, with solid forms of alkalinity such as crushed minerals, the relationship between rate of alkalinity source added and the rate at which this potential alkalinity is delivered to the ocean depends on the dissolution kinetics of the solid. From a molecular point of view, since the Na\(^+\) and OH\(^-\) ions came from the seawater itself, the net effect of the process is simply the removal of acid in the form of H\(^+\) and Cl\(^-\) ions.

Once the alkalinity has been delivered to the ocean, the response of the ocean and atmosphere is governed by two timescales: an immediate timescale that corresponds to the response of the carbonate chemistry of the ocean (Reac-
Figure 3. Process flow for the electrochemical conversion of aqueous chloride brine into alkalinity. Both pretreatment and the separation of brine into divalent-rich and divalent-lean streams are optional and are not performed in all processes.

Figure 4. Typical process flow for the electrolytic conversion of aqueous NaCl-rich brine into alkalinity using the chlor-alkali membrane process. A chlor-alkali diaphragm process also exists but is not shown (Kumar et al., 2021).

$\text{OH}^- + \text{CO}_2^{(aq)} \rightarrow \text{HCO}_3^-$ (R1a)

$\text{OH}^- + \text{HCO}_3^- \rightarrow \text{H}_2\text{O} + \text{CO}_3^{2-}$, (R1b)

and a slower timescale of weeks to >100 years (He and Tyka, 2023) that corresponds to the re-equilibration of CO$_2$ in the air and surface ocean via air–sea gas exchange (Reaction R2),

$\text{CO}_2^{(air)} \rightarrow \text{CO}_2^{(aq)}$ (R2a)

$\text{CO}_2^{(aq)} + \text{H}_2\text{O} + \text{CO}_3^{2-} \rightarrow 2\text{HCO}_3^-$. (R2b)

The net reaction described by Reactions (R1) and (R2) once equilibrium has been reached is (Reaction R3)

$\text{OH}^- + a\text{CO}_2^{(aq)} \rightarrow b\text{HCO}_3^- + c\text{CO}_3^{2-} + d\text{OH}^- + e\text{H}_2\text{O}$, (R3)

where coefficients $a - e$ are molar ratios relative to the added OH$^-$ (added alkalinity), $a = b + c$ (carbon mass balance), and $b + 2c + d = 1$ (charge balance). For example, modeling in CO$_2$SYS (Lewis and Wallace, 1998) shows $a = 0.827$, $b = 0.742$, $c = 0.086$, $d = 0.086$, and $e = 0.095$ in seawater at an equilibrium pH of 8.1, $S = 35$ ppt, $T = 20$°C, and $P = 1$ bar. These ratios are sensitive to the preceding seawater variables; previously reported values for the ratio of moles of removed CO$_2$ to moles of added alkalinity ($a$ coefficients) range from 0.75 to more than 0.85 (Tyka et al., 2022; He and Tyka, 2023; Renforth and Henderson, 2017; Wang et al., 2023; Schulz et al., 2023).

As shown in Reaction (R1), on fast timescales, the addition of alkalinity decreases the dissolved CO$_2$ concentration, putting the surface ocean $p$CO$_2$ out of equilibrium with atmospheric $p$CO$_2$. On slower timescales of weeks to months for Reaction (R2), equilibrium is re-established as CO$_2$ from the atmosphere replenishes the CO$_2$ deficit in the surface ocean. The combined result of these two processes is the net removal of CO$_2$ from the atmosphere and storage as oceanic bicarbonate and carbonate ions.

Upon dispersal to the ocean, the added alkalinity is increasingly diluted as it moves away from the point of addition. This results in a mixing zone centered at the point of alkalinity addition where the increase in pH and total alkalinity (TA) is largest. The ratio of the rate of alkalinity addition to the rate of dilution must be kept sufficiently low to avoid the precipitation of Mg(OH)$_2$ (which can result in an undesired increase in turbidity) or CaCO$_3$ (which reduces the efficiency of OAE for CO$_2$ removal) within the mixing zone (Hartmann et al., 2023). Due to this constraint and the permitted limits in the mixing zone for parameters such as pH and turbidity, in practice the pH of aqueous alkalinity may need to be reduced prior to dispersal. For example, prior to release into the ocean, the alkalinity could be mixed with the partially desalted brine stream from which the alkalinity was generated.

To reduce the need for dilution, the alkalinity may first be contacted with CO$_2$ in air to decrease the pH by converting some of the hydroxide (OH$^-$) into carbonate (CO$_3^{2-}$) (Stolaroff et al., 2008). This has the added advantage that all the CO$_2$ captured in this way is measurable and verifiable through direct measurement. This effectively provides a tuneable knob to perform “partial direct air capture” (DAC)
to the degree required to reach the target pH, at which point it can be diluted to the pH required by permitting, and the remainder of the CO$_2$ removal can occur via OAE once it is dispersed into the ocean. The advantage of this use of DAC as a “partial pre-equilibration” for OAE compared to standard DAC, is that when used as a preparation step for OAE, no energy needs to be applied to release the CO$_2$ as a pure gas. As an example, if one generates approximately 4% NaOH(aq) using electrodialysis, partial DAC can be used to bring the pH into the range of 11–12, at which point it can be blended with waste brine to the final pH suitable for ocean delivery.

Rather than partial DAC and dilution, aqueous alkalinity may be directly delivered to the ocean at higher concentrations as long as natural or engineered dilution rates in the mixing zone avoid unwanted precipitation and stay within permitted bounds. Alternatively, when discharged within permitted turbidity limits, more slowly dissolving forms of particulate alkalinity such as Mg(OH)$_2$(s) could be used to distribute the added alkalinity more evenly in space and time (Fakhraee et al., 2023).

A process that is very related to OAE using chloride brines is “indirect ocean capture”, or IOC (de Lannoy et al., 2018; Eisaman et al., 2018, 2020), also referred to as “direct ocean capture”, “direct ocean removal” (DOR), or “CO$_2$ removal from ocean water” (Kim et al., 2023). This approach employs alkalinity cycling to remove CO$_2$ from the ocean, but without a net increase in ocean alkalinity or DIC (total dissolved inorganic carbon). Because the net alkalinity is not enhanced in this process, it should not be labeled as OAE. In one version of this approach, electrodialysis is first used to generate HCl(aq) and NaOH(aq) from brine streams containing NaCl(aq). The acid is used to acidify seawater, decreasing its pH and alkalinity and shifting all its DIC to dissolved CO$_2$ gas, which is then vacuum-stripped out of the seawater (de Lannoy et al., 2018; Eisaman et al., 2018). The alkaline base is then added to the now decarbonized seawater to restore its lost alkalinity, resulting in CO$_2$ moving from the air to the seawater to restore equilibrium, thereby replacing the vacuum-stripped CO$_2$. At a high level, this approach uses the ocean as a pump, in contrast to OAE, which uses the ocean as a sponge. In a second version of this approach, the NaOH(aq) is added to seawater to remove CO$_2$ as CaCO$_3$(s), with additional NaOH(aq) then added to restore this lost alkalinity and draw CO$_2$ from the air to replace the removed CO$_2$ (de Lannoy et al., 2018; Eisaman et al., 2018). The precipitation of CaCO$_3$(s) reduces alkalinity (resulting either in lower efficiency of CO$_2$ removal per unit of added alkalinity in the case of OAE or a release of CO$_2$ in cases where the precipitation occurs in the absence of an alkalinity addition), making this second version relatively inefficient from a CO$_2$ removal perspective, but this may be pursued if other considerations such as ease of verification outweigh this inefficiency. A third version of this approach relies primarily on the precipitation of Mg(OH)$_2$, in addition to the precipitation of some CaCO$_3$, and prevents release of CO$_2$ in the process of CaCO$_3$ precipitation by generating alkalinity at a sufficiently high rate to keep the pH at a constant target value (La Plante et al., 2021, 2023).

2.2 Technical summary – non-chloride brines and minerals

In addition to the production of alkalinity from chloride salts discussed above, hydroxides can also be electrochemically produced from non-chloride salt solutions such as Na, K, Ca, or Mg sulfates, nitrates, or carbonates. One disadvantage of such an approach is that such salts are much less naturally abundant or less soluble than chloride salts, though they can be present in waste streams. However, as is described in this paper, they can be produced from mineral sources of metal cations and recycled anions. Because of electrochemical issues with nitrate salts and because carbonate salts present
more limited net carbonation potential and often have less solubility, the focus here is on metal sulfate salts. In the case of Na₂SO₄(aq)\textsuperscript{TS}, such solutions can be electrolyzed or electrodialyzed to produce H₂SO₄ (sulfuric acid) at the anode and NaOH at the cathode.\textsuperscript{TS}\textsuperscript{TS}\textsuperscript{TS} In electrolysis, H₂ and O₂ (rather than Cl₂) are also produced at the cathode and anode, respectively (Fig. 6).

While the NaOH can be used for OAE CDR as described above, uses of the acid at the production scales required for globally significant OAE must be identified. Such acids (including the hydrochloric acid described in the previous section) can be reacted with alkaline minerals to produce more neutral metal salts and water (La Plante et al., 2023). For example, the reaction of sulfuric acid with the silicate mineral forsterite (Mg₂SiO₄) yields MgSO₄, SiO₂, and H₂O (Reaction R4):

\[
\text{Mg}_2\text{SiO}_4 + 2\text{H}_2\text{SO}_4 \rightarrow 2\text{MgSO}_4 + \text{SiO}_2 + 2\text{H}_2\text{O}. \quad (\text{R4})
\]

As suggested by House et al. (2007) such metal salts produced from the preceding reaction are in theory benign and could be added to the ocean. However, most silicate minerals contain multiple metals that upon acidification yield metal salts such as Mg, Ca, Fe, Ni, Co, and Na sulfates or chlorides in solution. While at least some of these metal salts will have limited solubility in alkaline seawater, their disposal in the ocean would be problematic due to potential biological effects (see Sect. 4). One alternative is to take advantage of the differences in the reduction in metal solubility as pH rises to selectively remove the less soluble metals as solid metal hydroxides, such as Fe(OH)₂, Ni(OH)₂, and Co(OH)₂, as is commonly done in metal extraction from rocks (Hamilton et al., 2020). Some of the produced NaOH could be used to facilitate pH elevation of the metal salt solution, and the resulting valuable metal precipitates can be harvested for further refining. The remaining, more soluble metal salts, e.g., MgSO₄, CaSO₄, and Na₂SO₄, could then provide a more benign way to dispose of the products of acid neutralization.

However, such schemes (e.g., Rau et al., 2013) require loss of SO₄²⁻ (or Cl⁻ in the case of HCl use), and thus a continuous supply of (expensive) sulfate would be required. To overcome this challenge, the sulfate can be recycled first as an acid and then as a metal sulfate and back again (Lammers et al., 2023). For example, a monovalent salt solution, e.g., Na₂SO₄, can be electrolyzed or electrodialyzed to generate H₂SO₄ that is again used to leach metal salts from minerals, but where the NaOH produced in the catholyte is used exclusively to precipitate less soluble polyvalent metals as metal hydroxides (Fig. 7), with the then-reformed Na₂SO₄ re-cycled as brine/electrolyte. In this way Na₂SO₄ is (largely) conserved, and the metal hydroxide precipitates could then be used as an alkalinity source for OAE if they are at least partially soluble in seawater.

Another alternative is to use dissolved metal sulfates produced from the mineral/acid leaching directly as electrolyte/brine in cells where the subsequent precipitation of metal hydroxide inside the cell is avoided or otherwise accommodated (Fig. 8). This could include continually harvesting, for example, Mg(OH)₂ or Ca(OH)₂ precipitated on or near the cathode (Pan et al., 2020; Sano et al., 2018) and/or using diaphragms (Kelland et al., 2022) or membrane-less cells (Talabi et al., 2017) to avoid membrane fouling by precipitates. Compared to the process in Fig. 7, this method more directly generates hydroxides from mineral sources and water.

### 2.3 Considerations for best research practices

In this section, we highlight key parts of the brine-to-alkalinity OAE process where the application of best practices is especially critical to performing reproducible research.

#### 2.3.1 Brine treatment

The aqueous brine source input into the electrochemical unit for the generation of alkalinity is often seawater, reverse osmosis concentration (ROC), or some other brine stream that also contains the divalent cations Ca²⁺ and Mg²⁺. Because the electromechanical systems used for this purpose have compartments with high pH values (≥13), care must be taken to avoid the precipitation of solid Mg(OH)₂ and/or CaCO₃ within the electrochemical unit, as this can lead to increasing voltages, current shunting, and increased hydraulic pressure. Some combination of pretreatment to decrease the concentration of divalent cations and periodic, acidic clean-in-place flushing can avoid this problem. Examples of pretreatment methods include but are not limited to ion exchange and the use of precipitation softening via NaOH(aq) addition.
2.3.2 Alkalinity generation

In practice, the generated alkalinity may have a more complex ionic composition than the simplified description provided above, depending on the input brine composition and the properties of the electrochemical system such as membrane permittivity and selectivity. For example, because seawater contains K\(^+\) ions and because cation exchange membranes allow K\(^+\) and Na\(^+\) transport, the alkalinity generated from the electrodialytic processing of seawater will not be pure NaOH\(_{aq}\), but will also contain some fraction of KOH\(_{aq}\). This means that in-line measurement proxies for the generated alkalinity such as conductivity and pH should be calibrated by offline measurements such as TA titrations and inductively coupled mass spectrometry (ICP-MS). Sampling ports built into the electrochemical system are recommended for this purpose.

2.3.3 Aqueous alkalinity dispersal

As previously mentioned, the ratio of the rate of alkalinity addition to the rate of dilution must be kept low to avoid the precipitation of Mg(OH)\(_2\) or CaCO\(_3\) within the mixing zone. The carbonate chemistry and turbidity should be continuously monitored near the point of dispersal. Precipitation will manifest as a decrease in TA and increase in turbidity (see Sect. 4).

2.3.4 Energy cost, CO\(_2\) emissions, and economics

In order for research on electrochemical OAE to be relevant to CDR performed at globally relevant scales, it is necessary to document or estimate the energy use, CO\(_2\) emissions, capital costs, and operating costs that are incurred in small-scale systems, and particularly how these would scale for larger systems. These are essential for making informed decisions regarding future RD&D allocations and ultimately decisions about when and where the most cost-effective methods might be deployed.

2.3.5 Environmental and societal impacts and benefits

So as to better inform decision makers, researchers must assess how land, air, ocean, and societal systems might be affected by electrochemical OAE. This includes the environmental and societal consideration of (i) land and resource use such as mineral–salt–brine–water extraction, transportation, processing, and refining; (ii) the footprint of the facility and its operation; and (iii) the downstream impacts/benefits of the products. Researchers should aim to produce a comprehensive budget of all fluxes across the system boundaries (inputs.
and outputs of energy and matter) to enable this assessment (see Sect. 5).

3 Accelerated weathering of limestone as an OAE strategy

3.1 Technical summary

In a process called accelerated weathering of limestone (AWL), calcium carbonate (derived from carbonate-bearing rocks, e.g., limestone) can be spontaneously carbonated in the presence of elevated $p$CO$_2$ and seawater to form predominantly calcium and bicarbonate ions in seawater (Rau and Caldeira, 1999) via the reaction (Reaction R5)

$$\text{CaCO}_3(aq) + a\text{CO}_2(aq) + b\text{H}_2\text{O} \rightarrow \text{Ca}^{2+} + c\text{HCO}_3^- + d\text{CO}_3^{2-} + e\text{OH}^-. \quad (R5)$$

where the molar quantities relative to CaCO$_3$ are approximately $a = 0.65$, $b = 0.74$, $c = 1.48$, $d = 0.17$, and $e = 0.18$ when re-equilibrated with typical seawater at pH 8.1 and at a $p$CO$_2$ = 420 µatm. The preceding quantities are halved when expressed in units of moles per mole of alkalinity since 1 mol of CaCO$_3$ = 2 mol alkalinity. This implies a maximum tonnes of CO$_2$ removal per tonne of CaCO$_3$ of about 0.29 or a minimum requirement of about 3.5 t of CaCO$_3$ per tonne of CO$_2$ captured and stored.

While AWL is an OAE scheme, given the requirement of elevated CO$_2$ to spontaneously drive Reaction (R5) [5, 15, 25], it has been more widely considered to be a CO$_2$ emissions reduction technology, analogous to CCS (carbon capture and storage), at coastally located, fossil-fueled power plants (Rau and Caldeira, 1999; Caldeira and Rau, 2000; Rau et al., 2007; Langer et al., 2009; Rau 2011; Haas et al., 2014; Chou et al., 2015; Kirchner et al., 2020a, 2020b; Caserini et al., 2021; Xing et al., 2022). However, this approach can be relevant to CDR if the concentrated CO$_2$ used is from (i) emissions from biomass respiration, energy (electricity) production, gasification, or fermentation; (ii) direct air capture; (iii) natural emissions from hydrothermal or geothermal activity; or (iv) possibly natural or artificial upwelling of deep seawater whose $p$CO$_2$ is high enough and a CaCO$_3$ saturation state low enough to facilitate CaCO$_3$ dissolution.

The CO$_2$ must be of sufficient concentration so that when equilibrated with water or seawater, CaCO$_3$ undersaturation in the solution is effected, and the reaction can spontaneously proceed. Calculations using CO$_2$SYS (Pierrot et al., 2006) suggest that surface seawater equilibrated with a $p$CO$_2$ greater than about 2500 µatm is required for CaCO$_3$ undersaturation to occur and for the reaction to spontaneously proceed. Sufficiently elevated $p$CO$_2$ drives down solution pH and thus $[\text{CO}_3^{2-}]$ to achieve a CaCO$_3$ saturation state that is corrosive to CaCO$_3$. The higher the $p$CO$_2$, the lower the pH, $[\text{CO}_3^{2-}]$, and CaCO$_3$ saturation state ($\Omega_{\text{calc}}$) and hence the faster the kinetics of the reaction, the greater the areal and volumetric reaction rates achieved, and the higher the DIC concentration attained. Experiments have shown reaction rates ranging from about $10^{-7}$ to $10^{-5}$ mol m$^{-2}$ of mineral surface per second. Since volumetric reaction rates are sensitive to carbonate mineral surface area per reaction volume, the interplay among carbonate particle size, seawater and gas contacting, and flow rates dictates reactor design, size, and performance (Rau 2011; Kirchner et al., 2020a; Xing et al., 2022).

Once the calcium bicarbonate-carbonate ions are formed and discharged into the ocean it is presumed that the longevity and security of the storage will be equivalent to that of the existing alkaline C in the ocean, on the order of 100 000 years (Middelburg et al., 2020). This assumes that the AWL Reaction (R5) will not be reversed prematurely by enhanced biotic or abiotic CaCO$_3$ precipitation. Biotic calcification in some marine taxa has been shown to increase with increasing alkalinity and rising calcium carbonate saturation state, $\Omega_{\text{calc}}$ (Renforth and Henderson, 2017; Gore et al., 2019). Note that calcium carbonate saturation state will be more sensitive to the addition of Ca (bi)carbonate than non-Ca alkalinity since both Ca$^{2+}$ and CO$_3^{2-}$ are being added: $\Omega_{\text{calc}} = [\text{Ca}^{2+}] [\text{CO}_3^{2-}] / K_{\text{sp}}$, where $K_{\text{sp}}$ is a temperature-, salinity-, and pressure-sensitive solubility constant for calcite. Thus, on a per-mole basis, the threshold for carbonate precipitation will be more rapidly reached with calcium-based alkalinity addition relative to the addition of other forms of dissolved metal (bi)carbontes (Fig. 9).

An additional feature that will further promote carbonate precipitation is the degassing of the excess CO$_2$ from the carbonated solution once exposed to air. This in effect removes acid from the carbonated solution, raising pH, $[\text{CO}_3^{2-}]$, and $\Omega_{\text{calc}}$. An example of the chemical sequence of events in car-

![Figure 9. $\Omega_{\text{calc}}$ (the saturation state of calcite) response to calcium-based vs. non-calcium-based alkalinity added to seawater initially containing 2350 µM total alkalinity (TA) and equilibrated with a $p$CO$_2$ of 420 µatm. Modeled using CO$_2$SYS (Pierrot et al., 2006) modified to account for variable [Ca$^{2+}$].](image)

[Figure 9. $\Omega_{\text{calc}}$ (the saturation state of calcite) response to calcium-based vs. non-calcium-based alkalinity added to seawater initially containing 2350 µM total alkalinity (TA) and equilibrated with a $p$CO$_2$ of 420 µatm. Modeled using CO$_2$SYS (Pierrot et al., 2006) modified to account for variable [Ca$^{2+}$].]
will very much depend on the above alkalinized seawater forces a rise in carbonate minerals, will result in an AWL discharge solution with seawater, but with incomplete equilibration with as the degree of chemical equilibration achieved among gas, resulting in a net C storage from the AWL process.

Figure 10. Example of the chemical progression of AWL starting first with representative, ambient seawater at [CO₂] = 420 µatm, followed by equilibration with CaCO₃ and a [CO₂] of 10 000 µatm as well as re-equilibration with air [CO₂] = 420 µatm. (a) DIC: total dissolved inorganic carbon; TA: total alkalinity. (b) Ωca: the saturation state of calcite. Modeled using CO₂SYS (Pierrot et al., 2006); modified to account for variable [Ca²⁺]).

bonating seawater using AWL and then re-equilibrating the carbonated seawater with air is shown in Fig. 10.

Here the net addition of 2150 µM of dissolved calcium (bi)carbonate in equilibrium with an excess of CaCO₃ and a [CO₂] of 10 000 µatms yields a transfer of carbon from the gas to seawater of 2679 µM, about 310 µM of which is in the form of CO₂(aq). If this solution was then added to the surface ocean and allowed to re-equilibrate with air [CO₂] = 420 µatm, pH would rise above that of the initial seawater (by about 0.24 units), and stored carbon would decline from its high value following carbonation by about 35%, resulting in a net C storage from the AWL process of 1739 µMC (Fig. 10a). In a full-scale facility, Kirchner et al. (2020b) modeled a 50% loss of captured carbon following an AWL discharge. The percentage of captured CO₂ lost will very much depend on the [CO₂] of the gas used as well as the degree of chemical equilibration achieved among gas, carbonate, and seawater. Use of high CO₂ and its equilibration with seawater, but with incomplete equilibration with carbonate minerals, will result in an AWL discharge solution with high DIC largely as CO₂(aq), but with relatively little additional alkaline C formed (little long-term C storage).

Upon equilibration with air, the loss of excess CO₂ from the above alkalinized seawater forces a rise in Ωca to 14.5 in the above example (Fig. 10b). This is >3× higher than the initial ambient seawater and could cause spontaneous CaCO₃ precipitation from seawater. However, such an effect is likely countered by the rapid dilution of the carbonated solution with ambient seawater relative to the slow kinetics of CaCO₃ precipitation (Fig. 7 in He and Tyka, 2023). As long as dilution occurs faster than CaCO₃(s) formation, CaCO₃(s) precipitation and alkalinity loss can be avoided (He and Tyka, 2023).

The limitations of this approach in the context of OAE CDR include the need for a concentrated non-fossil CO₂ source in close proximity to seawater and carbonate minerals. Potential mineral carbonate sources include globally abundant limestone as well as less abundant dolomite and magnesite. Waste marine shell material or carbonate sands can also be considered, especially because aragonitic shell material should be more soluble than calcite (e.g., limestone) and because this aragonite dissolution simply speeds up the natural return of its marine-derived constituents to seawater. Proximity to the ocean is also a requirement for both the water used for carbonation and for discharge and storage of the carbonated, alkalized seawater. Also, considering a possible upper limit of only about 25 mg C (92 mg CO₂) stably stored per liter of seawater, significant pumping of seawater to facilitate gas/carbonate contacting and conversion must occur. Mining, processing, and transportation of >3.5 t CaCO₃ t⁻¹ CDR also need to be considered, as do the size and capital and operating costs of the seawater–CO₂–carbonate contactor. For one AWL design, Xing et al. (2022) estimate an energy cost of 5.7–8.2 GJ and a land requirement of 7.1–13.1 m² per tonne of CO₂ captured and stored after allowing for degassing of the carbonated seawater following discharge in the ocean.

Advantages of the process include (i) spontaneous, exothermic conversion and long-term storage of CO₂; (ii) potential restoration of ocean pH; (iii) relative ease of verifying CDR by carbonating alkalinity prior to release and quantifying the increase in carbon concentration in solution prior to release rather than having to verify CDR occurring in the ocean; and (iv) providing a relatively simple, low-tech, widely applicable approach to OAE at coastal sites.

In sum, considering the global abundance of concentrated CO₂ waste streams, calcium carbonate mineral resources (including massive waste piles: Langer et al., 2009), and the reactivity of these minerals in elevated CO₂(aq) solutions, AWL seems to be an effective way to perform relatively safe, low-cost, low-tech OAE at scale, especially considering that it is routinely used at small scales to alkalinize saltwater aquaria (Huntington, 2002) and considering that such spontaneous rock–water–CO₂ reactions provide the primary source of alkalinity naturally present in the global ocean (Middelburg et al., 2020). Its use in CDR is, however, more restricted considering that the CO₂ used must be concentrated above that in air in order to make the CDR rate relevant on human timescales. Further research is needed to better determine the desirability, effectiveness, and capacity of AWL.
3.2 Considerations for best research practices

3.2.1 Purity of feedstocks

Any impurities in the feed CO\textsubscript{2} or carbonate mineral have the potential to be released with the discharge of the carbonated seawater. The quantity and impacts of these impurities need to be measured to assess the potential for downstream environmental impact as well as reduction in reactivity per mass of mineral. For example, Kirchner et al. (2020a) found measurable trace metal concentrations in their discharge originating from the limestone used, but all concentrations they considered were “below levels of environmental concern”. Only slightly elevated NO\textsubscript{3}\textsuperscript{-} was observed in the discharge seawater that originated from the NO\textsubscript{3} from the flue gas processed. This study explored the dissolution of limestone in seawater driven by high CO\textsubscript{2} from a point source of fossil fuel combustion emissions rather than concentrated from the atmosphere, and it should be noted that these two cases may not have the same impurities.

3.2.2 Monitoring, reporting, and verification

Because AWL carbonation likely occurs before addition to the ocean, the quantity of carbon captured and converted for long-term storage can in theory be easily quantified as an increase in seawater DIC at point of discharge. This is especially true if the carbonated seawater is equilibrated with air prior to release to the ocean so that excess dissolved CO\textsubscript{2} (that will ultimately be lost from seawater once released) is not counted as sequestered carbon (Fig. 2). Otherwise, the net CO\textsubscript{2} removed and stored can be measured/calculated by either (i) bubbling with air a subsample of the freshly carbonated seawater and measuring its DIC upon air equilibration (e.g., indicated by attaining a stable pH higher than the initially carbonated solution) or (ii) calculating DIC in air-equilibrated discharge by measuring temperature, salinity, pH, DIC, and TA of the freshly carbonated seawater and then modeling its DIC at ambient air pCO\textsubscript{2}. In each case the proportional difference in DIC before and after air equilibration must be subtracted from the DIC of the carbonated seawater to yield long-term, gross carbon removed. Net carbon removal is obtained by subtracting all anthropogenic CO\textsubscript{2} emissions incurred in the performance of AWL from gross CDR; gross CDR − emissions = net CDR. Emissions include those associated with carbonate extraction, processing and transportation; energy usage in water pumping and other operating activities; and infrastructure and maintenance of the system.

3.2.3 Economics

Estimating the possible economics of AWL systems at scale is essential for making informed decisions on future R&D. It requires extrapolating/modeling the costs measured or inferred at research scales. It is therefore important to record carbonate purity, energy usage, and efficiency as well as volumetric reaction rates, water pumping requirements, etc. so as to better estimate costs and performance at scale.

3.2.4 Environmental and social impacts

As in all OAE, the upstream and downstream environmental and social impacts of AWL must be considered at research and larger scales. In particular the impacts of the following must be considered.

i. Increased carbonate mineral extraction and processing. However, it should be kept in mind that limestone mining and processing has resulted in massive waste piles of carbonate material whose use could actually benefit land reclamation (Langer et al., 2009).

ii. Seawater pumping, screening, and carbonation, which can impact resident biota (CEC, 2005). When possible, it is best to utilize existing seawater pumping (such as for power plant condenser cooling) to avoid additional cost/impacts of new pumping. If the CO\textsubscript{2} used for carbonation is hot (e.g., from exhaust from a biomass energy plant) this will warm the seawater, potentially affecting downstream biota.

iii. The purity of the carbonate minerals used. In particular, this refers to the presence of any trace constituents that could have environmental consequences downstream (Sect. 4). Likewise, the purity and temperature of the CO\textsubscript{2} used must be considered in evaluating downstream impacts.

iv. The societal consequences of AWL activities. This includes those associated with the upstream increased carbonate mineral extraction, processing, and transportation; the footprint of the AWL operation on land; and any impacts occurring downstream in the ocean (see Sect. 5).

4 Ocean liming

4.1 Technical summary

Ocean liming is the process of adding lime (CaO) or hydrated lime (Ca(OH)\textsubscript{2}) to the surface ocean (Kheshgi, 1995; Reinforth et al., 2013), the dissolution of which increases seawater alkalinity (Reactions R6 and R7; Kheshgi, 1995). Lime is conventionally manufactured through the calcination of limestone at >800°C (Reaction R8) using fossil fuels and is used in a range of industries including steelmaking, paper manufacturing, construction, and agriculture.

\[
\text{CaO}_{(s)} + 2\text{CO}_2^{-}_{(aq)} + \text{H}_2\text{O} \rightarrow \text{Ca}^{2+} + 2\text{HCO}_3^{-} \quad \text{(R6)}
\]

\[
\text{Ca(OH)}_{2(s)} + 2\text{CO}_2^{-}_{(aq)} \rightarrow \text{Ca}^{2+} + 2\text{HCO}_3^{-} \quad \text{(R7)}
\]

\[
\text{CaCO}_3(s) \rightarrow \text{CaO}_{(s)} + \text{CO}_2(g) \quad \text{(R8)}
\]
First proposed for OAE (Kheshgi, 1995), the CO₂ produced from the kiln (from limestone decomposition and fossil fuel use) must be captured and stored for the technology to result in a net CO₂ removal (Fig. 11). However, energy requirements (<6 GJ per tonne of CO₂) and the cost (USD 70–160 per tonne of CO₂) of ocean liming are consistent with other engineered CDR approaches (Renforth et al., 2013). Others have shown that the integration of biomass and hydrogen energy vectors may improve the process carbon balance and cost feasibility (Caserini et al., 2019).

Kiln technologies for CaO (often referred to as “burned lime” or “quicklime”) production are diverse and include upright or inclined shafts, rotating shafts, and parallel or contraflow introduction of fuel and feedstock. The selection of kiln type depends on the product material characteristics, quality of the limestone feedstock, local market demand, fuel type and availability, and finance availability (European Commission, 2013).

Limestone decomposes at high temperature by solid-state diffusion of CO₂ through the material. The resulting CaO retains the overall volume of the initial calcite but with increased internal porosity (Fischer, 1955). As such it is possible to create lump lime (larger particles of lime produced from similarly sized feedstock limestone).

Powdered Ca(OH)₂ is produced by adding a stoichiometric volume of water to CaO (“hydration”; if excess water is used this is referred to as “slaking”; Reaction R9). This hydration reaction is exothermic, resulting in the breakdown of CaO to a fine powder of Ca(OH)₂. This is thought to be via a topochemical mechanism (Gartner, 2018), which produces Ca(OH)₂ particles around 2–5 µm (Yakymechko et al., 2020); these particles often form larger aggregates of 30–40 µm bound together by weak van der Waals forces (Yakymechko et al., 2020). They are also more porous than CaO, resulting in a higher specific surface area (Moropoulou et al., 2001). There is some literature to suggest that the size of the particle may be controlled by the slaking temperature, (e.g., steam slaking Pesce et al., 2023). Furthermore, the fast reaction rates resulting from small particle size and high surface area are useful in most traditional applications but may not be appropriate for ocean liming (see below):

\[
\text{CaO}_{(s)} + \text{H}_2\text{O} \rightarrow \text{Ca(OH)}_{2(s)}. \quad (R9)
\]

Provided that the CCS is in place to capture the CO₂ emissions from limestone decomposition during calcination, OL can be carbon-negative even when current technology and not fully decarbonized energy is used. Specifically, an LCA on OL (Foteinis et al., 2022) revealed that the main environmental hotspots of the process were limestone calcination, where fossil fuel is consumed for heat generation, followed by CCS, which is energy intensive, while mining, hydration, and ocean spreading affected the environment impact to a much lesser extent. The LCA results were also sensitive to transportation means and distance (although carbonate sedimentary rocks are widely distributed; Fig. 12) and particularly to the kiln technology and fuel type during calcination. When the best available technology is used, along with renewable electricity to drive the process (e.g., calcination using plasma torches), OL’s environmental performance is optimized. If the low-grade heat generated during hydration is also recovered and used for district heating, then avoided emissions could also be realized, which can be larger than the process life cycle emissions. In this sense, not only the full amount of CDR is credited to OL, but avoided emissions are also achieved (Foteinis et al., 2022).

It is possible to create magnesium oxides and hydroxides, through either the calcination of magnesium carbonates (McQueen et al., 2020) or extraction from magnesium silicates (Renforth and Kruger, 2013). While the calcination temperature and energy of magnesite is substantially lower than that of calcite, global reserves are approximately ~10 Gt (McQueen et al., 2020), which suggests that their exploitation at scale for ocean liming may be limited. Renforth and Kruger explore the coupling of mineral carbonation and ocean liming, in which Mg is extracted from abundant silicate minerals through carbonation and then calcined to produce magnesium oxide/hydroxide materials (Fig. 13).

Processes have been suggested for the extraction of Mg from silicate minerals and the creation of Mg(OH)₂ for the purpose of capturing carbon dioxide from flue gases (Madeddu et al., 2015; Nduagu et al., 2012) but may be suitable for OAEE as well. Nduagu et al. (2012) suggest a multistep process in which serpentinite (a Mg-rich rock) is heated at 400 °C, with solid ammonium sulfate creating a solid magnesium sulfate and silica and evolving ammonia and water as gas. The silica can be leached by washing the solid product with water, after which bringing the ammonia gas back into contact with the Mg sulfate creates high-pH conditions under which Mg(OH)₂ can precipitate. An alternative approach (Madeddu et al., 2015) heats solids of NaOH and an olivine-rich rock at 180 °C, forming Mg(OH)₂ and a Na silicate. Both approaches propose using the Mg(OH)₂ for direct reaction with flue gas, and no work has explored their potential for OAEE.

4.2 Considerations for best research practices

4.2.1 Lime/hydrated lime production

Lime can be easily created for small-scale laboratory experiments by calcining limestone (or laboratory grade calcium carbonate) in a furnace at 900 °C. It is possible to sinter lime at temperatures >1100 °C, which would result in a lower reactivity. While sintering is often undesirable for commercial lime, the effect may be useful for OAE to reduce particle dissolution rate and prevent oversaturation of carbonate minerals in seawater. Lime products can also be sourced from commercial suppliers. The reaction of burnt lime (CaO) with water is highly exothermic, and its fire safety risk should be considered when storing or using in the laboratory.
4.2.2 Carbonation prior to experimentation

Lime and hydrated lime readily react with atmospheric CO$_2$ and should thus be produced or sourced as near to the start of the experimental work as possible. The materials can be stored in airtight and/or desiccated containers to minimize carbonation. However, it is difficult (if not impossible) to limit carbonation, and it will certainly be present within commercially sourced material. Carbonate content should be measured (e.g., through mass loss on ignition) before the experiment.

4.2.3 Reactivity and spontaneous precipitation in seawater

Commercially sourced hydrated lime has been manufactured for applications in which high reactivity is desirable. Hartmann et al. (2023) have shown that additions of these particles (0.7 mg Ca(OH)$_2$ : 1 g seawater) may result in spontaneous precipitation of carbonate minerals. Caserini et al. (2021b) modeled an initial particle density of approximately 80 mg Ca(OH)$_2$ : 1 g seawater, diluting to <0.6 mg Ca(OH)$_2$ : 1 g seawater (assuming a 10 kg s$^{-1}$ addition through a single discharge nozzle in the wake of a ship) within about 30 s. Experiments that add hydrated lime to solution should use an initial concentration <0.7 mg Ca(OH)$_2$ assessing particle dissolution across a $10^2$–$10^4$ min$^{-1}$ range of dilution.

5 Hydrated-carbonate-mineral formation

5.1 Technical summary

There are several hydrated carbonate minerals such as ikaite, monohydrocalcite, nesquehonite, hydromagnesite, and amorphous calcium carbonate that are undersaturated in the surface ocean and are thermodynamically likely to dissolve and increase alkalinity when added to seawater (Table 1). The occurrence of these minerals and a method for their industrial production is presented below.

Ikaite (CaCO$_3$ • 6H$_2$O) precipitates from aqueous solutions close to freezing conditions (Boch et al., 2015) in a narrow temperature range below ca. 4–8 °C and depending on ionic strength down to negative temperature values (e.g., in highly saline solutions down to −8 °C; Hu et al., 2014; Papadimitriou et al., 2014). Alternatively, elevated-pressure conditions (>3 kbar at 25 °C) facilitate the crystallization of
Figure 13. A coupled mineral carbonation ocean liming system proposed by Renforth and Kruger (2013).

Table 1. A summary of potential hydrated carbonate minerals for ocean alkalinity enhancement (see Renforth et al., 2022).

<table>
<thead>
<tr>
<th>Hydrated carbonate mineral</th>
<th>Chemical formula</th>
<th>Reported occurrence</th>
<th>Gibbs free energy of hydration reaction from unhydrated forms* (kJ mol(^{-1}))</th>
<th>Gibbs free energy of dissolution reaction in seawater* (410 ppm @ 25°C) (kJ mol(^{-1}))</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monohydrocalcite (MHC)</td>
<td>CaCO(_3)H(_2)O</td>
<td>Formation of MHC requires magnesium in the solution in spite of the incompatibility of magnesium in the MHC structure. Monohydrocalcite has been observed in air conditioning systems and in moonmilk deposits in caves; both probably formed from spray of carbonate-rich fluids.</td>
<td>4.0</td>
<td>–2.4</td>
</tr>
<tr>
<td>Ikaite</td>
<td>CaCO(_3)6H(_2)O</td>
<td>Naturally occurring, metastable hydrated calcium carbonate mineral that forms in cold (&lt;15°C), alkaline, nutrient-rich waters.</td>
<td>10.2</td>
<td>–8.9</td>
</tr>
<tr>
<td>Nesquehonite</td>
<td>MgCO(_3)3H(_2)O</td>
<td>The magnesium trihydrate carbonate nesquehonite readily precipitates from solutions of magnesium bicarbonate at room temperature.</td>
<td>17.1</td>
<td>–11.5</td>
</tr>
<tr>
<td>Hydromagnesite</td>
<td>Mg(_5)(CO(_3))(_4)(OH)(_2)5H(_2)O</td>
<td>Hydromagnesite is an abundant naturally occurring magnesium hydroxyl carbonate (e.g., Konigsberger et al., 1999; Russell et al., 1999; Edwards et al., 2005) that constitutes a large and potentially reactive sink for C.</td>
<td>16.9</td>
<td>–28.9</td>
</tr>
<tr>
<td>Hydrated amorphous calcium carbonate (ACC)</td>
<td>CaCO(_3)xH(_2)O</td>
<td>ACC is unstable under normal conditions and is found naturally in tata as wide-ranging as sea urchins, corals, mollusks, and foraminifera.</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Calcite</td>
<td>CaCO(_3)</td>
<td>Naturally abundant in limestone.</td>
<td>–</td>
<td>1.6</td>
</tr>
<tr>
<td>Magnesite</td>
<td>MgCO(_3)</td>
<td>Accessory mineral in limestone, alteration product in weathering of ultrabasic rock.</td>
<td>–</td>
<td>5.7</td>
</tr>
</tbody>
</table>

* Negative values denote an exothermic/spontaneous reaction.

ikaite (Marland, 1975; Shahar et al., 2005). The solubility of ikaite is higher compared to the anhydrous calcium carbonate polymorphs calcite, aragonite, and vaterite (Brečević et al., 1993; Marion, 2001). Dissolved compounds such as magnesium, phosphate, sulfate, and organic molecules that inhibit the formation of anhydrous calcium carbonates favor the nucleation of ikaite (Brooks et al., 1950; Bischoff et al., 1993). Outside these restricted environments ikaite dehydrates and disintegrates rapidly (within minutes to weeks), preferentially into more stable carbonate minerals and water (Mikkelsen et al., 1999). In some cases, calcite pseudomorphs after ikaite might persist (“glendonite”; Greinert and Derkachev, 2004).

Monohydrocalcite (MHC: CaCO\(_3\)·H\(_2\)O) is a rare mineral in geological settings (Nishiyama et al., 2013) but is frequently associated with other calcium and magnesium carbonate minerals, such as calcite, aragonite, lansfordite, and nesquehonite (Nishiyama et al., 2013). Monohydrocalcite has been observed in air conditioning systems (Nishiyama et al., 2013), in “moonmilk” deposits in caves (Nishiyama et al., 2013).
et al., 2013), and in beach sands formed from algal spume (Nishiyama et al., 2013). It has been reported as a significant component of the decomposition of ikaite in the towers of the Ikka Fjord, West Greenland (Nishiyama et al., 2013). Both laboratory studies and natural observations have indicated that the formation of MHC requires the presence of magnesium in the solution (Nishiyama et al., 2013), possibly forming via a Mg-rich amorphous precursor (Nishiyama et al., 2013).

The magnesium carbonate mineral nesquehonite (\(\text{MgCO}_3 \cdot 3\text{H}_2\text{O}\)) precipitates at room temperature from supersaturated solutions rich in magnesium and bicarbonate (Hopkinson et al., 2008). It is metastable and transforms into hydromagnesite under ambient conditions (e.g., Kazakov et al., 1959), which may be responsible for some naturally occurring hydromagnesite (Davies and Bubela, 1973). Mafic and ultramafic mining wastes, by virtue of their high calcium and magnesium content, are prone to forming numerous carbonate species upon contact with atmospheric CO\(_2\) depending on the environmental conditions that prevail at the stockpiles, but metastable nesquehonite was reported to be the dominant magnesium carbonate forming under ambient conditions (Zarandi et al., 2017). Upon rising the temperature above 50°C, nesquehonite evolves into thermodynamically more stable products with lower \(\text{CO}_2 : \text{Mg}\) ratios (Zarandi et al., 2017).

Hydromagnesite (\(\text{Mg}_3(\text{CO}_3)_4(\text{OH})_2 \cdot 5\text{H}_2\text{O}\)) is a naturally occurring hydrated magnesium carbonate (e.g., Königsberger et al., 1999). At the Woodsreef Asbestos Mine, New South Wales, Australia, weathering of ultramafic mine waste sequesters significant amounts of CO\(_2\) in hydromagnesite (\(\text{Mg}_3(\text{CO}_3)_4(\text{OH})_2 \cdot 4\text{H}_2\text{O}\)) (Oskierski et al., 2021). Mineralization of CO\(_2\) in aboveground, sub-aerially stored tailings is driven by the infiltration of rainwater dissolving Mg from bedrock minerals present in the tailings (Oskierski et al., 2021). Complete dissolution of source minerals, or formation of Mg-poor products during weathering, is expected to transfer Mg into solution without significant alteration of the Mg isotopic composition (Oskierski et al., 2021). The main mineral sources of Mg in the tailings (silicate, oxide/hydroxide, and carbonate minerals) are isotopically distinct, and the Mg isotopic composition of fluids and thus of the precipitating hydromagnesite reflects both isotopic composition of source minerals and precipitation of Mg-rich secondary phases (Oskierski et al., 2021). The consistent enrichment and depletion of \(^{26}\text{Mg}\) in secondary silicates and carbonates, respectively, underpins the use of the presented hydromagnesite and fluid Mg isotopic compositions as a tracer of Mg sources and pathways during CO\(_2\) mineralization in ultramafic rocks (Oskierski et al., 2021).

Amorphous calcium carbonate (ACC) is unstable under normal conditions and is usually found in taxa as wide-ranging as sea urchins, corals, mollusks, and foraminifera. It is usually found as a monohydrate, holding the chemical formula \(\text{CaCO}_3 \cdot \text{H}_2\text{O}\); however, it can also exist in a dehydrated state, \(\text{CaCO}_3\) (Rodriguez-Blanco et al., 2011). ACC was first reported over 100 years ago, when a non-diffraction pattern of calcium carbonate was discovered by Herman Sturcke, exhibiting its poorly ordered nature (Rodriguez-Blanco et al., 2011). The structure and chemistry of ACC is complex, with several forms of ACC classified according to their water content, local order, and mode of formation (e.g., abiotic vs. biogenic). A key variable is the amount of structural water. Hydrated ACC can contain up to \(\sim 1.6\) mol of water per mole of \(\text{CaCO}_3\), yet several less hydrated and even anhydrous forms of ACC have been described (Rodriguez-Blanco et al., 2011; Bots et al., 2012).

Hydrated carbonate minerals are relatively rare and thus insufficient to meet the demand of a scaled up OAE industry. As such, they would need to be created. Renforth et al. (2022) suggest a process by which limestone is dissolved in water in an elevated CO\(_2\) pressure reactor (\(\sim 2\) bar). The water is then degassed in lower-pressure reactors (\(\sim 20\) mbar) under vacuum to evolve/recycle the gaseous CO\(_2\) and create conditions under which carbonate minerals are likely to precipitate from the solution (Fig. 14). If the precipitation environment is cooled or in the presence of calcite precipitation inhibitors, then Renforth et al. (2022) suggest that ikaite will form.

There are several benefits for considering hydrated-carbonate-mineral addition for OAE. The chemical energy imparted into lime or hydrated lime during production is released during its dissolution and carbonation in the surface ocean. This energy is impossible to recover. The production of hydrated carbonates requires considerably less energy.

5.2 Considerations for best research practices

5.2.1 Summary of method of precipitating hydrated carbonates

The TS15 multistep pressure system for its mass production (Fig. 14) may not be convenient or necessary for all laboratory studies that may want to consider the impact of ikaite dissolution on seawater biogeochemistry. Existing methods for precipitating hydrated carbonates involve the reaction of an alkaline liquid (usually NaOH or Na\(_2\)CO\(_3\)) with calcium or magnesium chloride (e.g., Lennie et al., 2004). It is not yet clear if characteristics of these materials differ from those that might be produced from a pressure swing system.

5.2.2 Stability of hydrated carbonates

The feasibility of using hydrated minerals for OAE requires the produced mineral to remain stable for sufficient time to be added to the ocean and dissolve. Similarly, experiments performed using hydrated minerals should have sufficient confidence that the materials added to seawater have not converted to more stable anhydrous polymorphs. If these minerals were to transform into calcite or magnesite before addition it could result in a reduction of alkalinity by seeding additional carbonate precipitation. Experimental work suggests that syn-
thetic ikaite can be stable for days at low temperature and that it increases alkalinity when dissolved in seawater (Renforth et al., 2022).

5.2.3 Methods of detection

Hydrated carbonate minerals are identifiable by several techniques including Raman spectroscopy, Fourier transform infrared (FTIR) spectroscopy, X-ray diffraction (XRD), electron probe X-ray microanalysis (EPMA), and scanning electron microscopy (SEM).

Raman spectroscopy is a non-destructive method that requires little or no sample preparation. Moreover, all the bands related to carbonates in the mid-infrared region have characteristic positions which make it easy to differentiate from other minerals. Raman analyses of carbonates have long been used in mineralogical and geochemical research (see Kim et al., 2021, and references therein).

FTIR is rapid (a few minutes per sample), does not require hazardous chemicals, has a small sample requirement (~1 mg), and produces several distinguishable carbonate bands in its spectrum. Diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) is a form of FTIR with additional advantages compared to transmission FTIR. DRIFTS does not require sample dilution in infrared transparent material, thus reducing sample preparation time; its sample-holding microcells allow for fixed volumes; and the sample is recoverable after analysis. DRIFTS is a method that has been used for identifying and quantifying calcite and dolomite in natural sediments. Few studies have used spectroscopic techniques to quantify carbonate in non-carbonate geological matrices (Bruckman and Wriessnig, 2013; Du et al., 2014; Tatzber et al., 2007).

XRD is the most commonly used tool for identification of major minerals. In addition to qualitative analysis, quantitative XRD is possible because the peak intensities of a given mineral in the diffractogram are proportional to the weight percent of that particular mineral in the sample. However, peak intensities are also a function of the mineral’s absorption coefficient, particle size, degree of crystallinity, and the preferred orientation of the sample; this means that compared to qualitative analysis quantitative XRD requires more specialized expertise to produce accurate results.

6 Mineral addition to pelagic coastal environments

6.1 Technical summary

Using the ocean’s surface waters (which refers to the mixed layer) for mineral addition to increase ocean alkalinity has been the focus of interest of numerous research projects (Renforth and Henderson, 2017), starting with exploring suitable minerals to achieve increased alkalinity while investigating potential side effects on marine life and ecosystems. An obvious problem for the community of researchers involved in OAE has been identifying and generating the particle type and size required to allow for dissolution, classically considered to be smaller than 63 µm (Hangx and Spiers, 2009). However, while this particle size is comparatively easy and energy-efficient to produce, residence times in the water column would for most minerals be too short to allow for dissolution, and particles would sink out too rapidly. To avoid mineral loss via sinking, particle sizes of <1 µm would be required (Köhler et al., 2013), which are difficult to produce in a climate-neutral manner, and their application might be harmful for humans, leading to respiratory problems (e.g., Doelman et al., 1990). One way to work with bigger grain sizes is to turn to coastal systems, where particles would sink to the seafloor and be transported back into the water column by natural turbulence, allowing for increased dissolution over time.

Classically, silica minerals such as olivine (forsterite or fayalite) and carbonate sedimentary rock such as limestone and dolostone (dolomite) have been suggested to increase alkalinity in an efficient way (Renforth and Henderson, 2017). However, large-scale experiments are still a rarity (see also Riebesell et al., 2023, in this report and Cyronak et al., 2023, in this report). Other possible minerals for this purpose are

![Figure 14. A CO₂ pressure swing process for creating a hydrated carbonate (adapted from Renforth et al., 2022).](image-url)
basalt or serpentine. When choosing minerals, an ambition should be to ensure availability near the application location to reduce the carbon footprint, thus limiting the choice of mineral and again emphasizing the advantage of coastal applications compared to open-ocean applications.

Another concern when applying alkaline minerals is the stability of alkalinity due to possible formation of carbonate phases. This results from the ocean’s supersaturation in calcite and aragonite (Sarmiento and Gruber, 2006). If an increase in alkalinity is introduced along with an increase in carbonate ion concentrations, the supersaturation would increase even more, which has been suggested to lead to solid carbonate precipitation (Fuhr et al., 2021; Moras et al., 2022; Hartmann et al., 2023). This, in turn, would decrease surface alkalinity, causing an effect opposite to the desired one, whereby the decrease in alkalinity would depend on the mineral used. One proposed solution to address this challenge is the application of CO$_2$-equilibrated alkaline solutions to minimize the risk of losing alkalinity due to carbonate phase formation.

Open-ocean and water column silicate mineral applications have the potential to increase both the chemical and the biological carbon pump. Here, the biological carbon pump was of interest due to its potential to remove CO$_2$ on a timescale of several thousands of years (Longhurst et al., 1995; Petit et al., 1999; McNeil et al., 2003). The line of reasoning was often based on Earth’s historical considerations, with cold periods in Earth history having been related to increased photosynthetic activity by phytoplankton (Kirschvink, 1992; Penman and Rooney, 2019). However, recent studies suggest that mineral additions can, in addition to benefits, also pose risks to marine life, including primary producers (Bach et al., 2019; Guo et al., 2022). This effect is likely related to the increased concentrations of trace metals enriched in the minerals of choice. Earlier dissolution experiments with olivine have shown that an increase in alkalinity of about 100 mmolL$^{-1}$ led to an increase of $\sim$3 mmol Ni L$^{-1}$ due to non-stoichiometric dissolution of the heterogeneous material; this equals approximately 3 times the natural concentration in seawater (Montserratt et al., 2017), within the toxic range for many eukaryotic microalgae (Glass and Dupont, 2017). Other trace metals present in alkaline minerals, including Cu, Cd, Cr, or other heavy metals, might impose further ecotoxicological effects, depending on their concentration in the minerals transferred to the water column or sediment (Simkin and Smith, 1970; Beerling, 2017). Anthropogenic materials including from mining or cement production could also contain a variety of trace metals at concentrations yet to be determined, which might become particularly problematic to organisms of higher trophic levels in which they accumulate (Garai et al., 2021).

It is essential to consider whether the added minerals remain in the water column and impact the growth of the vital primary producers in the food web. Another important consideration is whether adding alkaline minerals impacts the local communities in the treated area and leads to any changes. Moreover, a possible change in the local communities might see the appearance of organisms that release other greenhouse gases, potentially offsetting the sequestration achieved through the treatment.

Careful considerations have to be undertaken in order to ensure safe minimum standards. One important aspect is that field applications cannot exceed environmental quality standards (EQSs; European Commission, 2017). EQSs define the threshold concentration of potentially harmful toxic metals, like nickel and chromium. The impact of minerals enriched in those trace metals on biodiversity and ecosystem function is difficult to study, as minerals are not necessarily homogeneous and contain similar trace metal concentrations. Trace metal concentrations, however, may limit the amount of mineral addition, especially of olivine-rich rocks, that can be deployed in marine habitats (e.g., Flipkens et al., 2021). The potential difficulty arising from introducing toxic compounds would vary strongly depending on the respective ecosystem’s tolerance to those compounds. Coastal environments can have limitations, especially with regard to sediments, which already have a (natural) background in trace metals and accumulate trace metals over time (see Sect. 6.2). Furthermore, seawater pH should be kept within a natural seawater range (pH ≤ 8.2; Pedersen and Hansen, 2003). Even though phytoplankton can be adapted to a wide range of pH, the growth rates of a majority of species can be influenced significantly (Hinga, 2002; Penman and Rooney, 2019). In addition, a pH > 9.5 can promote the precipitation of aragonite, as well as brucite together with phosphorus and silicate (Hartmann et al., 2023). On the other hand, depending on the deployed material, specific plankton groups might benefit from, for example, iron additions (Boyd et al., 2000).

To scrutinize the effect of OAE as a tool to mitigate climate change, it is necessary to also investigate the impact of mineral addition, pH, and alkalinity changes on non-CO$_2$ greenhouse gas production. Two important greenhouse gases are methane and nitrous oxide, with warming potentials of approximately 70 and 300 times that of CO$_2$, respectively (Bange, 2006a). While the ocean is a minor source of methane to the atmosphere, it contributes about one-third of nitrous oxide emissions to the atmosphere (Bange, 2006), making it critical to understand any potential impacts of OAE on its formation. N$_2$O is chiefly produced biologically, with the microbes producing it known to be sensitive to changes in pH (Thomsen et al., 1994; Seeländer, 2023); however, so far, the few available studies indicate a reduction in N$_2$O production if pH and alkalinity are increased.

When considering the technical challenges within the pelagic environment for OAE, one of the primary considerations is how to effectively measure the CO$_2$ offsets generated from techniques that utilize the pelagic environment; therefore a standardization of MRV (measurement, reporting, and verification) is a necessity to secure procedures that ensure the accuracy and precision of measurements (see also Ho et
al., 2023, in this report). Within that, a reliable and well-established analysis technique for determining relevant parameters (e.g., alkalinity) is required to ensure the accuracy and comparability of data. Ecosystem diversity in pelagic environments will vary according to the location because of the biological, chemical, and physical parameters due to, for example, ocean currents, temperatures, and wind. Therefore, considerations from many disciplines are essential to explore the complexity.

To carry out coastal pelagic OAE research an understanding of benthic–pelagic coupling is required to assess the impact on benthic systems and ecosystems and to be able to maximize the use of this coupling for OAE. Further, it is critical to assess the local and regional biodiversity by means of meta-omics, flow cytometry, or similar high-resolution methods for microbial life to then understand what thresholds for trace metal additions would introduce toxic effects as defined in Bach et al. (2019) and references therein. For macro-life forms, targeted ecotoxicological assessments are required to avoid damage to, and heavy metal accumulation in, top predators and to establish robust thresholds.

6.2 Considerations for best research practices

Application of particles is challenging (see also Sect. 7.2), as small sizes are required to assure water column dissolution, but larger particles (∼63 µm) can be used if the goal is to achieve OAE in both the benthic and pelagic parts of a coastal system, where resuspension of particles can be useful to achieve mixing. However, any particle addition might lead to shadowing, thus impacting photosynthetic organisms in the pelagic and benthic realm, requiring a thorough understanding of both benthic and pelagic primary production to avoid harming the basis of the ecosystem. In addition, mechanical stress can be imposed on benthic organisms by adding particles; data, however, are limited here and will have to be gathered for every system individually.

While those effects will vary largely for each ecosystem, trace metal toxicity can be avoided. Before particles are added, we recommend a thorough analysis of the major elements in the mineral of choice to quantify the effect of mineral addition on the total alkalinity change potentially obtained in the system but also to define the upper limit of additions with respect to trace metal toxicity. For some organisms, including pelagic primary producers, thresholds are available from the literature; however, to understand the impact of trace metal toxicity on complex food webs testing before application should be carried out in mesocosms and benthocosms containing assemblages or subsets of the natural communities present in the ecosystem of choice. At a minimum, key species should be tested individually for their trace metal (and pH) tolerance to avoid damage to biodiversity.

7 Addition to the coastal seafloor

7.1 Technical summary

Continental shelves comprise only about 7% of the global surface ocean, yet they account for up to 30% of the oceanic primary production (Gattuso et al., 1998) and between 10% and 25% of the present-day CO₂ uptake (Regnier et al., 2013). Shelves are also important areas for cation and TA turnover related to detrital mineral dissolution and authigenic carbonate and clay precipitation (e.g., Linke et al., 1994; Jeandel et al., 2011; Jeandel and Oelkers, 2015; Torres et al., 2020). Assuming an ice-free surface area of continental shelf seas of 22 × 10⁶ km², natural carbon uptake in coastal waters has been estimated to be ∼0.19 Pg C yr⁻¹, showing the importance of shelves as a natural global sink of atmospheric CO₂ (Laruelle et al., 2014, 2018).

The addition of ground minerals to the shelf seafloor may enhance this uptake even further and as such are candidate locations for OAE. Large-scale mineral application would be logistically convenient, using excavators either directly on the beach or from small vessels or barges in offshore shallow waters. Several companies and initiatives already take advantage of this relatively easy CDR implementation and study the effectiveness of mineral dissolution in the field.

The most obvious advantage of adding particulate minerals to the seafloor compared to the water column is the required grain size. Compared to water column deployment, for which the required grain sizes are <1 µm in order to avoid rapid sinking (Hauck et al., 2016), the optimum grain sizes needed for seafloor deployment are proposed to range between 0.2 and 1.4 mm (Schuiling and de Boer, 2011; Strefler et al., 2018), which is economically attractive. However, these recommendations lack thorough experimental testing, both in the laboratory and in the field.

Further, the choice of grain size depends on the region of deployment. Two potential coastal regions for mineral addition are low- and high-energy environments (modified after Meyssman and Montserrat, 2017) (Fig. 15). High-energy environments are characterized by extensive water mass movement, such as surf zones, thereby providing a natural grinding mechanism, allowing larger, less costly grain sizes to be used (Flipkens et al., 2023). In contrast, low-energy environments require the addition of smaller grain sizes (∼20 to 100 µm) as a higher surface area maximizes mineral dissolution (Oelkers et al., 2018). In this environment, mineral dissolution is enhanced by biota through bioturbation and microbial metabolism (Meyssman and Montserrat, 2017). These two distinct environments are discussed below with respect to their advantages and disadvantages.
7.1.1 High-energy environments

These environments are located along the coastal surf zone or the shallow shelf, where wave action, currents, and tidal activity lead to natural mineral erosion. The required grain sizes can be relatively large (millimeter-scale) for this environment, given that the constant movement naturally grinds the minerals, continuously exposing fresh reactive mineral surfaces and enhancing dissolution. Given that alkalinity enhancement directly occurs close to the air–sea interface in these well-mixed shallow water settings, the impact on atmospheric CO$_2$ uptake is immediate.

Detection of mineral dissolution and alkalinity production in these high-energy environments is challenging due to rapid mixing, dilution, and dispersal of solutes. Minerals may also be transported along- and offshore away from their deployment location. This requires carefully designed field experiments to assess the efficiency of this OAE approach with regard to CO$_2$ drawdown (see Sect. 7.2 and Cyronak et al., 2023, in this report). Furthermore, these factors hamper accurate evaluation concerning MRV for commercial carbon removal purchases (see Ho et al., 2023, in this report).

An advantage of mineral dissolution in coastal surface sediments is the lower risk of secondary mineral formation. In an open environment, supersaturation levels potentially triggering carbonate or phyllosilicate precipitation in conjunction with other relevant factors (e.g., pH, DIC, TA) are more unlikely to be attained. CO$_2$ consumption may therefore be more efficient compared to low-energy environments. See Table 2 for a summary of advantages and disadvantages for mineral deployment in high-energy environments.

7.1.2 Low-energy environments

Shelf environments comprise the waters below the wave base down to 200 m that are not significantly affected by normal wave action. The continental slope and deep seafloor are not considered here given that the exchange of bottom waters with surface ocean waters may be too slow to be relevant for near-term CO$_2$ reduction strategies (Smith et al., 2023).

The shelf sediment is mostly sand and mud (Schulz and Zabel, 2006), providing an ideal environment for mineral dissolution by taking advantage of the “benthic weathering engine” (Meysman and Montserrat, 2017). Here, two mechanisms can be distinguished that potentially accelerate mineral dissolution, that is, microbial metabolism and bioturbation by macro-organisms.

The degradation of organic matter by microbial activity in fine-grained sediment creates a unique microenvironment that may be conducive to dissolution of some types of minerals. The microbial degradation of organic matter by aerobic and, in particular, anaerobic remineralization pathways (e.g., denitrification, iron and manganese reduction, and sulfate reduction) leads to an accumulation of reduced forms of S, N, Fe, and Mn in both the dissolved and particulate phases. If these compounds are exposed to oxygen or nitrate at the sediment surface they can be oxidized rapidly, leading to a local decrease in pH to $<6$. Under these conditions, carbonate dissolution may take place if pore fluids become undersaturated with respect to the dissolving mineral phase (Jahnke and Jahnke, 2000). Silicate dissolution strongly depends on the mineral type, whereby Mg-silicate dissolution (e.g., olivine) is enhanced at low pH and aluminosilicates at high pH (Oelkers et al., 2018, and references therein). Mineral dissolution may be facilitated or even enhanced through the action of electrogenic cable bacteria that can oxidize S by shuttling electrons from subsurface anaerobic sediments to the oxic surface layer (Meysman et al., 2019). However, cable bacteria are not thought to be highly active in bioturbated sediments, such that their potential impact on alkalinity en-
Table 2. Advantages and disadvantages of coastal marine mineral addition on the continental shelf (low-energy environment) compared to the surf zone (high-energy environment).

<table>
<thead>
<tr>
<th>Advantage/Disadvantage</th>
<th>Low-energy environment (continental shelves)</th>
<th>High-energy environment (surf zone)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mineral dissolution</td>
<td>Enhanced by metabolic processes</td>
<td>Wave actions, tides and currents</td>
</tr>
<tr>
<td></td>
<td>Bioturbation</td>
<td></td>
</tr>
<tr>
<td>Mineral size</td>
<td>Medium (micrometer range)</td>
<td>Large (millimeter range)</td>
</tr>
<tr>
<td>Grinding</td>
<td>Mechanically, on land</td>
<td>In situ by wave actions, water movement</td>
</tr>
<tr>
<td>Risk of secondary mineral formation</td>
<td>High, due to saturation levels in pore fluids</td>
<td>Low, due to dilution by ambient seawater</td>
</tr>
<tr>
<td>Background rain rate of detrital minerals</td>
<td>High risk of fast alkaline mineral burial</td>
<td>Low impact</td>
</tr>
<tr>
<td></td>
<td>POC; high rates may enhance mineral dissolution</td>
<td></td>
</tr>
<tr>
<td>Risk of mineral relocation</td>
<td>Medium; strong bottom currents may transport minerals to offshore depocenters</td>
<td>High; transport by wave action and currents out of turbulent zone</td>
</tr>
<tr>
<td>TA detection</td>
<td>Challenged by water depths – logistical difficulties</td>
<td>High dilution factors with seawater</td>
</tr>
<tr>
<td></td>
<td>Large seawater alkalinity background</td>
<td>Turbulent waters, impacting stationary sensor systems</td>
</tr>
<tr>
<td>Air–sea exchange</td>
<td>Enhanced by benthic–pelagic coupling</td>
<td>Instantaneous</td>
</tr>
<tr>
<td></td>
<td>Enhanced by currents, upwelling</td>
<td></td>
</tr>
</tbody>
</table>

Enhancement by mineral dissolution may not be quantitatively significant at the regional scale.

The effect of burrowing by large macro-organisms, known as bioturbation, enhances mineral incorporation into the sediment matrix and, consequently, brings the minerals in contact with the acidic pore fluids and enhances benthic–pelagic exchange (Neumann et al., 2021). Additionally, the digestive systems of macro-organisms, with their high enzymatic activity, low pH, mechanical abrasion, and digestion, have been shown to increase silicate and carbonate dissolution (Cadée, 1976; Volkenborn et al., 2009). However, this process is poorly understood, and its significance for mineral dissolution is unknown at regional scales.

A large drawback of the low-energy benthic environment for OAE is the high probability of secondary mineral precipitation. Formation of authigenic carbonates or phyllosilicates releases CO₂ (e.g., Wallmann et al., 2008; Torres et al., 2020) and directly counteracts the envisioned TA release and CO₂ uptake by mineral addition. Authigenic aluminosilicate formation was recently found to be a large Si sink in the global marine Si cycle, releasing CO₂ and consuming TA (e.g., Wallmann et al., 2008; Rahman et al., 2017; Tréguer et al., 2021) on timescales of weeks to months, that is, much faster than previously considered (10³ years) and impacting element cycles on human timescales (Geilert et al., 2023). Authigenic, inorganic carbonate precipitation at the sediment–water interface or within the sediment column is triggered by alkalinity production from anaerobic microbially mediated reactions (Sun and Turchyn, 2014) and can also be caused by silicate dissolution, buffering the potential pH drop induced by methanogenesis and by that creating carbonate-saturated environments (Torres et al., 2020). In the context of mineral addition to increase ocean alkalinity, these secondary mineral formations may play a major role in the net CO₂ sequestration efficiency, as recently shown in laboratory experimental studies (Fuhr et al., 2021; Moras et al., 2022; Hartmann et al., 2023). Further research is required to identify the probability and impact of secondary mineral formation on net CO₂ turnover with respect to OAE (Table 2 and Sect. 7.2). The results from laboratory studies though (Fuhr et al., 2021; Moras et al., 2022; Hartmann et al., 2023) are still debatable with regards to their transferability to the open ocean, where secondary mineral saturation states are reached less easily. Mesocosm studies might offer a solution here (Riebesell et al., 2023, in this report), in which open-ocean conditions can be simulated more realistically, and the triggering factors for secondary mineral formation can be identified (see also Sect. 7.2).

As in the high-energy shallow environments, detection of mineral addition in deeper and fine-grained coastal waters (50–200 m) can be difficult. The deployment of autonomous instruments on the seafloor, such as benthic chambers, can be used to measure fluxes of alkalinity and other dissolved compounds to/from the seafloor. Depending on the nature of the sediment and the carbon degradation rates, benthic chambers can typically detect O₂ consumption and nutrient release on
timescales of 1–2 d of continuous deployment in shelf environments (Sommer et al., 2016). However, the attribution of mineral dissolution to changes in alkalinity is challenging, particularly against the large seawater alkalinity background. Only in the most reactive coastal settings such as upwelling areas can alkalinity fluxes be determined accurately (Ilyina et al., 2013; Dale et al., 2015). The development and incorporation of high-precision pH and $p\text{CO}_2$ sensors may provide a solution to detecting small changes in CO$_2$ and alkalinity fluxes due to mineral dissolution. To our knowledge, the suitability of benthic chambers with respect to detection of OAE at the seafloor still requires field testing.

For both high- and low-energy environments, the risk exists that the alkaline minerals will not remain at the site of deployment, due to seabed erosion by either wave action and currents, transport, or burial. In areas where there are strong bottom currents, fine-grained minerals can be eroded and transported. These minerals are then ultimately delivered to regional depocenters in deeper basins in shallow coastal seas such as the Baltic Sea (e.g., Wallmann et al., 2022) or to the continental slopes on open margins (e.g., Anderson et al., 1994). Once deposited in deep waters, they are removed from the shallow regions, where the benthic–pelagic water mass exchange is rapid.

A final point for consideration is the sedimentation rate. On the one hand, high particulate organic carbon (POC) sedimentation rates are desirable to guarantee high rates of organic matter degradation and low-pH pore fluids necessary for enhanced mineral dissolution. On the other hand, high sedimentation rates of detrital minerals or low POC sedimentation rates may be counterproductive, leading to rapid burial of OAE minerals below the dissolution zone. These factors need to be factored into the cost–benefit analysis of envisaged OAE mineral deployment in low-energy environments.

### 7.2 Considerations for best research practices

#### 7.2.1 Quantity of deployed mineral

As the effects on the ecosystem by local alkalinity enhancement are still the subject of current investigation, care should be taken when adding minerals to the seafloor. The risk of smothering flora and sessile organisms and clogging of burrows with mineral particles can be minimized by avoiding large deposits in the target area. It is currently unknown whether locally enhanced TA increases in sediment pore fluids driven by oversupply of minerals might be detrimental to certain organisms or produce a shift in the microbial community, potentially affecting mineral dissolution rates. Therefore, dispersed mineral distribution is desirable by, for example, sprinkler systems. Post-deployment channeling of minerals to depocenters by bottom currents might be unavoidable for fine-grained particles. To avoid an accumulation of undissolved minerals on the seafloor, potentially negatively affecting marine ecosystems, care must be taken in assessing the quantity added. An upper limit of long-term mineral addition may be scaled to the local annual POC rain rate depending on the stoichiometry of CO$_2$ sequestration by the relevant mineral if the CO$_2$ released from carbon respiration is quantitatively consumed by benthic weathering. This also assumes that mineral dissolution is tightly coupled to the remineralization of organic matter, which is unlikely to be universally the case. This question is currently being addressed in benthic mesocosm experiments (see Riebesell et al., 2023, in this report) and still requires verification in field trials. For mafic minerals such as olivine, further unwanted side effects may arise due to release of heavy metals that may be toxic to marine organisms at higher concentrations (e.g., Ni). Testing the potential accumulation of metals in locally sourced sediment cores amended with minerals under laboratory conditions is recommended.

#### 7.2.2 Secondary precipitates

The precipitation of secondary minerals either as discrete grains or on the surface of the added alkaline mineral, decreasing its effective surface area, will hamper the efficiency of CO$_2$ removal. Especially in the case of secondary silicate precipitation, many unknowns remain concerning the controlling factors of formation. Identification of reaction pathways and rates will require highly precise monitoring either of the solid or the fluid phase. Analyses of the fluid phase are challenged by the large background seawater concentrations of chemical tracers, though distinct changes in pore fluid chemistry (e.g., K, Li, Mg) and/or the application of stable-isotope tracers (e.g., Si, B, Li, K) have the potential to identify secondary mineral precipitation. Analyses of the solid phase can identify secondary minerals as well, by either bulk rock quantification techniques (e.g., XRD), in situ mineral analyses (e.g., Campbell et al., 2023), and/or sequential leaching procedures; however, it remains a challenge to identify if the precipitates are of marine or terrestrial origin. For a reliable quantification of secondary mineral formation in the context of OAE, repeated sampling of solid and fluid phases at regular intervals needs to be conducted to assess element turnover and thus CO$_2$ sequestration.

#### 7.2.3 Methods of detection

Arguably the biggest challenge is to quantify and monitor CO$_2$ sequestration related to mineral addition on the seafloor. In low-energy deeper waters, the use of benthic chambers is an option to monitor the carbonate system over discrete time intervals. However, artifacts such as changing redox conditions within the chamber due to oxygen depletion need to be considered and, if possible, compensated for in situ. Regular sampling is also needed. In the shallow and easily accessible high-energy environment, regular water sampling is unlikely to detect alkalinity increase or CO$_2$ drawdown in this highly diluted and well-mixed environment. Long-term monitoring...
using autonomous chemical sensor platforms for detection of changes in pH, pCO$_2$, and TA (Sonnichsen et al., 2023) is an option but highly challenging due to large fluctuations over timescales ranging from hours to years. Keeping track of the mass of mineral gains over time may yield more robust results.

8 Conclusions

OAE as a potential solution to combat ocean acidification and remove CO$_2$ from the air continues to show great promise. OAE’s unique potential among CDR approaches to compensate for CO$_2$ degassing from the ocean resulting from large-scale atmospheric CO$_2$ removal makes it an especially valuable approach worthy of continued pursuit. This chapter delves into technical aspects of the various technologies and considerations for best practices in their research and development. Although challenges remain, such as cost effectiveness and minimizing environmental impacts, pilot projects have begun to demonstrate the feasibility of deploying various OAE techniques in relevant operational environments. Continued innovation and collaboration among scientists, engineers, and policymakers will be crucial in refining these technologies further. While initial experiments have been successful on a smaller scale, significant challenges lie in deploying these techniques on a global level.

Addressing logistical complexities, ensuring proper monitoring and regulation, and securing necessary funding are imperative for successful scaling. Additionally, considering regional variations and selecting appropriate sites for implementation will be vital for maximizing the effectiveness and efficiency of OAE projects. The identification and implementation of best practices are essential for the success of OAE initiatives. Conducting comprehensive environmental impact assessments, employing adaptive management strategies, and promoting transparency and public engagement are crucial steps in ensuring responsible deployment. Learning from past experiences, both positive and negative, will help refine the methodologies and minimize any unintended consequences. It is crucial to approach this strategy with careful consideration, ensuring technology readiness, addressing scaling challenges, and implementing best practices.

Recommendations for research on technical aspects of OAE

- Conducting comprehensive environmental impact assessments, employing adaptive management strategies, and promoting transparency and public engagement are crucial steps in ensuring responsible deployment of OAE.

- Excessive accumulation of undissolved minerals on the seafloor, potentially harming marine organisms, should be avoided; assuming that benthic mineral dissolution is coupled to organic matter remineralization, the POC rain rate can be used as an upper limit of the amount of mineral addition.

- The potential formation of secondary minerals, either carbonates or silicates, which can reduce the net CO$_2$ sequestration efficiency of an OAE approach, needs to be monitored on a regular basis by thorough chemical analyses of the involved fluid and/or solid phases.

- Technology readiness levels for the different OAE measures need to be increased in laboratory experiments and in field studies, also to identify potential “tipping points” for organism viability and the ecosystem in general.

Appendix A: Definitions and common abbreviations

AWL Accelerated weathering of limestone
CDR Carbon dioxide removal
CCS Carbon capture and storage, specifically where CO$_2$ is concentrated from waste streams
DIC Total dissolved inorganic carbon
LCA Life cycle analysis
MRV Monitoring, reporting, and verification
OAE Ocean alkalinity enhancement
$\Omega_{\text{cal}}$ Calcium carbonate (calcite) saturation state
$p\text{CO}_2$ Partial pressure of CO$_2$
$pH$ Negative logarithm of the hydrogen ion activity, a measure of acidity
TA Total alkalinity
XRD X-ray diffraction

Data availability.

Author contributions. MDE, SG, and PR scoped and edited the contents of the chapter. MDE contributed to Sect. 2, SG contributed to Sect. 7, PR contributed to Sects. 4 and 5, LB contributed to Sect. 5, JC contributed to the Introduction and the Conclusions, AWD contributed to Sect. 7, SF contributed to the Introduction and Sect. 4, PG contributed to Sect. 7, OH contributed to Sect. 4, CRL contributed to Sect. 6, GHR contributed to Sects. 2 and 3, and JR contributed to Sect. 6.

Disclaimer. Publisher’s note: Copernicus Publications remains neutral with regard to jurisdictional claims made in the text, published maps, institutional affiliations, or any other geographical representation in this paper. While Copernicus Publications makes every effort to include appropriate place names, the final responsibility lies with the authors.

Acknowledgements. Phil Renforth acknowledges funding from the European Union’s Horizon 2020 research and innovation program under grant 869357 (project OceanNETs: Ocean-based Negative Emission Technologies analyzing the feasibility, risks, and co-benefits of ocean-based negative emission technologies for stabilizing the climate) and philanthropic funding from the ClimateWorks Foundation and Climate Pathfinders Foundation. Matthew D. Eisaman acknowledges funding from the German Federal Ministry of Education and Research (grant no. 03F08095) project RETAKE, DAM mission “Marine carbon sinks in decarbonization pathways” (CDRmare).

We thank the Ocean Acidification and other ocean Changes – Impacts and Solutions (OACIS), an initiative of the Prince Albert II of Monaco Foundation, for its support throughout the project. We extend our gratitude to the Villefranche Oceanographic Laboratory for supporting the meeting of the lead authors in January 2023. Rita Erven (GEOMAR) is thanked for her help in preparing the figures for this paper.

Financial support. This research has been supported by the ClimateWorks Foundation (grant no. 22-0296) and the Prince Albert II of Monaco Foundation. This research has also been supported by the Horizon 2020 (OceanNETs); the ClimateWorks Foundation (Novel Materials for OAE); the Grantham Foundation for the Protection of the Environment (Ocean Alkalinity Enhancement); and the Bundesministerium für Bildung, Wissenschaft, Forschung und Technologie (RETAKE, DAM mission) TS23.

Review statement. This paper was edited by Lennart Bach and reviewed by Justin Ries and one anonymous referee.

References


ide Removal from Air, Environ. Sci. Technol., 57, 6169–6178,
https://doi.org/10.1021/acs.est.0c08633, 2023.


Illyina, T., Wolf-Gladrow, D., Munhoven, G., and Heinze, C.: Assessing the potential of calcium-based artificial ocean


Othman, M. D. Eisaman et al.: Assessing the technical aspects of ocean-alkalinity-enhancement approaches 27


Remarks from the typesetter

TS1 Please check all affiliations and affiliation codes carefully and confirm if they are correct.

TS2 Please confirm “O\textsubscript{2}(g)”.

TS3 Burt et al., 2023 changed to Rau et al., 2022. Please confirm.

TS4 Please confirm subscripted “2(s)”

TS5 Please confirm “Na\textsubscript{2}SO\textsubscript{4}(aq)”.

TS6 Please confirm this sentence.

TS7 Please confirm “Reaction (R5)”?

TS8 Please confirm: citation of a and b are correct according to our standards.

TS9 a or b?

TS10 Please confirm citation.

TS11 Please confirm the citation.

TS12 Please confirm the subscript.

TS13 Please give an explanation of why this needs to be changed. We have to ask the handling editor for approval. Thanks.

TS14 Please confirm this citation.

TS15 Please confirm the formatting.

TS16 Please check this table carefully and confirm if it is correct.

TS17 Please confirm citation.

TS18 Please confirm table reference.

TS19 Please confirm citation.

TS20 Thank you for your feedback. Please note: if any data sets are used for this study, they must be included here. I would kindly ask you to check this and add the URLs/DOIs here, as well as corresponding reference list entries.

TS21 “7”?

TS22 Please note that there is a discrepancy between funding information provided by you in the acknowledgements and the funding information you indicated during manuscript registration, which we used to create this section. Please double-check your acknowledgements to see whether repeated information can be removed from the acknowledgements or changed accordingly. If further funders should be added to this section, please provide the funder names and the grant numbers. Thanks.

TS23 Please check the title.

TS24 Please make sure this reference is mentioned in the text.

TS25 Please provide DOI/URL.

TS26 Please confirm page range.

TS27 Please confirm article number.

TS28 Please provide date of last access.

TS29 Please confirm the title.

TS30 Please check page range and confirm reference list entry.

TS31 Please check; is there a volume number and page range/article number and DOI?

TS32 Please provide date of last access.

TS33 Please provide date of last access.

TS34 Please confirm reference list entry.

TS35 Please confirm page range.

TS36 Please note: year has been updated in the citation in the text.

TS37 Please provide ISBN/DOI.

TS38 Please confirm reference list entry.

TS39 Please provide DOI.

TS40 Please provide DOI/URL.

TS41 Please confirm author list.

TS42 Please check title with the one given in the URL.

TS43 Please provide publisher.

TS44 Please check and confirm this reference list entry. Please note: you also provided this reference list entry: Schuiling, R. D. and de Boer, P. L.: Coastal spreading of olivine to control atmospheric CO\textsubscript{2} concentrations: A critical analysis of viability. Comment: Nature and laboratory models are different, Int. J. Greenh. Gas Con., 4, 855–856, 2010. Should this be added as well? If so, please make sure all Schuiling and de Boer-references are cited in the text.

TS45 Please confirm reference list entry.

TS46 Thank you for your feedback. The citation can be kept.