Comparing Global Trends in Marine Cold Spells and Marine Heatwaves

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Abstract

Global warming is causing extreme climate events to become more frequent and more severe. Marine Heat Waves (MHWs) and Marine Cold Spells (MCSs) are prolonged, discrete periods of anomalously high or low Sea Surface Temperature (SST) with wide ranging impacts from dramatic shifts in biodiversity to changes in fishery yields. Previous research has found that

10 MHWs are increasing in frequency and intensity but MCSs remain less well understood.

We compared the global observed MCS and MHW intensities and trends in their frequency over the period 1982-2021. These events were also assigned a category from 1 (moderate) to 4 (extreme). Our findings show that in large areas of the ocean it can be said with 99% confidence that MCSs have become less frequent and MHWs have become more frequent. In those regions, the occurrence of MCSs has typically reduced by one event every five years, while there is one extra MHW

15 event every five to ten years. However, parts of the Southern Ocean go against these trends with MHWs becoming slightly less frequent and MCSs becoming more frequent.

The trend of increasing MHWs and decreasing MCSs is mostly due to increases (and decreases) in category I and II events. Category III and IV MHW are less common than the milder events and a trend analysis demonstrated that across most of the ocean they are occurring at a rate that remains roughly constant over time. However, the spatial extent of the ocean affected

20 by these events is increasing. The occurrences of category III and IV MCS events are infrequent, and a trend analysis also found that their rates of occurrence, and the spatial extent of the ocean affected, remain near constant.

Much of our results are in agreement with previous studies, what is significant about this study is the fact that it uses a different, higher-resolution input SST dataset. The similarity of the results between the different research efforts strengthens the argument that these events are not just a feature of their input dataset.

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Short Summary

Marine Heat Waves (MHWs) and their counterpart, Marine Cold Spells (MCSs) have devastating impacts on the environment. We analysed their trends and found that the numbers of MHWs are increasing, and MCS are reducing, except for an area of the Southern Ocean where MCS are increasing. While our results are in agreement with previous studies, this

30 study uses a different, higher-resolution SST dataset, strengthening the argument that these events are not just a feature of their input dataset.

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1 Introduction

40 There is now overwhelming evidence that climate change will increase the frequency and intensity of extreme climate events (IPCC 2021). One kind of extreme event that has attracted a growing amount of interest in recent years is Marine Heatwaves (MHWs), defined as "prolonged discrete anomalously warm water events" (Hobday et al. 2016). These events have dramatic and sometimes devastating impacts on local ecology including shifting local biodiversity (Wernberg et al. 2013; 2016) and triggering coral bleaching events (NOAA 2015) as well as having socio-economic impacts by altering fishery yields (Mills et

45 al. 2013, Smale et al. 2019). Marine Cold-Spells (MCSs), which are defined equivalently to MHWs as a "discrete, prolonged anomalously cold water event at a particular location" (Schlegel et al. 2021), remain less well understood but a growing body of research suggests that low SST events can also have significant impacts on ecology including coral reef destruction (Lirman et al. 2011) and have links to extreme climate events on land (Duchez et al. 2016). Previous research into MCSs has focussed on certain regions including investigating drivers of shallow-water events in some coastal regions (Schlegel et al.

50 2017) and effects of MCSs on fisheries in the North Sea (Wakelin et al. 2021). Schlegel et al. (2021) provide a comprehensive review of previous studies into MCSs and the possible causes of MCSs, which were found to be often driven by anomalous winds, although other drivers were also identified.

In 2021, two independent research efforts were conducted to investigate the trends in MCSs using the same detection code (section 2). The first, by Schlegel et al. (2021) used the Optimally Interpolated Sea Surface Temperature (OISST) climate

55 dataset produced by the National Oceanic and Atmospheric Administration (NOAA) for their investigation. The second investigation (detailed in this report) also examined trends in MCSs, but also alongside trends in MHWs for each event category. This comparison was especially important since this investigation was the first to use the higher-resolution Operational Sea Surface Temperature and Sea Ice Analysis (OSTIA) climate SST dataset (ref 2.1.1) produced for the Copernicus Marine Environment Monitoring Service (CMEMS), and it can therefore provide an independent assessment of

60 the occurrence of MHWs and MCSs.

2 Methods

2.1 Input SST dataset used

Most previous MHW/MCS investigations have used the 0.25° resolution NOAA OISST climate SST (version 2.1) dataset

65 (Schlegel et al. 2021), which represents the SST at 0.5m depth (Huang et al. 2020). This is often called a SST depth or bulk SST product and is not free of diurnal variability.

This investigation used the 0.05° resolution CMEMS OSTIA climate dataset (ref 2.1.1) for the period 1982-2021, OSTIA is a foundation SST product that represents the sub-skin temperature immediately before sunrise, or just below the diurnal warm layer at other times, and therefore it is free of diurnal variability.

70 OSTIA uses the NEMOVAR variational data assimilation scheme (Mogensen et al. 2009) to combine satellite and in situ data with a background field that is based on the previous day's analysis (Good et al. 2020). In areas of the ocean where there are no observations, if the sea ice concentration is greater than 50%, the SSTs will eventually relax to -1.8°C and elsewhere to a 1985-2007 climatology.

As a result of RAM memory overflow issues encountered by the R programming language when processing the full

75 resolution data, the OSTIA dataset was linearly regridded to 0.25° resolution for this investigation.

2.2 Heatwave definition and detection

The Hobday definition of a heatwave (Hobday et al. 2016; 2018) has gained wide acceptance in the MHW/MCS research community and is used for this investigation. To detect a MCS, consider that each pixel of the dataset has the following
80   mathematical elements:

A) A timeseries of SST values (thin black line in Fig 1a & b).

B) The daily climatology. This investigation uses the period 1982/01 - 2011/12 as the baseline (smooth, thicker black line in Fig 1a & b).

C) The 10th percentile of the climatology data **B**, taken from an 11-day rolling window centred on each day (solid
85   green line in Fig 1a & b).

D) The difference between the climatology **B** and the 10th percentile **C**.

A pixel is considered to have a MCS wherever the SST value **A** is below the 10th percentile value **C** for at least 5 days.

Furthermore, the difference value **D** then allows this MCS to then be categorised. A heatwave is considered a category 1 (Moderate) when the SST value **A** is less than the sum of the climatology value B and the difference value **D** (i.e. where
90   **A<=B+D**) (below solid green line in Fig 1a & b). A category 2 (Strong) MCS would be where **A<=B+2D**, and so on for Severe and Extreme events (dashed green lines in Fig 1a & b).

MHWs are defined equivalently as a period of at least five days where the SST value is above the 90th percentile of the SST data from an 11-day rolling window centred on each day.

Using the Hobday definition, events can be described by the following characteristics:

95   Duration – the number of consecutive days where the SST exceeded the 90th percentile value for MHWs, or was below the 10th percentile value for MCSs.

Maximum Intensity – the maximum difference between the SST and the climatology value during the event (MHWs have positive intensity and MCSs have negative intensity).

Category – describes the severity of an event by assigning a category to the maximum intensity value relative to the local
100   climatology.

The MHWs and MCSs and their characteristics were calculated from the SST dataset using the heatwaveR package (Schlegel and Smit 2018; Hobday et al. 2016).

3 Results and Discussions

3.1 Using the Hobday MCS framework to describe anomalous low SST events

105   To highlight the consequences of cold spells and how the Hobday category framework (Hobday et al. 2016; 2018) can help describe the severity of MCS events, two case studies of known cold water events were selected and investigated.

The first case study illustrates the effect of MCSs on marine ecosystems (Fig. 1a). An investigation by Abram et al. (2003) linked the coral reef death off Sumatra in October 1997 to the combination of Indonesian wildfires exacerbated by El Niño and anomalously low SST caused by the Indian Ocean Dipole (IOD) which caused increased upwelling of cold, nutrient-rich
110   water in the region. Such upwelling events are associated with increased biological activity, which can lead to large algal blooms (often called "red tides") (Genin et al. 1995). These events can limit oxygen supplies to coral reefs but usually pose only a minor threat as the biological activity is usually iron limited (Johnson et al. 2001). However, in October 1997 the ash cloud from the Indonesian forest-fires dumped large quantities of iron on the ocean, causing one of the largest red tides the region had ever seen, leading to mass asphyxiation of the coral (Abram et al. 2003).

115   This event was examined using the Hobday framework and Figure 1a shows that the anomalous SST in the region may be classified as a category IV MCS with a duration of around 100 days peaking in early November.

Extreme low SST events have also been linked to significant global weather events, which is illustrated by the second case study (Fig. 1b). Duchez et al. (2016) showed that the 2015 European summer continental heatwave, one of the top 10 hottest in the last 65 years, and several other severe European summer heatwaves, were preceded by anomalously cold SSTs in the
120   North Atlantic, but establishing causality (if any) is the subject of further research.

The inset in Figure 1b shows that a category III MCS prevailed over much of the region in 2015, with some areas classified as a category IV. Further time series analysis of a pixel within a category IV region shows a MCS with a duration of around 69 days peaking late March.

In these examples, the Hobday category framework has been used to describe the severity of marine SST anomalies that
125   have been linked with significant environmental and ecological events. Use of this framework will help researchers to better communicate the severity of both low and high SST anomalies with public and local officials, allowing the area to prepare for the effects of marine temperature extremes.

3.2 A global perspective of MHW and MCS distribution and strength

130   The average maximum intensity of events and the average number of yearly events for both MHWs and MCSs were calculated over the global domain for the period 1982-2021 to produce a map of the distribution of such events (Fig. 2). Figures 2a and 2b show that the mean maximum intensity of both types of events at most locations in the global ocean was one to two degrees Celsius, and between two and four degrees Celsius in the Niño 3 region of the Eastern Pacific (defined as 5°N-5°S, 90°-150°W) (Trenberth 1997). Moreover, other regions with higher intensity MHWs (sometimes exceeding six
135   degrees Celsius) – namely the North-West Atlantic, South-West Atlantic, the region off South Africa, and North-West Pacific off Japan – also experienced MCSs of similar intensity. These four regions are also located in areas in which Western Boundary Currents (WBCs) are found (NW Atlantic – Gulf Stream; SW Atlantic – Brazil Current; South Africa - Agulhas Current; Japan - Kuroshio Current (Le Traon and Morrow 2001)). WBCs are found at the western edges of ocean gyres and transport warmer waters towards the poles (Holbrook et al., 2019).

140   The average number of heatwaves per year was calculated for each pixel of the dataset and plotted. Examining figures 2c and 2d it can be seen that in most locations, MHW events were slightly more common than MCS, with between one to three events detected per year compared to one to two MCS events per year.

However, MHWs were rare in the Niño 3 region, occurring no more than once per year, while MCS occurred between two and three times per year in the same region. While it may seem counterintuitive that areas with persistently high SSTs (such
145   as Niño 3) do not necessarily suffer as many heatwaves, consider that MHWs are defined relative to the climatology. Therefore, an area with historically high average SST values will present less opportunity for recent SSTs to exceed that high climatology threshold. This characteristic is valuable since researchers are often most interested in areas with significant deviations from historical temperatures.

Regions with a higher frequency of MCS events tended to coincide with regions where they were more intense. The North-
150   West Atlantic, South-West Atlantic, the region off South Africa, and North-West Pacific off Japan all had up to three MCSs per year.

Schlegel et al. (2021) carried out a similar investigation into MCS count and intensity for the period 1982-2020 and Oliver et al. (2018) also did the same for MHWs for the 1982-2016 period. Although the time spans are different, the spatial distribution of the values in Figure 2 are very similar to the results from both the previous investigations.

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3.3 An exploration of linear trends in MHW and MCS events for each category.

In order to determine whether there was a change in the number of MHW and MCS events per year, a linear trend was calculated for each pixel of the global dataset and plotted (Fig. 3). Further plots were also generated for each event category, and contours were added wherever the trend exceeded a 99% confidence level.

160 Figure 3a shows that occurrences of MCSs in much of the Atlantic, Indian, and Western Pacific Oceans are decreasing at a rate of up to one event every five years and that these decreases exceed the 99% confidence interval for most of the region. Figure 3c shows a large decrease in category I (moderate) events at a rate of almost one event every five years at the locations which exceed the 99% confidence value. A smaller but still significant decrease in category II (strong) events of about one event every 20 years was also observed (Fig. 3e). Figures 3g and 3i show that category III and IV events are
165 continuing to occur at a roughly constant rate. Therefore, the overall decrease in MCS events is largely due to decreases in the numbers of category I and II events.

Figure 3b shows that MHWs in the central Atlantic, Indian, and Western Pacific Oceans are increasing by one event every five to ten years, and this trend is significant to the 99% confidence level in much of the region. Figures 3d and 3f show that this is largely due to increases in category I and II MHWs of up to one event per ten years in much of the region. Figures 3h
170 and 3j show that the trends in category III and IV MHWs are close to zero.

It is also notable that the Southern Pacific Ocean (in particular, the east of South America) goes against the general trends. Figure 3a shows that MCSs are becoming more frequent in the Southern Pacific Ocean by one event every 10-20 years, which is largely due to increases in category II events (Fig. 3e). Meanwhile MHWs are also becoming less frequent in this region by one event every 10-20 years (Fig. 3b) which is largely due to decreases in category I events (Fig. 3d).

175 Interestingly, the increasing number of MCSs (for all categories) in the Southern Ocean was also observed by Schlegel et al. (2021), although it wasn't determined which category was responsible.

3.4 Changes in the affected ocean area over time

To investigate whether there are any changes in how much of the ocean is affected by MHW and MCS events, each pixel
180 was examined to determine the most severe event that had occurred in each year. This information was used to produce a timeseries for the fraction of the ocean area affected by an event (Fig. 4).

Figure 4 (left) shows that MCSs are now occurring in less of the ocean than historically. The amount of ocean where the highest MCS categories detected were Category I and II has decreased from 41.8% in 1982 to 25.3% in 2021 and from 27.8% in 1982 to 13.2% in 2021 respectively, while the amount of ocean without any MCSs at all has increased from 26% to
185 56.6% in 2021. In contrast, Category III and IV MCSs have been detected at a near constant ~1-3% of the ocean. This suggests that even in a warming world, extreme MCSs have so far remained consistently rare.

The investigation by Schlegel et al. (2021) also found that Category III and IV events remained steady and that the area of the ocean with no events had decreased (61% in 1982 to 25% in 2020). However, their investigation differed in that they determined that this was mainly due to a decrease in Category II events.

190 Figure 4 (right) demonstrates that the area of ocean with no MHW events has seen a steady decline throughout the time period from 37.8% in 1982 to 18.7% in 2021, indicating that MHW are occurring at more locations in the ocean. Breaking down the results by category, it can be observed that category I events were initially the most common; the area dominated by these events increased from 25.9% to 43.8% between 1982 and 2006 before decreasing sharply to just 23.3% in 2021. In the same period, the area of ocean where II was the highest MHW category increased from 23.6% to 34.8% in 2021,

195 overtaking category I as the most common category. The amount of ocean where the highest category was III or IV initially remained at around 3% and 6% respectively until 2008, after which there was a sharp increase of both categories to 7.7% for category III and 15.4% for category IV in 2021. The spatial increase of these categories is especially a cause for concern since these types of events tend to have more dramatic impacts compared to lower category events (Hobday et al 2018).

It is also interesting to note that the same investigation by Hobday et al (2018) also produced a graph displaying a similar
200 behaviour (a reduction in zero and category 1 events, and an increase in other categories).

4 Conclusions

In this study, we have compared global MHWs and MCSs in the period 1982-2021. We have revealed that the mean peak intensity of MHWs and MCSs at most locations was one to two degrees Celsius and the mean peak intensity was highest (up to six degrees Celsius for both kinds of event) in regions where Western Boundary Currents dominate. We have also shown
205 that in much of the ocean, MCSs have become less frequent by around one event every five years while there is one extra MHW event every five to ten years. Most of the changes are due to increases in category I and II MHWs and decreases in category I and II MCSs. However, events in parts of the Southern Ocean go against these trends with MHWs becoming slightly less frequent and MCSs becoming more frequent. Category III and IV MHWs remain less common than the less severe events and at individual locations they are occurring at a roughly constant rate over time. However, these events are
210 becoming more widespread. Category III and IV MCSs are rare but are continuing to occur at near constant rates in much of the ocean.

The average global frequency and maximum intensity (Fig. 2) and the trend analysis for all categories of events (Fig. 3a and 3b) had a similar spatial distribution to the MCS results obtained by Schlegel et al. (2021) and the MHW results obtained by Oliver et al. (2018). The temporal changes in the ocean area affected (Fig. 4) were also similar to the results from
215 investigations carried out by Schlegel et al. (2021) and Hobday et al. (2018).

Although more research is required to quantify the differences in the outputs from the OISST and OSTIA datasets and their relative confidence levels, the similarity of the results strengthens the argument that these events are not just a feature of their input dataset.

There are gaps in our understanding of the mechanisms that drive these trends in MHWs and MCSs, and further research
220 would provide valuable insight into the changing nature of MHW and MCS. Future research also should focus on improving understanding of how MCSs form and their impact on ocean ecosystems as well as on developing tools for predicting when they will occur, allowing early warnings of these events. When forecasting the future or analysing past events, the Hobday category framework can be used to clearly communicate the severity of low SST events. This should improve scientific discourse and allow the public and local officials to be better prepared for their consequences.

225 5 Data availability

Publicly available datasets were used and are available from CMEMS (Table 1).

6 Author contribution

Mark W. generated the initial datasets for the period 1982-2020 required for the investigation. Robert P. processed the data
230 to produce the results outlined above and wrote the majority of the report. When data for 2021 became available, Mark W.

then updated the results with the latest data and edited the report for submission. Simon G. also reviewed and edited the report.

7 Competing interests

235   The authors declare that they have no conflict of interest.

8 Sources of funding

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Owen Embury of Reading University who made available his verification software; this was used in order to check that the
245   code used to regrid the OSTIA dataset did not degrade the quality of the data. The OSTIA and OISST datasets were compared to independent in situ SST measurements and found to be similar. The results of this investigation were not included in this report since it was a quality control check rather than a research investigation. More information can be obtained from the authors on request.

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11 Tables and Figures

Ref No.	Product name and type	Documentation
2.1.1	SST_GLO_SST_L4_REP_OBSERVATIONS_010_011 Global reprocessed L4 daily SST product	PUM: https://catalogue.marine.copernicus.eu/documents/PUM/CMEMS-SST-PUM-010-011.pdf QUID: https://catalogue.marine.copernicus.eu/documents/QUID/CMEMS-SST-QUID-010-011.pdf

Table 1: Data used for report

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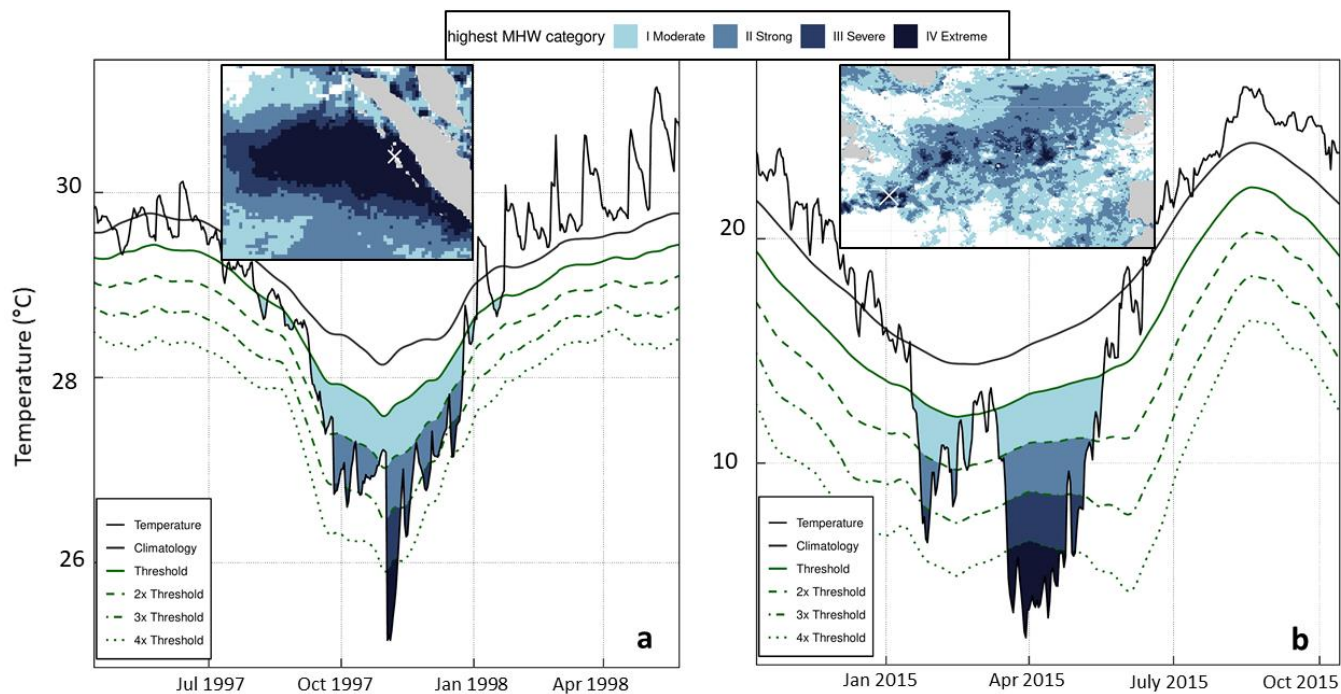
Figure 1: Significant MCSs. a) Sumatra 1997. Main figure: SST values and MCS threshold during late 1997 at the grid point 98.625, 0.875. Inset:
325   **Highest MCS category detected across the region during 1997 (the white cross denotes the location where the timeseries data was extracted). b)**
North Atlantic 2015. Main figure: SST values and MCS threshold during early 2015 at the grid point -50.375, 41.125. Inset: Highest MCS category
detected across the region during 2015 (the white cross denotes the location where the timeseries data was extracted).
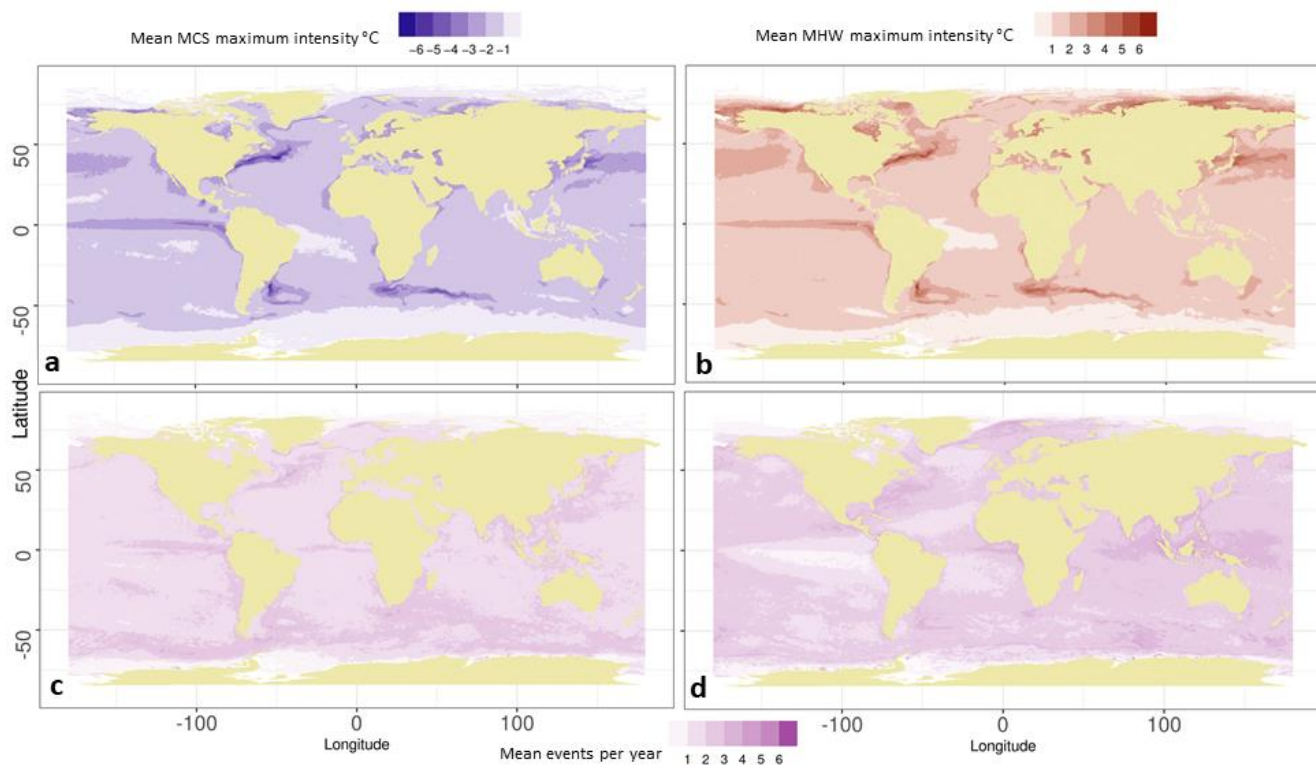
Figure 2: Comparison of global MHW and MCS mean maximum intensity and frequency of occurrence during the period 1982-2021, calculated from product 2.1.1. a) Mean MCS maximum intensity and c) average number of MCSs per year at each grid point. b) Mean MHW maximum intensity and d) average number of MHWs per year at each grid point.
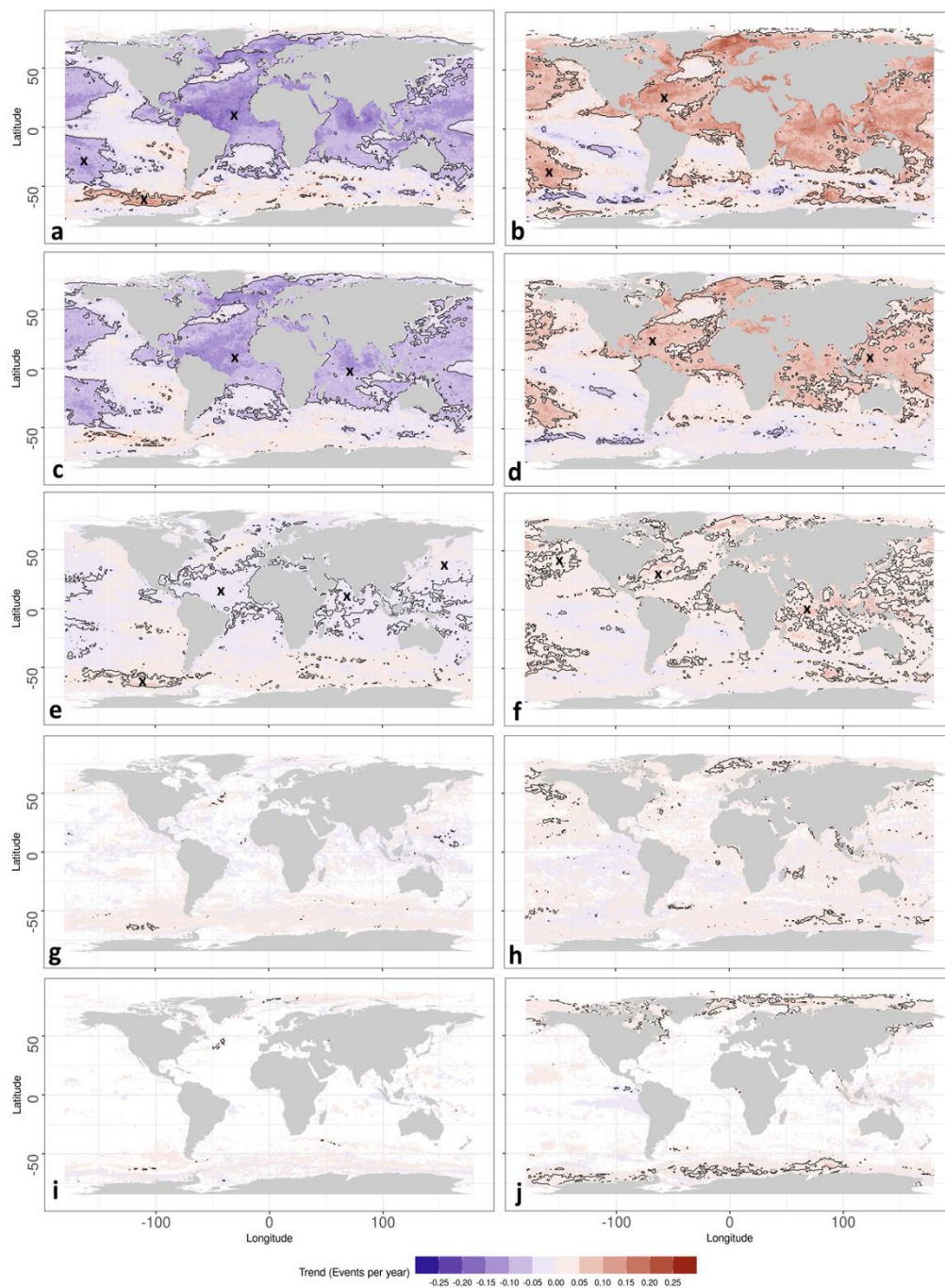
Figure 3: Trends in the number of MCS and MHW events per year for a) All MCS b) All MHW c), e), g), i) Category I-IV MCS d), f), h), j) Category I-IV MHW. The year of an event has been defined as the year of peak intensity. Linear trends were calculated using least squares fit, and the contour line bounds the region where the trends exceed the 99% confidence level of a two-tailed t-test compared to a constant rate of occurrence. The X markers indicate the inside of the contour to aid interpretation. Areas with no shading are where no events were detected.
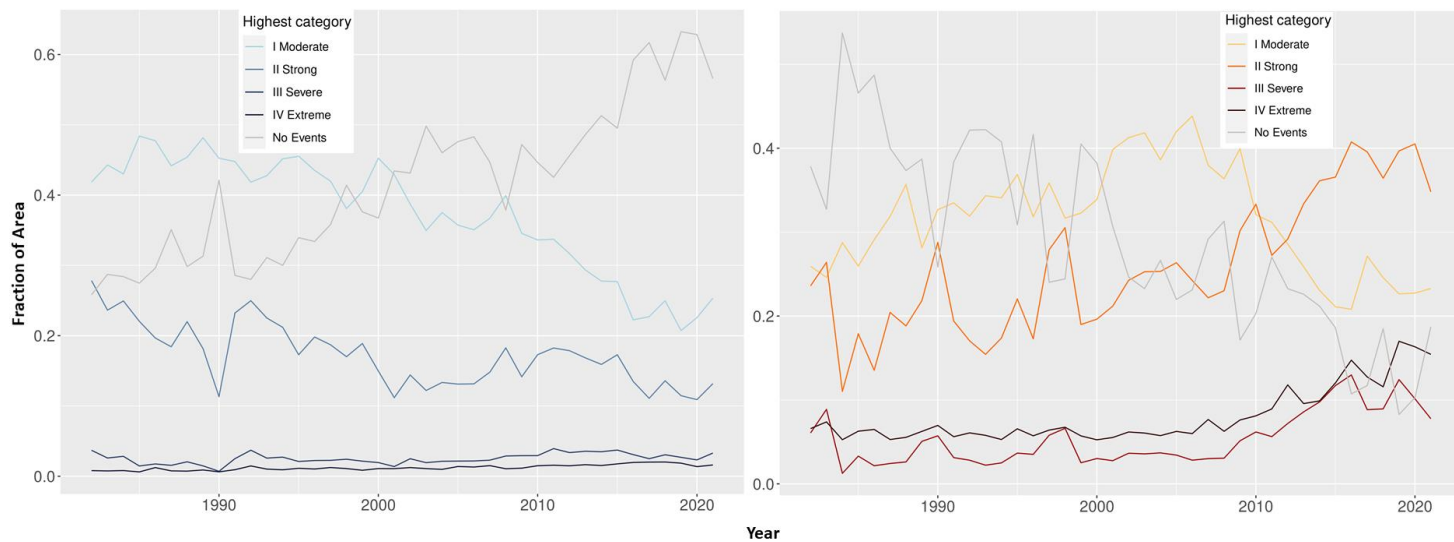
Figure 4: The fraction of the area of the ocean where the highest MCS (left) and MHW (right) category detected was I, II, III, IV,
360 **or no events being detected. The year of an event is defined as the year of the peak intensity.**

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